2011 State Teacher Policy Yearbook

Connecticut

OVERALL GRADA



Acknowledgments

STATES

State education agencies remain our most important partners in this effort, and their gracious cooperation has helped to ensure the factual accuracy of the final product. Every state formally received a draft of the *Yearbook* in July 2011 for comment and correction; states also received a final draft of their reports a month prior to release. All but one state responded to our inquiries. While states do not always agree with the recommendations, their willingness to acknowledge the imperfections of their teacher policies is an important first step toward reform.

We also thank the many state pension boards that reviewed our drafts and responded to our inquiries.

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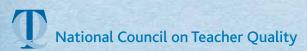
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Executive Summary

For five years running, the National Council on Teacher Quality (NCTQ) has tracked states' teacher policies, preparing a detailed and thorough compendium of teacher policy in the United States on topics related to teacher preparation, licensure, evaluation, career advancement, tenure, compensation, pensions and dismissal.

The 2011 State Teacher Policy Yearbook includes NCTQ's biennial, full review of the state laws, rules and regulations that govern the teaching profession. This year's report measures state progress against a set of 36 policy goals focused on helping states put in place a comprehensive framework in support of preparing, retaining and rewarding effective teachers. For the first time, the Yearbook includes a progress rating for states on goals that have been measured over time. An overall progress ranking is also included, showing how states compare to each other in moving forward on their teacher policies.

Connecticut at a Glance Overall 2011 Yearbook Grade:



Overall 2009 Yearbook Grade: D+

Area Grades	2011	2009
Area 1 Delivering Well Prepared Teachers	C-	С
Area 2 Expanding the Teaching Pool	C+	B-
Area 3 Identifying Effective Teachers	D+	D+
Area 4 Retaining Effective Teachers	D	F
Area 5 Exiting Ineffective Teachers	D+	C-

Overall Progress



Highlights from recent progress in Connecticut include:

- Broad usage of alternate route
- Induction for all new teachers

How is Connecticut Faring?

Area 1 Delivering Well Prepared Teachers



Policy Strengths

- Teacher candidates are required to pass a basic skills test as a criterion for admission to teacher preparation programs.
- Preparation programs are required to address the science of reading, and teacher candidates must pass a test to ensure knowledge.
- Middle school teachers are sufficiently prepared to teach appropriate grade-level content.

Policy Weaknesses

- Elementary teachers are not adequately prepared to teach the rigorous content associated with the Common Core Standards.
- Neither teacher preparation program nor licensure test requirements ensure that new elementary teachers are adequately prepared to teach mathematics.
- Although most secondary teachers must pass a content test to teach a core subject area, some secondary science and social studies teachers are not required to pass content tests for each discipline they intend to teach.
- The state offers a K-12 special education certification.

- An adequate pedagogy test is not required as a condition of licensure.
- There are no requirements to ensure that student teachers are placed with cooperating teachers who were selected based on evidence of effectiveness.
- The teacher preparation program approval process does not hold programs accountable for the quality of the teachers they produce.

Area 2 Expanding the Pool of Teachers



Policy Strengths

- Admission criteria for the alternate route to certification are selective.
- Alternate route preparation is streamlined, relevant and includes a practice-teaching opportunity.
- There are no restrictions on alternate route usage or providers.

Policy Weaknesses

- The state does not offer a license with minimal requirements that would allow content experts to teach part time.
- Out-of-state teachers are not required to meet the state's testing requirements, and there are additional obstacles that do not support licensure reciprocity.

How is Connecticut Faring?

Area 3 Identifying Effective Teachers



Policy Strengths

All teachers must be evaluated annually.

Policy Weaknesses

- The state data system does not have the capacity to provide evidence of teacher effectiveness.
- Objective evidence of student learning is not the preponderant criterion of teacher evaluations.
- Tenure decisions are not connected to evidence of teacher effectiveness.
- Licensure advancement and renewal are not based on teacher effectiveness.
- Although doing more than most states, more schoollevel data could be reported to support the equitable distribution of teacher talent.

Area 4 Retaining Effective Teachers



Policy Strengths

- All new teachers receive mentoring.
- Teachers receive feedback from their evaluations, and professional development is aligned with findings from teachers' evaluations.
- Districts are given full authority for how teachers are paid, although they are not discouraged from basing salary schedules solely on years of experience and advanced degrees.

Policy Weaknesses

- The state does not support performance pay or additional compensation for relevant prior work experience or working in high-need schools.
- Teachers are only offered a defined benefit pension plan, and pension policies are not portable, flexible or fair to all teachers.
- The pension plan is significantly underfunded and requires excessive contributions.
- Retirement benefits are determined by a formula that is not neutral, meaning that pension wealth does not accumulate uniformly for each year a teacher works.

Area 5 Exiting Ineffective Teachers



Policy Strengths

■ The state has taken steps to ensure that licensure testing requirements are met by all teachers within one year.

Policy Weaknesses

- The state could do more to establish consequences for multiple unsatisfactory evaluations.
- Ineffective classroom performance is not grounds for dismissal, and tenured teachers who are dismissed have multiple opportunities to appeal.
- Performance is not considered in determining which teachers to lay off during reductions in force.

Connecticut Goal Summary

Goal Breakdown				
Best Practice	2	Area 3: Identifying Effective Teachers		I
Fully Meets	4	3-A: State Data Systems		
Nearly Meets	4	3-B: Evaluation of Effectiveness	0	
Partially Meets	9	3-C: Frequency of Evaluations	0	
Only Meets a Small Part	5	3-D: Tenure	0	
O Does Not Meet	12	5 51.51.61		
Progress on Goals Since 2009		3-E: Licensure Advancement	0	
		3-F: Equitable Distribution	0	
Area 1: Delivering Well Prepared Teachers		Area 4: Retaining Effective Teachers		4
1-A: Admission into Preparation Programs	•	4-A: Induction	•	
1-B: Elementary Teacher Preparation	0	4-B: Professional Development		
1-C: Teacher Preparation in Reading Instruction	*	4-C: Pay Scales	0	
1-D: Teacher Preparation in Mathematics	0	4-D: Compensation for Prior Work Experience	0	
1-E: Middle School Teacher Preparation		4-E: Differential Pay	•	
1-F: Secondary Teacher Preparation		4-F: Performance Pay	0	
1-G: Secondary Teacher Preparation in Science	•	4-G: Pension Flexibility	•	
1-H: Secondary Teacher Preparation in Social Studies		4-H: Pension Sustainability	•	
1-I: Special Education Teacher Preparation	0	4-I: Pension Neutrality	0	
1-J: Assessing Professional Knowledge	0	Area 5: Exiting Ineffective Teachers		
1-K: Student Teaching		5-A: Licensure Loopholes	•	
1-L: Teacher Preparation Program		5-B: Unsatisfactory Evaluations	0	
Accountability Area 2: Expanding the Pool of Teachers		5-C: Dismissal for Poor Performance	0	
2-A: Alternate Route Eligibility	•	5-D: Reductions in Force	0	
2-B: Alternate Route Preparation	*			
2-C: Alternate Route Usage and Providers				
2-D: Part Time Teaching Licenses	0			
2-E: Licensure Reciprocity	0			

About the Yearbook

The National Council on Teacher Quality (NCTQ) has long argued that no educational improvement strategies states take on are likely to have a greater impact than policies that seek to maximize teacher effectiveness. In this fifth edition of the State Teacher Policy Yearbook, NCTQ provides a detailed examination of state laws, rules and regulations that govern the teaching profession, covering the full breadth of policies including teacher preparation, licensure, evaluation, career advancement, tenure, compensation, pensions and dismissal.

The Yearbook is a 52-volume compendium of customized state reports for the 50 states and the District of Columbia, as well as a national summary overview, measuring state progress against a set of 36 specific policy goals. All of the reports are available from NCTQ's website at www.nctq.org/stpy.

The 36 Yearbook goals are focused on helping states put in place a comprehensive policy framework in support of preparing, retaining and rewarding effective teachers. The goals were developed based on input and ongoing feedback from state officials, practitioners, policy groups and other education organizations, as well as from NCTQ's own nationally respected advisory board. These goals meet five criteria for an effective reform framework:

- 1. They are supported by a strong rationale, grounded in the best research available. The rationale and research citations supporting each goal can be found at www.nctq.org/stpy.
- 2. They offer practical rather than pie-in-the-sky solutions for improving teacher quality.
- 3. They take on the teaching profession's most pressing needs, including making the profession more responsive to the current labor market.
- 4. They are, for the most part, relatively cost neutral.
- 5. They respect the legitimate constraints that some states face so that the goals can work in all 50 states.

The need to ensure that all children have effective teachers has captured the attention of the public and policymakers across the country like never before. The Yearbook offers state school chiefs, school boards, legislatures and the many advocates who press hard for reform a concrete set of recommendations as they work to maximize teacher quality for their students.

How to Read the Yearbook

NCTQ rates state teacher policy in several ways.

For each of the 36 individual teacher policy goals, states receive two ratings. The first rating indicates whether, or to what extent, a state has met the goal. NCTQ uses these familiar graphics to indicate the extent to which each goal has been met:









A new feature of this year's *Yearbook* is a progress rating for each goal NCTQ has measured over time. These ratings are intended to give states a meaningful sense of the changes in teacher policy since the 2009 *Yearbook* was published. Using the symbols below, NCTQ determines whether each state has advanced on the goal, if the state policy has remained unchanged, or if the state has actually lost ground on that topic.





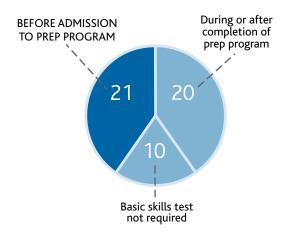


Some goals are marked with this symbol , which indicates that the bar has been raised for this goal since the 2009 *Yearbook*. With many states making considerable progress in advancing teacher effectiveness policy, NCTQ raised the standards for some goals where the bar had been quite low. As this may have a negative impact on some states' scores, those goals are always marked with the above symbol.

States receive grades in the five goal areas under which the 36 goals are organized: 1) delivering well prepared teachers; 2) expanding the pool of teachers; 3) identifying effective teachers; 4) retaining effective teachers and 5) exiting ineffective teachers. States also receive an overall grade that summarizes state performance across the five goal areas, giving an overall perspective on how states measure up against NCTQ benchmarks. New this year, states also receive an overall progress ranking, indicating how much progress each state has made compared to other states.

As always, the *Yearbook* provides a detailed narrative accounting of the policy strengths and weaknesses in each policy area for each state and for the nation as a whole. Best practices are highlighted. The reports are also chock full of reader-friendly charts and tables that provide a national perspective on each goal and serve as a quick reference on how states perform relative to one another, goal by goal.

Another new feature this year makes it easier to distinguish strong policies from weaker ones on our charts and tables. The policies NCTQ considers strong practices or the ideal policy positions for states are capitalized. This provides a quick thumbnail for readers to size up state policies against the policy option that aligns with NCTQ benchmarks for meeting each policy goal. For example, on the chart below, "BEFORE ADMISSION TO PREP PROGRAM" is capitalized, as that is the optimal timing for testing teacher candidates' academic proficiency.



Goals

AREA 1: DELIVERING WELL PREPARED TEACHERS

PAGE 9

1-A: Admission into Preparation Programs

The state should require undergraduate teacher preparation programs to admit only candidates with good academic records.

1-B: Elementary Teacher Preparation

The state should ensure that its teacher preparation programs provide elementary teachers with a broad liberal arts education, the necessary foundation for teaching to the Common Core Standards.

1-C: Teacher Preparation in Reading Instruction

The state should ensure that new elementary teachers know the science of reading instruction.

1-D: Teacher Preparation in Mathematics

The state should ensure that new elementary teachers have sufficient knowledge of the mathematics content taught in elementary grades.

1-E: Middle School Teacher Preparation

The state should ensure that middle school teachers are sufficiently prepared to teach appropriate grade-level content.

1-F: Secondary Teacher Preparation

The state should ensure that secondary teachers are sufficiently prepared to teach appropriate grade-level content.

1-G: Secondary Teacher Preparation in Science

The state should ensure that science teachers know all the subject matter they are licensed to teach.

1-H: Secondary Teacher Preparation in Social Studies

The state should ensure that social studies teachers know all the subject matter they are licensed to teach.

1-I: Special Education Teacher Preparation

The state should ensure that special education teachers know the subject matter they will be required to teach.

1-I: Assessing Professional Knowledge

The state should use a licensing test to verify that all new teachers meet its professional standards.

1-K: Student Teaching

The state should ensure that teacher preparation programs provide teacher candidates with a high-quality clinical experience.

1-L: Teacher Preparation Program Accountability

The state's approval process for teacher preparation programs should hold programs accountable for the quality of the teachers they produce.

AREA 2: EXPANDING THE POOL OF TEACHERS

PAGE 57

2-A: Alternate Route Eligibility

The state should require alternate route programs to exceed the admission requirements of traditional preparation programs while also being flexible to the needs of nontraditional candidates.

2-B: Alternate Route Preparation

The state should ensure that its alternate routes provide streamlined preparation that is relevant to the immediate needs of new teachers.

2-C: Alternate Route Usage and Providers

The state should provide an alternate route that is free from regulatory obstacles that limit its usage and providers.

2-D: Part Time Teaching Licenses

The state should offer a license with minimal requirements that allows content experts to teach part time.

2-E: Licensure Reciprocity

The state should help to make licenses fully portable among states, with appropriate safeguards.

AREA 3: IDENTIFYING EFFECTIVE TEACHERS

PAGE 79

3-A: State Data Systems

The state should have a data system that contributes some of the evidence needed to assess teacher effectiveness.

3-B: Evaluation of Effectiveness

The state should require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

3-C: Frequency of Evaluations

The state should require annual evaluations of all teachers.

3-D: Tenure

The state should require that tenure decisions are based on evidence of teacher effectiveness.

3-E: Licensure Advancement

The state should base licensure advancement on evidence of teacher effectiveness.

3-F: Equitable Distribution

The state should publicly report districts' distribution of teacher talent among schools to identify inequities in schools serving disadvantaged children.

AREA 4: RETAINING EFFECTIVE TEACHERS

PAGE 103

4-A: Induction

The state should require effective induction for all new teachers, with special emphasis on teachers in high-need schools.

4-B: Professional Development

The state should require professional development to be based on needs identified through teacher evaluations.

4-C: Pay Scales

The state should give local districts authority over pay scales.

4-D: Compensation for Prior Work Experience

The state should encourage districts to provide compensation for related prior subject-area work experience.

4-E: Differential Pay

The state should support differential pay for effective teaching in shortage and high-need areas.

4-F: Performance Pay

The state should support performance pay but in a manner that recognizes its appropriate uses and limitations.

4-G: Pension Flexibility

The state should ensure that pension systems are portable, flexible and fair to all teachers.

4-H: Pension Sustainability

The state should ensure that excessive resources are not committed to funding teachers' pension systems.

4-I: Pension Neutrality

The state should ensure that pension systems are neutral, uniformly increasing pension wealth with each additional year of work.

AREA 5: EXITING INEFFECTIVE TEACHERS

PAGE 145

5-A: Licensure Loopholes

The state should close loopholes that allow teachers who have not met licensure requirements to continue teaching.

5-B: Unsatisfactory Evaluations

The state should articulate consequences for teachers with unsatisfactory evaluations, including specifying that teachers with multiple unsatisfactory evaluations should be eligible for dismissal.

5-C: Dismissal for Poor Performance

The state should articulate that ineffective classroom performance is grounds for dismissal and ensure that the process for terminating ineffective teachers is expedient and fair to all parties.

5-D: Reductions in Force

The state should require that its school districts consider classroom performance as a factor in determining which teachers are laid off when a reduction in force is necessary.

Area 1: Delivering Well-Prepared Teachers

Goal A – Admission into Preparation Programs

The state should require undergraduate teacher preparation programs to admit only candidates with good academic records.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should require teacher candidates to pass a test of academic proficiency that assesses reading, writing and mathematics skills as a criterion for admission to teacher preparation programs.
- 2. All preparation programs in a state should use a common admissions test to facilitate program comparison, and the test should allow comparison of applicants to the general college-going population and selection of applicants in the top half of that population.
- 3. Programs should have the option of exempting candidates from this test who submit comparable SAT or ACT scores at a level set by the state.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



Area 1: Goal A Connecticut Analysis



State Nearly Meets Goal



Bar Raised for this Goal



Progress Since 2009

ANALYSIS

Connecticut requires that approved undergraduate teacher preparation programs only accept teacher candidates who have passed a basic skills test, the Praxis I. Although the state sets the minimum score for this test, it is normed just to the prospective teacher population.

Commendably, Connecticut also allows candidates to qualify by means of equivalent scores on the SAT, ACT, GRE or Pruebe de Aptitud Academica (PAA).

Supporting Research

General Statutes of Connecticut 10-145(f)

Application for Connecticut Academic Skills Assessment Waiver http://www.sde.ct.gov/sde/lib/sde/PDF/Cert/certform/ed_192.pdf

RECOMMENDATION

Require preparation programs to use a common test normed to the general college-bound population.

The basic skills tests in use in most states largely assess middle school-level skills. To improve the selectivity of teacher candidates—a common characteristic in countries whose students consistently outperform ours in international comparisons—Connecticut should require an assessment that demonstrates that candidates are academically competitive with all peers, regardless of their intended profession. Requiring a common test normed to the general college population would allow for the selection of applicants in the top half of their class, as well as facilitate program comparison.

CONNECTICUT RESPONSE TO ANALYSIS

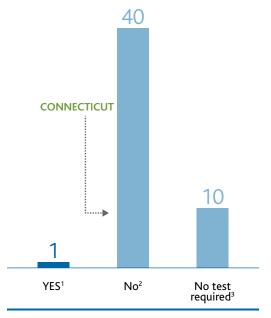
Connecticut recognized the factual accuracy of this analysis.



Although there are a number of states that require teacher candidates to pass a basic skills test as a criterion for admission to a preparation program, **Texas** is the only state that requires a test of academic proficiency normed to the general college bound population rather than just to prospective teachers. In addition, the state's minimum scores for admission appear to be relatively selective when compared to other tests used across the country.

Figure 2

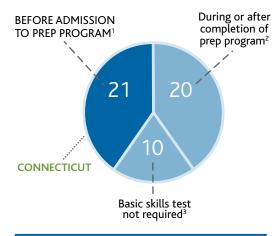
Do states require a test of academic proficiency that is normed to the general college-going population?



1. Strong Practice: Texas

- 2. Alabama, Alaska, Arkansas, California, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Illinois, Indiana, Iowa, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nebraska, Newada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, Washington, West Virginia, Wisconsin
- 3. Arizona, Colorado, Idaho, Kansas, Kentucky, Montana, Ohio, South Dakota, Utah, Wyoming

Figure 3
When do states test teacher candidates' basic skills?

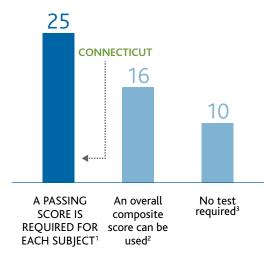


- Strong Practice: Arkansas, Connecticut, Florida, Georgia, Hawaii, Illinois, Indiana, Iowa, Louisiana, Mississippi, Missouri, Nebraska, North Carolina, Rhode Island, South Carolina, Tennessee, Texas, Virginia, Washington, West Virginia, Wisconsin
- Alabama, Alaska, California, Delaware, District of Columbia, Maine, Maryland, Massachussets, Michigan, Minnesota, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Dakota, Oklahoma, Oregon, Pennsylvania, Vermont
- 3. Arizona, Colorado, Idaho, Kansas, Kentucky, Montana, Ohio, South Dakota, Utah, Wyoming



Figure 5

Do states measure performance in reading, mathematics and writing?



- 1. Strong Practice: Alabama, Alaska, Arkansas, Connecticut, Delaware, Florida, Georgia, Illinois, Louisiana, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nebraska, Nevada, New Jersey, Oklahoma, Oregon, South Carolina, Tennessee, Texas, Washington, West Virginia, Wisconsin
- California⁴, District of Columbia⁴, Hawaii⁴, Indiana, Iowa, Maine⁴, Maryland, New Hampshire⁴, New Mexico, New York, North Carolina, North Dakota⁵, Pennsylvania⁴, Rhode Island⁴, Vermont, Virginia
- 3. Arizona, Colorado, Idaho, Kansas, Kentucky, Montana, Ohio, South Dakota, Utah, Wyoming
- 4. Minimum score must be met in each section.
- Composite score can only be used if passing score is met on two of three subtests.

Area 1: Delivering Well-Prepared Teachers

Goal B – Elementary Teacher Preparation

The state should ensure that its teacher preparation programs provide elementary teachers with a broad liberal arts education, the necessary foundation for teaching to the Common Core Standards.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that its approved teacher preparation programs deliver a comprehensive program of study in broad liberal arts coursework. An adequate curriculum is likely to require approximately 36 credit hours to ensure appropriate depth in the core subject areas of English, science, social studies and fine arts. (Mathematics preparation for elementary teachers is discussed in Goal 1-D.)
- 2. The state should require elementary teacher candidates to pass a subject-matter test designed to ensure sufficient content knowledge of all subjects.
- 3. The state should require elementary teacher candidates to complete a content specialization in an academic subject area. In addition to enhancing content knowledge, this requirement also ensures that prospective teachers have taken higher level academic coursework.
- 4. Arts and sciences faculty, rather than education faculty, should in most cases teach liberal arts coursework to teacher candidates.

Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



Area 1: Goal B **Connecticut** Analysis



State Does Not Meet Goal



Progress Since 2009

ANALYSIS

Although Connecticut has adopted the Common Core Standards, the state does not ensure that its elementary teacher candidates are adequately prepared to teach the rigorous content associated with these standards.

Connecticut requires candidates to pass the Praxis II general elementary content test, which does not report teacher performance in each subject area, meaning that it is possible to pass the test and still fail some subject areas, especially given the state's low passing score. Further, based on available information on the Praxis II, there is no reason to expect that the current version would be well aligned with the Common Core Standards.

Although the state does not articulate standards for programs to apply in preparing elementary candidates, all teacher candidates in Connecticut are required to complete a set of general coursework requirements. These courses must total at least 39 credit hours with coursework in areas that include English, natural sciences, social studies, foreign language and fine arts. Elementary teacher candidates, specifically, must complete a survey course in U.S. history. However, these required areas are too ambiguous to guarantee that the courses used to meet them will be relevant to the topics taught in the elementary classroom.

Connecticut requires that elementary candidates must complete either a subject-area or interdisciplinary major.

Finally, there is no assurance that arts and sciences faculty will teach liberal arts classes to elementary teacher candidates.

Supporting Research

State of Connecticut Regulation of State Board of Education Section 10-145d-436

Praxis II www.ets.org

RECOMMENDATION

Require a content test that ensures sufficient knowledge in all subjects.

Connecticut should ensure that its subject-matter test for elementary teacher candidates is well aligned with the Common Core Standards, which represent an effort to significantly raise the standards for the knowledge and skills American students will need for college readiness and global competitiveness.

The state should also require separate passing scores for each content area on the test because without them it is impossible to measure knowledge of individual subjects. Further, to be meaningful, Connecticut should ensure that these passing scores reflect high levels of performance.

Provide broad liberal arts coursework relevant to the elementary classroom.

Connecticut should either articulate a specific set of standards or establish more comprehensive coursework requirements that are specifically geared to the areas of knowledge needed by PK-6 teachers. Further, the state should align its requirements for elementary teacher candidates with the Common Core Standards to ensure that candidates will complete coursework relevant to the common topics in elementary grades. An adequate curriculum is likely to require approximately 36 credit hours in the core subject areas of English, science, social studies and fine arts.

Require at least an academic concentration.

Connecticut's policy requiring elementary candidates to earn an academic major is undermined because it may be met with an interdisciplinary major. Unlike an academic major, an interdisciplinary major will not necessarily enhance teachers' content knowledge or ensure that prospective teachers have taken higher-level academic coursework. Further, it does not provide an option for teacher candidates unable to fulfill student teaching or other professional requirements to still earn a degree, as an academic major does.

■ Ensure that arts and sciences faculty teach liberal arts coursework.

Although an education professor is best suited to teach effective methodologies in subject instruction, faculty from the university's college of arts and sciences should provide subject-matter foundation.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis. The state added that it is investigating a new selection of elementary teacher exams that will have subject-area subscores. A group of stakeholders will be convened to adopt a new test and set recommended cut scores. Recommendations will be presented to the Board for formal adoption in late fall 2011, and implementation is scheduled for July 1, 2012. Further, pending regulation changes will allow elementary education candidates to complete a 39-credit interdisciplinary major, which is defined as nine credits in reading and language arts; nine credits in mathematics; nine credits in science; and six credits in history/social studies, including a course in U.S. history. The revised regulations will become effective July 1, 2015.

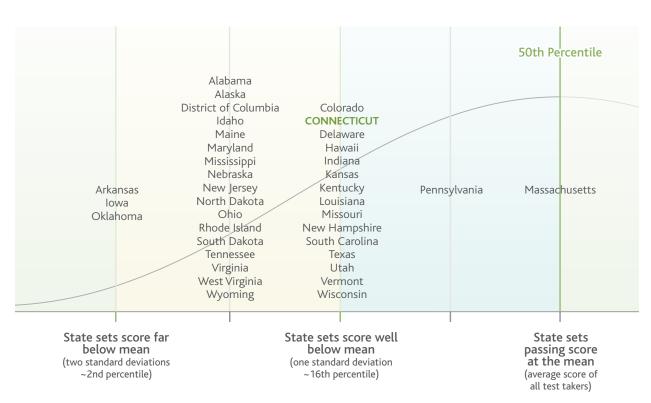
LAST WORD

NCTQ commends the efforts of states, like Connecticut, that have advocated for a new elementary education test from ETS. Requiring subscores for each of the content areas is a significant step toward ensuring that all elementary teachers possess the requisite knowledge for the classroom. NCTQ looks forward to reviewing Connecticut's progress in future editions of the *Yearbook*.



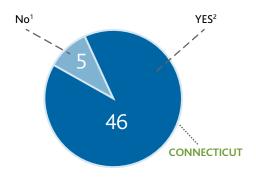
Although no state meets this goal, three states have noteworthy policies. **Massachusetts's** testing requirements, which are based on the state's curriculum, ensure that elementary teachers are provided with a broad liberal arts education. **Indiana** and **Utah** are the first two states to adopt the new Praxis II "Elementary Education: Multiple Subjects" content test, which requires candidates to pass separately scored subtests in reading/language arts, mathematics, social studies and science.

Figure 7
Where do states set the passing score on elementary content licensure tests¹?



¹ Based on the most recent technical data that could be obtained; data not available for Arizona, California, Florida, Georgia, Illinois, Michigan, Minnesota, New Mexico, New York, North Carolina, Oregon and Washington. Montana and Nebraska do not require a content test. Colorado score is for Praxis II, not PLACE. Indiana, Maryland, Nevada, South Carolina and Utah now require new Praxis tests for which the technical data are not yet available; analysis is based on previously required test.

Figure 8 Have states adopted the K-12 Common Core State Standards?



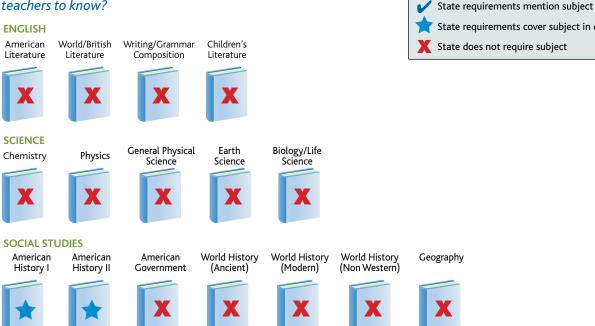
1. Alaska, Minnesota, Nebraska, Texas, Virginia

FINE ARTS Art History

Music

2. Strong Practice: Alabama, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Mississippi, Missouri, Montana, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Utah, Vermont, Washington, West Virginia, Wisconsin, Wyoming

Figure 9 What subjects does **Connecticut** expect elementary teachers to know?



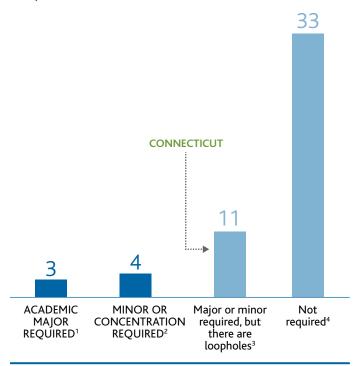
State requirements cover subject in depth

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Wisconsin																			
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■ Subject mentioned ★ Subject covered in depth

Figure 11

Do states expect elementary teachers to complete an academic concentration?



- 1. Strong Practice: Colorado, Massachusetts, New Mexico
- 2. Strong Practice: Indiana, Mississippi, New Hampshire, Oklahoma
- California, Connecticut, Iowa, Michigan, Missouri,
 New Jersey, New York, Tennessee, Texas, Vermont, Virginia
 These states require a major, minor or concentration but
 there is no assurance it will be in an academic subject area.
- 4. Alabama, Alaska, Arizona, Arkansas, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Kansas, Kentucky, Louisiana, Maine, Maryland, Minnesota, Montana, Nebraska, Nevada, North Carolina, North Dakota, Ohio, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Utah, Washington, West Virginia, Wisconsin, Wyoming

Area 1: Delivering Well-Prepared Teachers

Goal C – Elementary Teacher Preparation in Reading Instruction

The state should ensure that new elementary teachers know the science of reading instruction.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- To ensure that teacher preparation programs adequately prepare candidates in the science of reading instruction, the state should require that these programs train teachers in the five instructional components shown by scientifically based reading research to be essential to teaching children to read.
- The state should require that new elementary teachers pass a rigorous test of reading instruction in order to attain licensure.
 The design of the test should ensure that prospective teachers cannot pass without knowing the science of reading instruction.

Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



Area 1: Goal C **Connecticut** Analysis



Best Practice State



Progress Since 2009

ANALYSIS

Connecticut requires that teacher preparation programs for elementary teacher candidates address the science of reading. The state also requires that candidates pass a rigorous test of reading instruction prior to initial licensure.

All applicants for endorsement in elementary education must pass the Connecticut Foundations of Reading Test. The test's objectives include the five components of scientific reading instruction: phonemic awareness, phonics, fluency, vocabulary and comprehension.

Supporting Research

http://www.ct.nesinc.com/CT_overview.asp

CONNECTICUT RESPONSE TO ANALYSIS

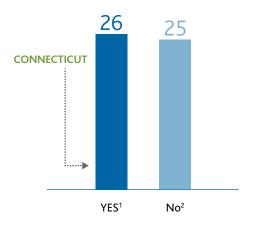
Connecticut recognized the factual accuracy of this analysis.



T EXAMPLES OF BEST PRACTICE

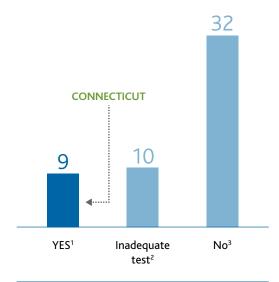
Eight states meet this goal by requiring that preparation programs for elementary teacher candidates address the science of reading and requiring that candidates pass comprehensive assessments that specifically test the five elements of instruction: phonemic awareness, phonics, fluency, vocabulary and comprehension. Independent reviews of the assessments used by Connecticut, Massachusetts and Virginia confirm that these tests are rigorous measures of teacher candidates' knowledge of scientifically based reading instruction.

Figure 13 Do states require preparation for elementary teachers in the science of reading?



- 1. Strong Practice: Alabama, Arkansas, California, Colorado, Connecticut, Florida, Georgia, Idaho, Indiana, Louisiana, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Ohio, Oklahoma, Oregon, Pennsylvania, Tennessee, Texas, Vermont, Virginia, Washington, West Virginia
- 2. Alaska, Arizona, Delaware, District of Columbia, Hawaii, Illinois, Iowa, Kansas, Kentucky, Maine, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Rhode Island, South Carolina, South Dakota, Utah, Wisconsin, Wyoming

Figure 14 Do states measure new teachers' knowledge of the science of reading?



- 1. Strong Practice: Alabama, Connecticut, Massachusetts, Minnesota⁴, New Mexico⁵, Oklahoma, Pennsylvania⁵, Tennessee,
- 2. Arkansas, California, Florida, Georgia, Idaho, Indiana, Missouri, New York, Oregon, Texas
- 3. Alaska, Arizona, Colorado, Delaware, District of Columbia, Hawaii, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Mississippi, Montana, Nebraska, Nevada, New Hampshire, New Jersey, North Carolina, North Dakota, Ohio, Rhode Island, South Carolina, South Dakota, Utah, Vermont, Washington, West Virginia, Wisconsin, Wyoming
- 4. Based on the limited information available about the test on the state's website.
- 5. Test is under development and not yet available for review.

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^{1.} Based on the limited information available about the test on the state's website.

^{2.} Test is under development and not yet available for review.

Area 1: Delivering Well-Prepared Teachers

Goal D – Elementary Teacher Preparation in Mathematics

The state should ensure that new elementary teachers have sufficient knowledge of the mathematics content taught in elementary grades.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require teacher preparation programs to deliver mathematics content of appropriate breadth and depth to elementary teacher candidates. This content should be specific to the needs of the elementary teacher (i.e., foundations, algebra and geometry with some statistics).
- 2. The state should require elementary teacher candidates to pass a rigorous test of mathematics content in order to attain licensure.
- Such test can also be used to test out of course requirements and should be designed to ensure that prospective teachers cannot pass without sufficient knowledge of mathematics.

Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



Area 1: Goal D **Connecticut** Analysis



State Does Not Meet Goal



Progress Since 2009

ANALYSIS

Connecticut does not articulate requirements to ensure that elementary teacher candidates have sufficient mathematics content knowledge.

Although the state does not have teaching standards that its approved teacher preparation programs must use to frame instruction in elementary mathematics content, Connecticut does require 39 semester hours of general coursework, which includes mathematics.

Connecticut requires that all new elementary teachers pass a general subject-matter test, the Praxis II. This commercial test does not provide a specific mathematics subscore, so one can likely fail the mathematics portion and still pass the test. Further, while the Praxis II does cover important elementary school-level content, it barely evaluates candidates' knowledge beyond an elementary school level, does not challenge their understanding of underlying concepts and does not require candidates to apply knowledge in nonroutine, multistep procedures.

Supporting Research

State of Connecticut Regulation of State Board of Education, Section 10-145d-436 www.ets.org/praxis

"No Common Denominator: The Preparation of Elementary Teachers in Mathematics by America's Education Schools," NCTQ, June 2008 http://www.nctq.org/p/publications/docs/nctq_ttmath_fullreport.pdf

RECOMMENDATION

Require teacher preparation programs to provide mathematics content specifically geared to the needs of elementary teachers.

Although Connecticut requires some knowledge of mathematics, the state should require teacher preparation programs to provide mathematics content specifically geared to the needs of elementary teachers. This includes specific coursework in foundations, algebra and geometry, with some statistics.

■ Require teacher candidates to pass a rigorous mathematics assessment.

Connecticut should assess mathematics content with a rigorous assessment tool, such as the test required in Massachusetts, that evaluates mathematics knowledge beyond an elementary school level and challenges candidates' understanding of underlying mathematics concepts. Such a test could also be used to allow candidates to test out of coursework requirements. Teacher candidates who lack minimum mathematics knowledge should not be eligible for licensure.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis. The state added that new legislation will require all elementary teacher candidates to pass a rigorous mathematics exam prior to certification. A stakeholders group will convene in fall 2011 to choose the appropriate exam and set the passing score. Connecticut expects that the new mathematics exam will become effective on July 1, 2012.

The state also noted that the proposed certification regulations include a requirement that new bachelor-level early childhood and elementary teacher candidates complete an interdisciplinary major, which includes nine credits in mathematics. Connecticut expects that these courses will be taught by arts and sciences professors.

Supporting Research

PA 11-85

LAST WORD

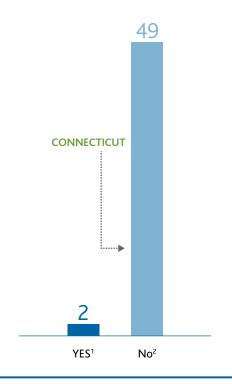
NCTQ looks forward to reviewing the state's progress in future editions of the *Yearbook*.



Massachusetts is the only state that ensures that its elementary teachers have sufficient knowledge of mathematics content. As part of its general curriculum test, the state utilizes a separately scored mathematics subtest that covers topics specifically geared to the needs of elementary teachers.

Figure 17

Do states articulate appropriate mathematics preparation for elementary teachers?

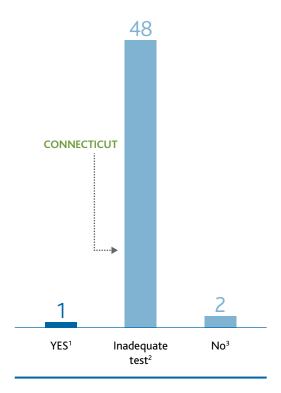


1. Strong Practice: Indiana, Massachusetts

 Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming

Figure 18

Do states measure new elementary teachers' knowledge of math?



1. Strong Practice: Massachusetts

- Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Minnesota, Mississippi, Missouri, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 3. Montana, Nebraska

Area 1: Delivering Well-Prepared Teachers

Goal E - Middle School Teacher Preparation

The state should ensure that middle school teachers are sufficiently prepared to teach appropriate grade-level content.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should encourage middle school candidates who intend to teach multiple subjects to earn minors in two core academic areas rather than earn a single major. Middle school candidates intending to teach a single subject area should earn a major in that area.
- The state should not permit middle school teachers to teach on a generalist license that does not differentiate between the preparation of middle school teachers and that of elementary teachers.
- 3. The state should require that new middle school teachers pass a licensing test in every core academic area they intend to teach.

Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



Area 1: Goal E **Connecticut** Analysis



State Meets Goal



Progress Since 2009

ANALYSIS

Connecticut requires a "middle grades certificate" for all middle school teachers. The state also requires that all middle school teacher candidates complete one of the following: a subject-area major, an interdisciplinary major, or 24 semester hours of study in a subject and 15 semester hours in a second subject.

All new middle school teachers in Connecticut are also required to pass a single-subject Praxis II content test to attain licensure; a general content knowledge test is not an option.

Supporting Research

Regulations of State Board of Education, Sec. 10-145d-444, 445, 446 www.ets.org/praxis

RECOMMENDATION

■ Differentiate between single and multiple subject middle school teachers.

Connecticut is commended for ensuring that middle school teachers are sufficiently prepared to teach middle school-level content. Connecticut should, however, consider refining its policy by requiring the single major for teachers who plan to teach a single subject and the equivalent of two minors for those intending to teach multiple subjects.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.



T EXAMPLES OF BEST PRACTICE

Arkansas, Georgia and Pennsylvania ensure that all middle school teachers are sufficiently prepared to teach middle school-level content. Teachers are required to earn at least two content-area minors. Georgia and Pennsylvania also require passing scores on single-subject content tests, and Arkansas requires a subject-matter assessment with separate passing scores for each academic area.

^{*} K-8 LICENSE NOT OFFERED Aself conso offered for self contained dassrooms Figure 20 Do states distinguish middle ▲ □ K-8 license offered grade preparation from elementary preparation? Alabama Alaska П П Arizona Arkansas California Colorado CONNECTICUT Delaware District of Columbia Florida П Georgia Hawaii Idaho П Illinois П 2 Indiana Iowa Kansas Kentucky Louisiana Maine Maryland Massachusetts Michigan Minnesota П Mississippi Missouri Montana Nebraska П Nevada New Hampshire New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma 4 Oregon Pennsylvania Rhode Island South Carolina South Dakota П Tennessee Texas Utah Vermont П Virginia Washington West Virginia 5 Wisconsin Wyoming 29 6 16

^{1.} California offers a K-12 generalist license for self-contained classrooms.

^{2.} Illinois offers K-9 license.

^{3.} With the exception of mathematics.

^{4.} Oregon offers 3-8 license.

^{5.} Wisconsin offers 1-8 license.

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	14
	12

^{1.} State does not explicitly require two minors, but it has equivalent requirements.

Pennsylvania has two options. One option requires a 30 credit concentration in one subject and nearly a minor (12 credits) in three additional subjects; the second option is 21 credits in two subject-area concentrations with 12 credits in two additional subjects.

Area 1: Delivering Well-Prepared Teachers

Goal F – Secondary Teacher Preparation

The state should ensure that secondary teachers are sufficiently prepared to teach appropriate grade-level content.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that secondary teachers pass a licensing test in every subject they intend to teach.
- 2. The state should require that secondary teachers pass a content test when adding subject-area endorsements to an existing license.

Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.

Figure 22 How States are Faring in Secondary Teacher Preparation **Best Practice States** Indiana, Tennessee 29 States Meet Goal Alabama, Arkansas, CONNECTICUT, Delaware, Florida, Georgia, Idaho, Illinois, Kansas, Kentucky, Maine, Massachusetts, Michigan, Minnesota, New Jersey, New York, North Dakota, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin States Nearly Meet Goal States Partly Meet Goal District of Columbia, Hawaii, Louisiana, Maryland, Mississippi, Missouri, Nevada, New Mexico States Meet a Small Part of Goal 12 States Do Not Meet Goal Alaska, Arizona, California, Colorado, Iowa, Montana, Nebraska, New Hampshire, North Carolina, Oregon, Rhode Island, Wyoming Progress on this Goal Since 2009: **New Goal**

Area 1: Goal F **Connecticut** Analysis



State Meets Goal



Progress Since 2009

ANALYSIS

Connecticut requires that its secondary teacher candidates pass a Praxis II content test to teach any core secondary subjects. Unfortunately, Connecticut permits a significant loophole to this important policy by allowing both general science and general social studies licenses, without requiring subject-matter testing for each subject area within these disciplines (see Goals 1-G and 1-H).

To add an additional field to a secondary license, teachers in Connecticut must pass a Praxis II content test as well as complete subject-specific coursework requirements, generally around 30 semester hours. However, as stated above, the state cannot guarantee content knowledge in each specific subject for those secondary teachers who add the combination science or general social studies endorsements.

Supporting Research

Connecticut Code 10-145f

Regulation of State Board of Education 10-145d-428, -451

RECOMMENDATION

Require subject-matter testing for all secondary teacher candidates.

Connecticut wisely requires subject-matter tests for most secondary teachers but should address any loopholes that undermine this policy (see Goals 1-G and 1-H). This applies to the addition of endorsements as well.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut asserted that science teachers may hold a content-specific certificate in the following five science areas: biology, chemistry, earth science, general science and/or physics. The state added that candidates must earn a major in that content area and pass a content-specific Praxis II exam, adding: "The general science certificate is typically used by middle school and freshman science courses that include content on biology, chemistry and physical science topics."

Connecticut also noted that the information regarding the general history/social studies certificate is accurate and is the only "general" certificate issued in the state.

LAST WORD

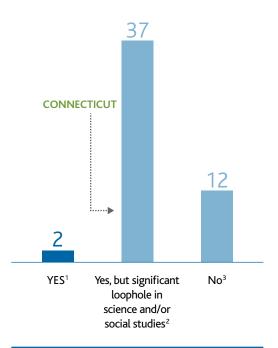
The issue of general science is addressed more fully in Goal 1-G. Connecticut should ensure that its requirements do not make it possible for secondary teachers to be licensed to teach any core subjects with insufficient content knowledge.



T EXAMPLES OF BEST PRACTICE

Not only do Indiana and Tennessee require that secondary teacher candidates pass a content test to teach any core secondary subjects, but these states also do not permit any significant loopholes to this important policy by allowing secondary general science or social studies licenses (see Goals 1-G and 1-H).

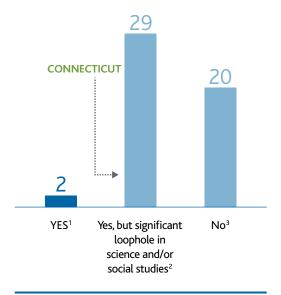
Figure 23 Do all secondary teachers have to pass a content test in every subject area for licensure?



1. Strong Practice: Indiana, Tennessee

- 2. Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nevada, New Jersey, New Mexico, New York, North Dakota, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin. (For more on loopholes, see Goals 1-G and 1-H.)
- 3. Alaska, Arizona, California, Colorado, Iowa, Montana, Nebraska, New Hampshire, North Carolina, Oregon, Rhode Island, Wyoming

Figure 24 Do all secondary teachers have to pass a content test in every subject area to add an endorsement?



1. Strong Practice: Indiana, Tennessee

- 2. Alabama, Arkansas, Connecticut, Delaware, Florida, Georgia, Idaho, Illinois, Kansas, Kentucky, Maine, Massachusetts, Michigan, Minnesota, New Jersey, New York, North Dakota, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin. (For more on loopholes, see Goals 1-G and 1-H.)
- 3. Alaska, Arizona, California, Colorado, District of Columbia, Hawaii, Iowa, Louisiana, Maryland, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, North Carolina, Oregon, Rhode Island, Wyoming

Goal G – Secondary Teacher Preparation in Science

The state should ensure that science teachers know all the subject matter they are licensed to teach.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require secondary science teachers to pass a subject-matter test of each science discipline they intend to teach.
- 2. The state should require middle school science teachers to pass a subject-matter test designed to ensure that prospective teachers cannot pass without sufficient knowledge of science.

Background



Area 1: Goal G **Connecticut** Analysis



State Partly Meets Goal



Progress Since 2009

ANALYSIS

Connecticut offers a general science endorsement; candidates must complete a major consisting of a minimum of 39 semester hours of credit in science including study in biology, chemistry, physics and earth science. They must also pass both the Praxis II "General Science" content test and the "General Science: Content Essays" test. Teachers with this license are not limited to teaching general science but rather can teach any of the topical areas.

Middle school science candidates in Connecticut must complete one of the following: a subject area major in either biology, physics, chemistry, earth science or general science; an interdisciplinary major in integrated science; or 24 semester hours in a subject (biology, physics, chemistry, earth science or general science) and either 15 semester hours in a second subject or 15 semester hours in an all-level endorsement subject. Commendably, candidates must also pass the Praxis II "Middle School Science" test.

Supporting Research

Regulations of State Board of Education 10-145d-446; 451 **Praxis Testing Requirements** www.ets.org

RECOMMENDATION

■ Require secondary science teachers to pass tests of content knowledge for each science discipline they intend to teach.

States that allow general science certifications or combination licenses across multiple science disciplines—and only require a general knowledge science exam—are not ensuring that these secondary teachers possess adequate subject-specific content knowledge. Connecticut's required assessments combine all subject areas (e.g., biology, chemistry, physics) and do not report separate scores for each subject area. Therefore, candidates could answer many—perhaps all—chemistry questions, for example, incorrectly, yet still be licensed to teach chemistry to high school students.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut asserted that science teachers may hold a content-specific certificate in the following five science areas: biology, chemistry, earth science, general science and/or physics. The state added that candidates must earn a major in that content area and pass a content-specific Praxis II exam: "The general science certificate is typically used by middle school and freshman science courses that include content on biology, chemistry and physical science topics."

LAST WORD

NCTQ is unable to find policy that limits teachers with a general science certificate to teach only general science courses. Rather than rely on assumed common understandings regarding which courses a teacher with a general science certificate may or may not teach, Connecticut should articulate a specific policy ensuring that all science teachers are required to pass a subject-specific content test for each area they plan to teach.

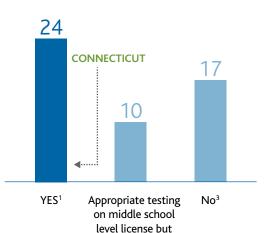
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T EXAMPLES OF BEST PRACTICE

New Jersey does not offer certification in general science for secondary teachers. Although the state allows a combination physical science certificate, it ensure adequate content knowledge in both chemistry and physics by requiring teacher candidates to pass individual content tests in chemistry, physics and general science. Further, middle school science teachers must pass a science-specific content test.

Figure 27 Do states ensure that middle school teachers have adequate preparation to teach science?



1. Strong Practice: Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Indiana, Kansas, Kentucky, Louisiana, Maryland, Massachusetts, Mississippi, Missouri, New Jersey, Ohio, Pennsylvania, South Carolina, South Dakota, Vermont, Virginia, West Virginia

not on K-8 generalist license²

- 2. Maine, Minnesota, Nevada, New Hampshire, New Mexico, North Dakota, Oklahoma, Utah, Washington, Wisconsin
- 3. Alaska, Arizona, California, Colorado, Idaho, Illinois, Iowa, Michigan, Montana, Nebraska, New York, North Carolina, Oregon, Rhode Island, Tennessee, Texas, Wyoming

Goal H – Secondary Teacher Preparation in Social Studies

The state should ensure that social studies teachers know all the subject matter they are licensed to teach.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should require secondary social studies teachers to pass a subject-matter test of each social studies discipline they intend to teach.
- The state should require middle school social studies teachers to pass a subject-matter test designed to ensure that prospective teachers cannot pass without sufficient knowledge of social studies.

Background



Area 1: Goal H **Connecticut** Analysis



State Partly Meets Goal



Progress Since 2009

ANALYSIS

Connecticut only offers an endorsement in "history and social studies" for secondary social studies teachers. Candidates must complete either a major in history with 18 semester hours in social studies; a major in political science, economics, geography, anthropology or sociology, with at least 18 semester hours in history; or an interdisciplinary major. They must also pass the Praxis II "Social Studies" content test. Teachers with this license are not limited to teaching general social studies but rather can teach any of the topical areas.

To teach history and social studies in middle school, Connecticut requires one of the following: a subject area major in social science, history, political science, economics, geography, anthropology or sociology; an interdisciplinary major in history/social science; or 24 semester hours of study in a subject and either 15 semester hours in another subject or 15 semester hours in an all-level endorsement subject. Commendably, candidates must also pass the Praxis II "Middle School Social Studies" test.

Supporting Research

Regulations of State Board of Education 10-145d-446; 451 **Praxis Testing Requirements** www.ets.org

RECOMMENDATION

Require secondary social studies teachers to pass tests of content knowledge for each social studies discipline they intend to teach.

States that allow general social studies certifications—and only require a general knowledge social studies exam—are not ensuring that their secondary teachers possess adequate subject-specific content knowledge. Connecticut's required assessment combines all subject areas (e.g., history, geography, economics) and does not report separate scores for each subject area. Therefore, candidates could answer many—perhaps all—history questions, for example, incorrectly, yet still be licensed to teach history to high school students.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

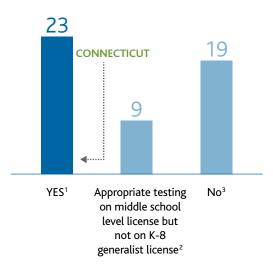
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TEXAMPLES OF BEST PRACTICE

Not only does Indiana ensure that its secondary social studies teachers possess adequate content knowledge of all subjects they intend to teach through both coursework and content testingbut the state's policy also does not make it overly burdensome for social studies teachers to teach multiple subjects. Other notable states include Georgia and South Dakota, which also do not offer secondary general social studies certifications.

Figure 30 Do states ensure that middle school teachers have adequate preparation to teach social studies?



- 1. Strong Practice: Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Indiana, Kansas, Kentucky, Louisiana, Maryland, Mississippi, Missouri, New Jersey, Ohio, Pennsylvania, South Carolina, South Dakota, Vermont, Virginia, West Virginia
- 2. Maine, Michigan, Minnesota, Nevada, New Hampshire, New Mexico, North Dakota, Oklahoma, Washington
- 3. Alaska, Arizona, California, Colorado, Idaho, Illinois, Iowa, Massachusetts, Montana, Nebraska, New York, North Carolina, Oregon, Rhode Island, Tennessee, Texas, Utah, Wisconsin, Wyoming

Figure 29

1. Massachusetts does not offer a general social studies license, but offers combination licenses.

Goal I – Special Education Teacher Preparation

The state should ensure that special education teachers know the subject matter they will be required to teach.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should not permit special education teachers to teach on a K-12 license that does not differentiate between the preparation of elementary teachers and that of secondary teachers.
- 2. All elementary special education candidates should have a broad liberal arts program of study that includes study in mathematics, science, English, social studies and fine arts and should be required to pass a subjectmatter test for licensure that is no less rigorous than what is required of general education candidates.
- 3. The state should require that teacher preparation programs graduate secondary special education teacher candidates who are highly qualified in at least two subjects. The state should also customize a "HOUSSE" route for new secondary special education teachers to help them achieve highly qualified status in all the subjects they teach.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

Background



Area 1: Goal I **Connecticut** Analysis



State Does Not Meet Goal



Raised for this Goal



Progress Since 2009

ANALYSIS

Regrettably, Connecticut only offers a 1-12 special education certification.

Supporting Research

Regulations of the Connecticut State Board of Education, 10-145d-540

RECOMMENDATION

■ End licensure practices that fail to distinguish between the skills and knowledge needed to teach elementary grades and secondary grades.

It is virtually impossible and certainly impractical for Connecticut to ensure that a K-12 special education teacher knows all the subject matter he or she is expected to be able to teach, especially considering state and federal expectations that special education students should meet the same high standards as other students. While the broad K-12 umbrella may be appropriate for teachers of low-incidence special education students, such as those with severe cognitive disabilities, it is deeply problematic for the overwhelming majority of high-incidence special education students, who are expected to learn grade-level content.

Provide a broad liberal arts program of study to elementary special education candidates, and require that they pass the same content test as general education teachers.

Connecticut should ensure that special education teacher candidates who will teach elementary grades possess knowledge of the subject matter at hand. Not only should the state require coresubject coursework relevant to the elementary classroom, but it should also require that these candidates pass the same subject-matter test required of all elementary teachers. Failure to ensure that teachers possess requisite content knowledge deprives special education students of the opportunity to reach their academic potential.

Ensure that secondary special education teacher candidates graduate with highly qualified status in at least two subjects, and customize a HOUSSE route so that they can achieve highly qualified status in all subjects they plan to teach.

To make secondary special education teacher candidates more flexible and better able to serve schools and students, Connecticut should use a combination of coursework and testing to ensure that they graduate with highly qualified status in two core academic areas. A customized HOUSSE route can also help new secondary special education teacher candidates to become highly qualified in multiple subjects by offering efficient means by which they could gain broad overviews of specific areas of content knowledge, such as content-driven university courses. Such a route is specifically permitted in the 2004 reauthorization of the Individuals with Disabilities Education Act (IDEA).

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

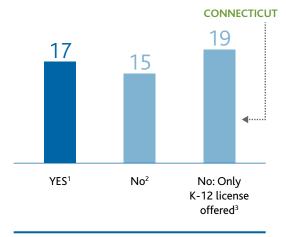
Figure 32		/ iji	/
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and secondary special	22	Puess	a K
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Virginia			
Washington			
West Virginia			
Wisconsin			
Wyoming			
3 6			



TEXAMPLES OF BEST PRACTICE

Unfortunately, NCTQ cannot highlight any state's policy in this area. Preparation of special education teachers remains a topic in critical need of states' attention. However, it is worth noting that three states—Louisiana, Pennsylvania and Texas—will no longer issue K-12 special education certifications. Only grade-level specific options will be available to new teachers.

Figure 33 Do states require subject-matter testing for elementary special education licenses?



- 1. Strong Practice: Alabama, Arkansas, Iowa, Kansas, Louisiana, Massachusetts, Michigan, Nebraska, New Jersey, New York, North Dakota, Oregon⁴, Pennsylvania⁵, Rhode Island, Texas, West Virginia, Wisconsin
- 2. Alaska, Georgia, Hawaii, Indiana, Maine, Maryland, Minnesota, Mississippi, Missouri, New Hampshire, South Dakota, Tennessee, Vermont, Washington, Wyoming
- 3. Arizona, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Idaho, Illinois, Kentucky, Montana, Nevada, New Mexico, North Carolina, Ohio, Oklahoma, South Carolina, Utah, Virginia
- 4. Although Oregon requires testing, the state allows an "alternative assessment" option for candidates who fail the tests twice to still be considered for a license.
- 5. In Pennsylvania, a candidate who opts for dual certification in elementary special education and as a reading specialist does not have to take a content test.

Figure 32

1. Beginning January 1, 2013

Goal J – Assessing Professional Knowledge

The state should use a licensing test to verify that all new teachers meet its professional standards.

Goal Components

(The factors considered in determining the states' rating for the goal.)

 The state should assess new teachers' knowledge of teaching and learning by means of a pedagogy test aligned to the state's professional standards.

Background



Area 1: Goal J **Connecticut** Analysis



State Meets a Small Part of Goal



Progress Since 2009

ANALYSIS

Connecticut only requires all new elementary teachers to pass a popular content test from the Praxis series that combines both subject-matter knowledge and pedagogy in order to attain licensure.

Supporting Research

www.ets.org/praxis

RECOMMENDATION

Require that all new teachers pass a pedagogy test to verify that they meet professional standards.

Connecticut should expand the requirement for a pedagogy test to new secondary teachers.

Verify that commercially available tests of pedagogy actually align with state standards.
Connecticut should ensure that its selected test of professional knowledge measures the knowledge and skills the state expects new teachers to have

CONNECTICUT RESPONSE TO ANALYSIS

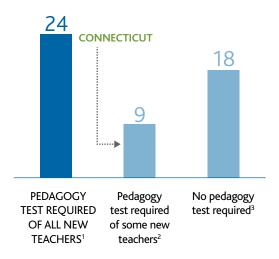
Connecticut recognized the factual accuracy of this analysis. The state added that it is considering the adoption of a pedagogy test. It plans to review available assessments to determine which ones align with Connecticut's Common Core of Teaching, the state-adopted teaching standards.



T EXAMPLES OF BEST PRACTICE

Twenty-three states meet this goal, and although NCTQ has not singled out one state's policies for "best practice" honors, it additionally commends the nine states (Arizona, California, Florida, Illinois, Minnesota, New Mexico, New York, Oklahoma, Texas) that utilize their own assessments to measure pedagogical knowledge and skills.

Figure 35 Do states measure new teachers' knowledge of teaching and learning?



- 1. Strong Practice: Arizona, Arkansas, California, District of Columbia, Florida, Illinois, Kansas, Kentucky, Louisiana, Maine, Minnesota, Mississippi, Nevada, New Mexico, New York, North Dakota, Ohio, Oklahoma, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, West Virginia
- 2. Connecticut, Idaho, Indiana, Maryland, Missouri, North Carolina, Pennsylvania, Utah⁴, Wyoming
- 3. Alabama, Alaska, Colorado, Delaware, Georgia, Hawaii, Iowa, Massachusetts, Michigan, Montana, Nebraska, New Hampshire, New Jersey, Oregon, Vermont, Virginia, Washington, Wisconsin
- 4. Not required until teacher advances from a Level One to a Level Two license.

Goal K - Student Teaching

The state should ensure that teacher preparation programs provide teacher candidates with a high-quality clinical experience.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should require that student teachers only be placed with cooperating teachers for whom there is evidence of their effectiveness as measured by consistent gains in student learning.
- 2. The state should require that teacher candidates spend at least 10 weeks student teaching.

Background



Area 1: Goal K **Connecticut** Analysis



State Partly Meets Goal



Progress Since 2009

ANALYSIS

Connecticut commendably requires that candidates complete at least 10 weeks of full-time student teaching with a trained cooperating teacher. This student teaching component must total at least six but not more than 12 semester hours.

Supporting Research

State of Connecticut Regulation of State Board of Education Section 10-145d-400; -36

RECOMMENDATION

■ Ensure that cooperating teachers have demonstrated evidence of effectiveness as measured by student learning.

Connecticut's requirement that cooperating teachers be trained is important but not sufficient. In addition to the ability to mentor an adult, cooperating teachers should also be carefully screened for their capacity to further student achievement. Research indicates that the only aspect of a student teaching arrangement that has been shown to have an impact on student achievement is the positive effect of selection of the cooperating teacher by the preparation program, rather than the student teacher or school district staff.

 Explicitly require that student teaching be completed locally, thus prohibiting candidates from completing this requirement abroad.

Unless preparation programs can establish true satellite campuses to closely supervise student teaching arrangements, placement in foreign or otherwise novel locales should be supplementary to a standard student teaching arrangement. Outsourcing the arrangements for student teaching makes it impossible to ensure the selection of the best cooperating teacher and adequate supervision of the student teacher and may prevent training of the teacher on relevant state instructional frameworks.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

Figure 37		/ 5
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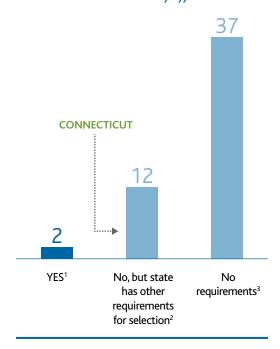
EXAMPLES OF BEST PRACTICE

Although no state has been singled out for "best practice" honors, Florida and Tennessee require teacher candidates to complete at least 10 weeks of full-time student teaching, and they have taken steps toward ensuring that cooperating teachers have demonstrated evidence of effectiveness as measured by student learning.

^{1.} Candidates can student teach for less than 12 weeks if determined to be proficient.

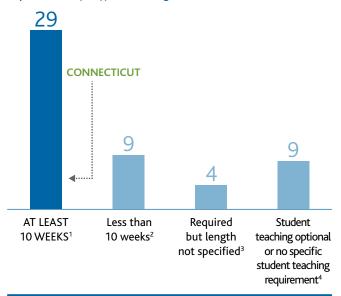
Figure 38

Is the selection of the cooperating teacher based on some measure of effectiveness?



- 1. Strong Practice: Florida, Tennessee
- 2. Alabama, Connecticut, Indiana, Iowa, Kentucky, Nebraska, New Hampshire, New Jersey, North Dakota, Pennsylvania, Washington, Wisconsin
- 3. Alaska, Arizona, Arkansas, California, Colorado, Delaware, District of Columbia, Georgia, Hawaii, Idaho, Illinois, Kansas, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nevada, New Mexico, New York, North Carolina, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, West Virginia, Wyoming

Figure 39
Is the summative student teaching experience of sufficient length?



- Strong Practice: Alabama, Arkansas, Connecticut, Florida, Hawaii, Iowa, Kansas, Kentucky, Michigan, Minnesota, Mississippi, Nebraska, New Hampshire, New Jersey, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Vermont, Washington, West Virginia⁵, Wisconsin
- 2. Idaho, Indiana, Louisiana, Massachusetts, Missouri, Nevada, New York, Virginia, Wyoming
- 3. Illinois, Maine, New Mexico, Utah
- 4. Alaska, Arizona, California, Colorado, Delaware, District of Columbia, Georgia, Maryland, Montana
- 5. Candidates can student teach for less than 12 weeks if determined to be proficient.

Goal L – Teacher Preparation Program Accountability

The state's approval process for teacher preparation programs should hold programs accountable for the quality of the teachers they produce.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should collect value-added data that connects student achievement gains to teacher preparation programs.
- 2. The state should collect other meaningful data that reflects program performance, including some or all of the following:
 - a. Average raw scores of teacher candidates on licensing tests, including basic skills, subject matter and professional knowledge tests;
 - b. Number of times, on average, it takes teacher candidates to pass licensing tests;
 - c. Satisfaction ratings by school principals and teacher supervisors of programs' student teachers, using a standardized form to permit program comparison;
 - d. Evaluation results from the first and/or second year of teaching;
 - e. Five-year retention rates of graduates in the teaching profession.
- 3. The state should establish the minimum standard of performance for each category of data. Programs should be held accountable for meeting these standards, with articulated consequences for failing to do so, including loss of program approval.
- 4. The state should produce and publish on its website an annual report card that shows all the data the state collects on individual teacher preparation programs.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

Background



Area 1: Goal L **Connecticut** Analysis



State Does Not Meet Goal



Bar Raised for this Goal



Progress Since 2009

ANALYSIS

Connecticut's approval process for its traditional and alternate route teacher preparation programs does not hold programs accountable for the quality of the teachers they produce.

Most importantly, Connecticut does not collect value-added data that connect student achievement gains to teacher preparation programs.

The state also fails to collect other objective, meaningful data to measure the performance of teacher preparation programs, and it does not apply any transparent, measurable criteria for conferring program approval. Regrettably, Connecticut sets a low bar in its definition of a low-performing institution, only requiring teacher preparation programs to show a Praxis II pass rate of at least 70 percent.

Further, there is no evidence that the state's standards for program approval are resulting in greater accountability. In the past three years, only one program in the state has been identified in required federal reporting as low performing.

Finally, Connecticut's website does not include a report card that allows the public to review and compare program performance.

Supporting Research

Educator Preparation Program Approval http://www.sde.ct.gov/sde/cwp/view.asp?a=2613&q=321812&sdePNavCtr=|#45565 Title II State Reports https://title2.ed.gov

RECOMMENDATION

Collect data that connect student achievement gains to teacher preparation programs.

To ensure that programs are producing effective classroom teachers, Connecticut should consider academic achievement gains of students taught by the programs' graduates, averaged over the first three years of teaching.

■ Gather other meaningful data that reflect program performance.

In addition to knowing whether programs are producing effective teachers, other objective, meaningful data can also indicate whether programs are appropriately screening applicants, and whether they are delivering essential academic and professional knowledge. Connecticut should gather data such as the following: average raw scores of graduates on licensing tests, including basic skills, subject matter and professional knowledge tests; satisfaction ratings by school principals and teacher supervisors of programs' student teachers, using a standardized form to permit program comparison; evaluation results from the first and/or second year of teaching; and five-year retention rates of graduates in the teaching profession.

Establish the minimum standard of performance for each category of data.

Programs should be held accountable for meeting these standards, with articulated consequences for failing to do so, including loss of program approval after appropriate due process.

Publish an annual report card on the state's website.

To inform the public with meaningful, readily understandable indicators of how well programs are doing, Connecticut should present all the data it collects on individual teacher preparation programs

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

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Reported institutional data do not distinguish between candidates in the traditional and alternate route programs.

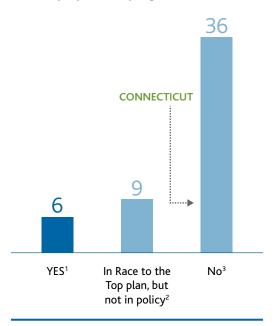
The posted data do not allow the public to review and compare program performance because data are not disaggregated by program provider.



T EXAMPLES OF BEST PRACTICE

Florida connects student achievement gains to teacher preparation programs. The state also relies on other objective, meaningful data to measure the performance of teacher preparation programs, and it applies transparent, measurable criteria for conferring program approval. Florida also posts an annual report on its website.

Figure 42 Do states use student achievement data to hold teacher preparation programs accountable?



- 1. Strong Practice: Colorado, Florida, Georgia, Louisiana, Tennessee, Texas
- 2. Delaware, District of Columbia, Hawaii, Maryland, Massachusetts, New York, North Carolina, Ohio, Rhode Island
- 3. Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, North Dakota, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming

Figure 43

Which states collect meaningful data?

AVERAGE RAW SCORES ON LICENSING TESTS

Alabama, Louisiana, Michigan, New Jersey, Tennessee, West Virginia

SATISFACTION RATINGS FROM SCHOOLS

Alabama, Arizona, Florida, Iowa, Kentucky, Maryland¹, Michigan, Mississippi, Missouri, Nevada, New Jersey, Tennessee, Virginia, Washington¹, West Virginia

EVALUATION RESULTS FOR PROGRAM GRADUATES

Alabama, Arizona, Delaware¹, Florida, Illiniois, Iowa, Pennsylvania, Rhode Island, South Carolina, Tennessee, Texas, Vermont

STUDENT LEARNING GAINS

Colorado, Florida, Georgia, Louisiana, Tennessee, Texas

TEACHER RETENTION RATES

Arizona, Colorado, Delaware¹, Missouri, New Jersey

1. For alternate route only

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According to information posted on NCATE's website.

Area 2: Expanding the Pool of Teachers

Goal A – Alternate Route Eligibility

The state should require alternate route programs to exceed the admission requirements of traditional preparation programs while also being flexible to the needs of nontraditional candidates.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. With some accommodation for work experience, alternate route programs should screen candidates for academic ability, such as requiring a minimum 2.75 overall college GPA
- 2. All alternate route candidates, including elementary candidates and those having a major in their intended subject area, should be required to pass the state's subject-matter licensing test.
- Alternate route candidates lacking a major in the intended subject area should be able to demonstrate subject-matter knowledge by passing a test of sufficient rigor.

Background



Area 2: Goal A **Connecticut** Analysis



State Nearly Meets Goal



Progress Since 2009

ANALYSIS

While the admission requirements for Connecticut's alternate route exceed those of traditional programs, flexibility for nontraditional candidates is limited to select candidates.

The Alternate Route to Certification (ARC) requires all candidates to have a minimum of a bachelor's degree with a major in, or closely related to, the intended teaching field. Applicants must have a minimum 3.0 GPA or the same minimum average in 24 semester hours of graduate study. A waiver may be granted in some extenuating circumstances.

ARC applicants must also pass a test of basic skills. SAT, ACT or GRE scores may be used in place of the basic skills test requirement. Although Connecticut requires candidates to pass a subject-matter test prior to entering the classroom, it is not required for admission to the alternate route program.

Connecticut does not offer all candidates a test-out option for required coursework. However, select candidates in an identified teacher shortage area, currently English, mathematics, music and world languages, are able to demonstrate subject-matter knowledge through a passing score on PRAXIS II.

Supporting Research

http://www.ctdhe.org/arc/pdfs/ARCCatalog.pdf

RECOMMENDATION

Require applicants to pass a subject-matter test prior to admission.

Connecticut should consider requiring the subject-matter test for admission to ARC. The concept behind alternate routes is that the nontraditional candidate is able to concentrate on acquiring professional knowledge and skills because he or she has strong subject-area knowledge. It seems ineffective to accept candidates and subsequently spend time training an individual who may not possess the required content knowledge.

■ Extend flexibility in fulfilling coursework requirements to all candidates.

Although Connecticut is recognized for allowing shortage area candidates the ability to demonstrate subject-matter knowledge on PRAXIS II, the state should consider whether it is appropriate to allow any candidate who already has the requisite knowledge and skills to demonstrate such by passing a rigorous test.

■ Eliminate basic skills test requirement.

The state's requirement that alternate route candidates pass a basic skills test is impractical and ineffectual. Although the state does allow candidates a waiver based on a range of evidence, Connecticut should consider eliminating the basic skills test requirement completely. Basic skills tests measure minimum competency—essentially those skills that a person should have acquired in middle school—and are inappropriate for candidates who have already earned a bachelor's degree. Passage of a basic skills test provides no assurance that the candidate has the appropriate subject-matter knowledge needed for the classroom.

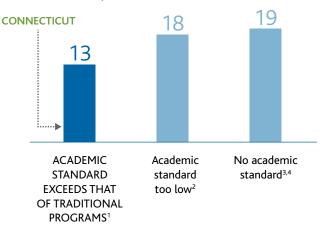
CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.



Figure 47

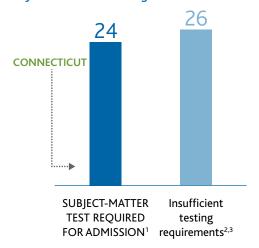
Do states require alternate routes to be selective?



- Strong Practice: Connecticut, District of Columbia, Illinois, Indiana, Iowa, Maryland, Michigan, Minnesota, New Jersey, New York, Pennsylvania, Rhode Island, Tennessee
- Alabama, Alaska, Arkansas, Florida, Kansas, Kentucky, Louisiana, Mississippi, Missouri, Nebraska, North Carolina, Ohio, Oklahoma, South Carolina, South Dakota, Texas, West Virginia, Wyoming
- Arizona, California, Colorado, Delaware, Georgia, Hawaii, Idaho, Maine, Massachusetts, Montana, Nevada, New Hampshire, New Mexico, Oregon, Utah, Vermont, Virginia, Washington, Wisconsin
- 4. North Dakota does not have an alternate route to certification.

Figure 48

Do states ensure that alternate route teachers have subject-matter knowledge?



- Strong Practice: Alabama, Arizona, Arkansas, Connecticut⁴, District of Columbia, Florida, Illinois⁴, Kansas, Kentucky, Louisiana, Massachusetts, Michigan, Minnesota, Mississippi, New Jersey, New York, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Virginia, Washington, West Virginia
- 2. State does not require test at all, exempts some candidates or does not require passage until program completion. Alaska, California, Colorado, Delaware, Georgia, Hawaii, Idaho, Indiana, Iowa, Maine, Maryland, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, North Carolina, Oregon, Rhode Island, Tennessee, Texas, Utah, Vermont, Wisconsin, Wyoming
- 3. North Dakota does not have an alternate route to certification.
- 4. Required prior to entering the classroom.

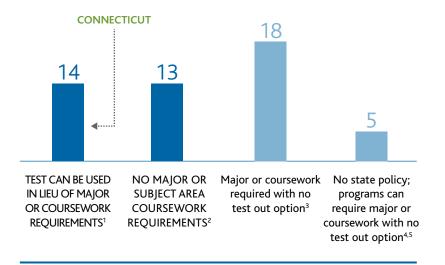
Figure 46

1. North Dakota does not have an alternate route to certification.



The **District of Columbia** and **Michigan** require candidates to demonstrate above-average academic performance as conditions of admission to an alternate route program, with both requiring applicants to have a minimum 3.0 GPA. In addition, neither state requires a content-specific major; subject-area knowledge is demonstrated by passing a test, making their alternate routes flexible to the needs of nontraditional candidates.

Figure 49
Do states accommodate the nontraditional background of alternate route candidates?



- Strong Practice: Alabama, California, Colorado, Connecticut⁶, Florida, Georgia, Maine, Maryland, North Carolina, Oklahoma, Oregon, Rhode Island, Tennessee, Texas
- 2. Strong Practice: Arizona, Arkansas, District of Columbia, Illinois, Iowa, Louisiana, Massachusetts, Michigan, Minnesota, Mississippi, Ohio, Virginia, Washington
- 3. Alaska, Delaware, Indiana, Kansas, Kentucky, Missouri, Montana, Nebraska, New Hampshire, New Jersey, New York, Pennsylvania, South Carolina, South Dakota, Utah, Vermont, West Virginia, Wyoming
- 4. Hawaii, Idaho, Nevada, New Mexico, Wisconsin
- 5. North Dakota does not have an alternate route to certification.
- 6. Test out option available to candidates in shortage areas only.

60 : NCTQ STATE TEACHER POLICY YEARBOOK 2011 CONNECTICUT

Area 2: Expanding the Pool of Teachers

Goal B – Alternate Route Preparation

The state should ensure that its alternate routes provide streamlined preparation that is relevant to the immediate needs of new teachers.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should ensure that the amount of coursework it either requires or allows is manageable for a novice teacher. Anything exceeding 12 credit hours of coursework in the first year may be counterproductive, placing too great a burden on the teacher. This calculation is premised on no more than six credit hours in the summer, three in the fall and three in the spring.
- 2. The state should ensure that alternate route programs offer accelerated study not to exceed six (three credit) courses for secondary teachers and eight (three credit) courses for elementary teachers (exclusive of any credit for practice teaching or mentoring) over the duration of the program. Programs should be limited to two years, at which time the new teacher should be eligible for a standard certificate.
- All coursework requirements should target the immediate needs of the new teacher (e.g., seminars with other grade-level teachers, training in a particular curriculum, reading instruction and classroom management techniques).
- 4. The state should ensure that candidates have an opportunity to practice teach in a summer training program. Alternatively, the state can require an intensive mentoring experience, beginning with a trained mentor assigned full time to the new teacher for the first critical weeks of school and then gradually reduced. The state should support only induction strategies that can be effective even in a poorly managed school: intensive mentoring, seminars appropriate to grade level or subject area, a reduced teaching load and frequent release time to observe effective teachers.

Background



Area 2: Goal B **Connecticut** Analysis



Best Practice State



Progress Since 2009

ANALYSIS

Connecticut offers its alternate route candidates streamlined preparation that meets the immediate needs of new teachers.

The Alternative Route to Certification requires 205 clock hours of coursework. Core sessions are provided on the foundations of education, and methods classes are subject-specific and focus on planning instruction, instructional methodology, classroom management and assessing student learning. A series of support seminars for beginning teachers is also provided.

The ARC has two tracks. Students in track I must practice teach for a minimum of four weeks with a cooperating teacher in the summer prior to placement. Track II candidates are required to participate in a five-week field placement during the spring before they enter the classroom. Most beginning teachers are assigned a mentor by the district. In addition, ARC also provides ongoing coaching to teachers on request.

Connecticut allows candidates to complete their requirements in an appropriate time frame with the track I program running full time for nine weeks during the summer. Track II is a weekend program that runs from October through May.

Supporting Research

http://www.ctdhe.org/arc/pdfs/ARCCatalog.pdf

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

62 : NCTQ STATE TEACHER POLICY YEARBOOK 2011 CONNECTICUT

Figure 51		RELEVANT COURSENU	/	/	/	
Do states' alternate rous	tes	□ RELEVANT COURSEU.	* /	PRACTICE TEACHING OPPORTUMITY CHING	/	
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EXAMPLES OF BEST PRACTICE

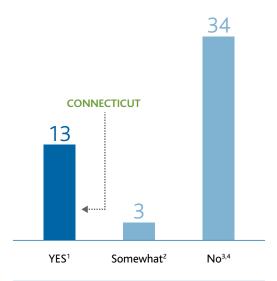
Connecticut ensures that its alternate route provides streamlined preparation that meets the immediate needs of new teachers. The state requires a manageable number of credit hours, relevant coursework, a field placement and intensive mentoring. Other notable states include Arkansas, Delaware, Georgia and New Jersey. These states provide streamlined, relevant coursework with intensive mentoring.

^{1.} Florida requires practice teaching or intensive mentoring.

^{2.} North Dakota does not have an alternate route to certification.

Figure 52

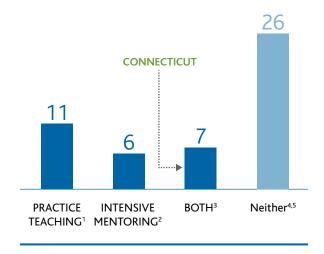
Do states curb excessive coursework requirements?



- Strong Practice: Alabama, Arkansas, Colorado, Connecticut, Delaware, Florida, Georgia, Maryland, Mississippi, New Jersey, Rhode Island, South Carolina, Virginia
- 2. Indiana, Nevada, Wyoming
- 3. Alaska, Arizona, California, District of Columbia, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Massachusetts, Michigan, Minnesota, Missouri, Montana, Nebraska, New Hampshire, New Mexico, New York, North Carolina, Ohio, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Utah, Vermont, Washington, West Virginia, Wisconsin
- 4. North Dakota does not have an alternate route to certification.

Figure 53

Do states require practice teaching or intensive mentoring?



- Strong Practice: Arizona, Indiana, Iowa, Louisiana, Michigan, Nebraska, New Mexico, Ohio, Rhode Island, Vermont, Virginia
- Strong Practice: Arkansas, Georgia, Kentucky, New Jersey, New York, West Virginia
- 3. Strong Practice: Alaska, Connecticut, Delaware, District of Columbia, Florida⁶, Maryland, Massachusetts
- Alabama, California, Colorado, Hawaii, Idaho, Illinois, Kansas, Maine, Minnesota, Mississippi, Missouri, Montana, Nevada, New Hampshire, North Carolina, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Utah, Washington, Wisconsin, Wyoming
- $5.\ North$ Dakota does not have an alternate route to certification.
- 6. Candidates are required to have one or the other, not both.

Area 2: Expanding the Pool of Teachers

Goal C – Alternate Route Usage and Providers

The state should provide an alternate route that is free from regulatory obstacles that limit its usage and providers.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should not treat the alternate route as a program of last resort or restrict the availability of alternate routes to certain subjects, grades or geographic areas.
- The state should allow districts and nonprofit organizations other than institutions of higher education to operate alternate route programs.
- 3. The state should ensure that its alternate route has no requirements that would be difficult to meet for a provider that is not an institution of higher education (e.g., an approval process based on institutional accreditation).

Background



Area 2: Goal C **Connecticut** Analysis



State Meets Goal



Progress Since 2009

ANALYSIS

Connecticut does not limit the usage or providers of its alternate route.

Connecticut is commended for having no restrictions on the usage of its alternate route with regard to subject, grade or geographic areas. Previous restrictions limiting alternate route teachers to shortage subject-areas have been lifted.

Connecticut has authorized alternative certification through the State Department of Education, Teach For America and Charter Oak State College. The state is commended for structuring its programs to allow a diversity of providers. A good diversity of providers helps all programs, both university- and non-university-based, to improve.

Supporting Research

http://www.ctdhe.org/arc/pdfs/ARCCatalog.pdf

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis. The state noted that it offers several other options of alternate route programs for granting additional endorsements in other teaching areas such as special education, TESOL, mathematics, remedial reading and administration. These programs are provided by Regional Education Service Centers, higher education institutions and other organizations.

66: NCTQ STATE TEACHER POLICY YEARBOOK 2011 CONNECTICUT





TEXAMPLES OF BEST PRACTICE

Twenty-six states meet this goal, and although NCTQ has not singled out one state's policies for "best practice" honors, it commends all states that permit both broad usage and a diversity of providers for their alternate routes.

Figure 56 Can alternate route teachers teach any subject or grade anywhere in the state?

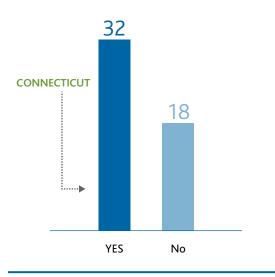
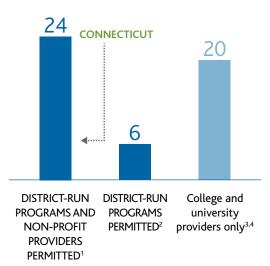


Figure 55 and 56

- 1. Alabama offers routes without restrictions for candidates with master's degrees. The route for candidates with bachelor's degrees is limited to
- 2. North Dakota does not have an alternate route to certification.

Figure 57

Do states permit providers other than colleges or universities?



- Strong Practice: Arizona, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Illinois, Kentucky, Louisiana, Maryland, Massachusetts, Michigan, Nevada, New Hampshire, New York, Ohio, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Texas, Virginia, Washington, Wisconsin
- 2. Strong Practice: California, Colorado, Georgia, North Carolina, Vermont⁵, West Virginia
- Alabama, Alaska, Hawaii, Idaho⁶, Indiana, Iowa, Kansas, Maine, Minnesota, Mississippi⁶, Missouri⁶, Montana, Nebraska, New Jersey⁷, New Mexico, Oregon, South Carolina⁶, South Dakota, Utah⁶, Wyoming
- 4. North Dakota does not have an alternate route to certification.
- 5. Districts can run Peer Review programs only.
- 6. ABCTE is also an approved provider.
- 7. Permits school districts to provide programs without university partnerships in some circumstances.

GENUINE OR NEARLY
GENUINE ALTERNATE ROUTE ∫ Offered route is disingenuous Figure 58 Alternate oute that need significant improvements Do states provide real alternative pathways to certification? Alabama П Alaska Arizona П Arkansas California Colorado CONNECTICUT Delaware District of Columbia Florida Georgia П П Hawaii П Idaho П Illinois П Indiana Iowa Kansas Kentucky П Louisiana Maine П Maryland П П Massachusetts Michigan Minnesota Mississippi П Missouri Montana Nebraska Nevada New Hampshire New Jersey New Mexico П П New York North Carolina North Dakota¹ П П Ohio П Oklahoma Oregon Pennsylvania Rhode Island South Carolina П South Dakota Tennessee П П Texas Utah Vermont Virginia П Washington West Virginia Wisconsin Wyoming 7 25 18

Figure 58

1. North Dakota does not have an alternate route to certification.

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Area 2: Expanding the Pool of Teachers

Goal D – Part-Time Teaching Licenses

The state should offer a license with minimal requirements that allows content experts to teach part time.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- Either through a discrete license or by waiving most licensure requirements, the state should authorize individuals with content expertise to teach as part-time instructors.
- 2. All candidates for a part-time teaching license should be required to pass a subject-matter test.
- 3. Other requirements for this license should be limited to those addressing public safety (e.g., background screening) and those of immediate use to the novice instructor (e.g., classroom management training).

Background



Area 2: Goal D **Connecticut** Analysis



State Does Not Meet Goal Progress Since 2009



ANALYSIS

Connecticut does not offer a license with minimal requirements that would allow content experts to teach part time.

RECOMMENDATION

Offer a license that allows content experts to serve as part-time instructors.

Connecticut should permit individuals with deep subject-area knowledge to teach a limited number of courses without fulfilling a complete set of certification requirements. The state should verify content knowledge through a rigorous test and conduct background checks as appropriate, while waiving all other licensure requirements. Such a license would increase districts' flexibility to staff certain subjects, including many STEM areas, that are frequently hard to staff or may not have high enough enrollment to necessitate a full-time position.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis but noted that the Connecticut State Board of Education has proposed an "Adjunct Faculty Permit" that would allow a person employed by a higher education institution to teach in a K-12 setting on a part-time basis in an inter-district magnet school.

Supporting Research

http://www.sde.ct.gov/sde/cwp/view.asp?a=2613&q=322114

LAST WORD

The Adjunct Faculty Permit appears to be a step in the right direction. The state should consider expanding this permit to include others with deep content-area expertise in addition to college faculty.

Figure 61 Do states offer a license with minimal requirements that allows content experts to teach part-time? YES No Alabama Alaska Arizona Arkansas California Colorado CONNECTICUT Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisiana Maine Maryland Mass a chusettsMichigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire New Jersey New Mexico New York 2 П North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island South Carolina South Dakota Tennessee Texas Utah Vermont Virginia 2 Washington West Virginia Wisconsin Wyoming 16 35



T EXAMPLES OF BEST PRACTICE

Arkansas offers a license with minimal requirements that allows content experts to teach part time. Individuals seeking this license must pass a subject-matter test and are also required to complete specially-designed pedagogy training that is not overly burdensome.

^{1.} License has restrictions.

^{2.} It appears that the state has a license that may be used for this purpose; guidelines are vague.

Area 2: Expanding the Pool of Teachers

Goal E – Licensure Reciprocity

The state should help to make licenses fully portable among states, with appropriate safeguards.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should offer a standard license to fully certified teachers moving from other states, without relying on transcript analysis or recency requirements as a means of judging eligibility. The state can and should require evidence of good standing in previous employment.
- The state should uphold its standards for all teachers by insisting that certified teachers coming from other states meet the incoming state's testing requirements.
- 3. The state should accord the same license to teachers from other states who completed an approved alternate route program that it accords teachers prepared in a traditional preparation program.

Background



Area 2: Goal E **Connecticut** Analysis



State Does Not Meet Goal



Progress Since 2009

ANALYSIS

Connecticut does not support licensure reciprocity for certified teachers from other states.

Unfortunately, Connecticut allows out-of-state teachers with three years of teaching experience in the requested subject under a Level II certificate to be exempt from the state's subject-area and basic skills tests.

Certificated out-of-state teachers may be eligible for a comparable Connecticut license if they have completed an approved program at a regionally accredited institution or can verify 20 months of experience in the same public school.

Moreover, transcripts are required for all applicants; however, it is not clear whether the state analyzes these transcripts to determine whether a teacher was prepared through a traditional or alternate route or whether additional coursework will be required.

Connecticut is also a participant in the NASDTEC Interstate Agreement; however, the latest iteration of this agreement no longer purports to be a reciprocity agreement among states and thus is no longer included in this analysis.

Supporting Research

Certification for Out-of-State Applicants www.sde.ct.gov/sde/cwp/view.asp?a=2613&q=321284

RECOMMENDATION

■ To uphold standards, require that teachers coming from other states meet testing requirements.

Connecticut should insist that out-of-state teachers meet its own testing requirements, and it should not provide any waivers of its teacher tests unless an applicant can provide evidence of a passing score under its own standards.

Offer a standard license to certified out-of-state teachers, absent unnecessary requirements.

Connecticut should consider adopting a more flexible policy regarding portability. Transcript reviews are not a particularly meaningful or efficient exercise, and the state should consider discontinuing its requirement for the submission of transcripts for all teachers. Transcript analysis is likely to result in additional coursework requirements, even for traditionally prepared teachers; alternate route teachers, on the other hand, may have to virtually begin anew, repeating some, most or all of a teacher preparation program in Connecticut.

Connecticut should also drop its requirement regarding experience in the same school because it may deter talented teachers from applying for certification in the state.

Accord the same license to out-of-state alternate route teachers as would be accorded to traditionally prepared teachers.

Connecticut's certification requirement regarding completion of an approved program at a regionally accredited institution or verification of 20 months of experience in the same public school not only has no apparent justification, but it also is especially burdensome for alternate route teachers.

Regardless of whether a teacher was prepared through a traditional or alternate route, all certified out-of-state teachers should receive equal treatment. State policies that discriminate against teachers who were prepared in an alternate route are not supported by evidence. In fact, a substantial body of research has failed to discern differences in effectiveness between alternate and traditional route teachers

CONNECTICUT RESPONSE TO ANALYSIS

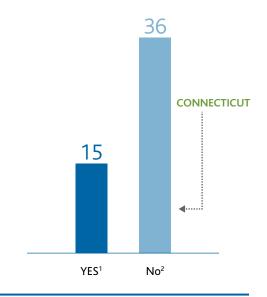
Connecticut asserted that anyone who has completed a credit-based alternate route to certification (ARC) program may apply for certification in the state just like a traditionally educated candidate. If the ARC is noncredit bearing, but the candidate has demonstrated "effectiveness" by serving successfully in one district for a period of 30 months under an out-of-state certificate, he or she will receive a provisional certificate. If the ARC is noncredit bearing, but the candidate does not have 30 months of experience, he or she will be issued a temporary 90-day certificate, similar to what the ARC completer from Connecticut would receive. After successful completion, the employing district will request issuance of an initial certificate at the conclusion of the 90-day period.



T EXAMPLES OF BEST PRACTICE

Alabama and Texas appropriately support licensure reciprocity by only requiring certified teachers from other states to meet each state's own testing requirements and by not specifying any additional coursework or recency requirements to determine eligibility for either traditional or alternate route teachers.

Figure 63 Do states require all out-of-state teachers to pass their licensure tests?



- 1. Strong Practice: Alabama, Alaska, Idaho, Illinois, Massachusetts, Minnesota, New York³, North Dakota, Ohio, Pennsylvania³, South Dakota, Texas, Utah, Washington³, Wisconsin
- 2. Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Mississippi, Missouri, Montana⁴, Nebraska⁴, Nevada, New Hampshire, New Jersey, New Mexico, North Carolina, Oklahoma, Oregon, Rhode Island, South Carolina, Tennessee, Vermont, Virginia. West Virginia, Wyoming
- 3. Exception for teachers with National Board Certification.
- 4. No subject-matter testing for any teacher certification.

Figure 64

- 1. For traditionally prepared teachers only.
- 2. Transcript review required for those with less than 3 years experience.



igure 65 Do states treat out-of-s	tate her size in the size in t	State specifies different Oute beather, for alf-ent	Sate has policies with the for alternate route teaches
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Goal A – State Data Systems

The state should have a data system that contributes some of the evidence needed to assess teacher effectiveness.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should establish a longitudinal data system with at least the following key components:
 - a. A unique statewide student identifier number that connects student data across key databases across years;
 - b. A unique teacher identifier system that can match individual teacher records with individual student records; and
 - c. An assessment system that can match individual student test records from year to year in order to measure academic growth.
- 2. Value-added data provided through the state's longitudinal data system should be considered among the criteria used to determine teachers' effectiveness.
- 3. To ensure that data provided through the state data system is actionable and reliable, the state should have a clear definition of "teacher of record" and require its consistent use statewide.

Background



Area 3: Goal A **Connecticut** Analysis



State Partly Meets Goal



Progress Since 2009

ANALYSIS

Connecticut does not have a data system that can be used to provide evidence of teacher effectiveness.

However, Connecticut does have two of three necessary elements that would allow for the development of a student- and teacher-level longitudinal data system. The state has assigned unique student identifiers that connect student data across key databases across years, and it has the capacity to match student test records from year to year in order to measure student academic growth.

Although Connecticut assigns teacher identification numbers, it cannot match individual teacher records with individual student records.

Supporting Research

Data Quality Campaign www.dataqualitycampaign.org

RECOMMENDATION

Develop capacity of state data system.

Connecticut should ensure that its state data system is able to match individual teacher records with individual student records.

Develop a clear definition of "teacher of record."

Connecticut has not yet established a definition of teacher of record, which is essential in order to use the student-data link for teacher evaluation and related purposes. To ensure that data provided through the state data system are actionable and reliable, Connecticut should articulate a definition of teacher of record and require its consistent use throughout the state.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis. The state added that beginning September 2011, districts will upload files that include the following: courses being taught as of June 2011, students who were taking those courses as of June 2011 and the teacher who was teaching each course as of June 2011. An annual report will be produced. Connecticut also noted that it has now defined teacher of record as the "teacher whose name appears on the report card or transcript for individual students."

Figure 67	■ ■ UNQUESTUDENTING	ier Chiere	/
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Utah			
Vermont			
Virginia			
Washington			
West Virginia			
Wisconsin			
Wyoming			
	50	35	50



EXAMPLES OF BEST PRACTICE

Although NCTQ has not singled out one state's policies for "best practice" honors, it commends the 35 states that have a data system with the capacity to provide evidence of teacher effectiveness.

Key

indicates that the state assigns teacher identification numbers, but it cannot match individual teacher records with individual student records.

Goal B – Evaluation of Effectiveness

The state should require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should either require a common evaluation instrument in which evidence of student learning is the most significant criterion or specifically require that student learning be the preponderant criterion in local evaluation processes. Evaluation instruments, whether state or locally developed, should be structured to preclude a teacher from receiving a satisfactory rating if found ineffective in the classroom.
- 2. Evaluation instruments should require classroom observations that focus on and document the effectiveness of instruction.
- 3. Teacher evaluations should consider objective evidence of student learning, including not only standardized test scores but also classroom-based artifacts such as tests, quizzes and student work.
- 4. The state should require that evaluation instruments differentiate among various levels of teacher performance. A binary system that merely categorizes teachers as satisfactory or unsatisfactory is inadequate.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

Background



Area 3: Goal B **Connecticut** Analysis



State Partly Meets Goal



Bar Raised for this Goal Progress Since 2009



ANALYSIS

Connecticut does not require that objective evidence of student learning be the preponderant criterion of its teacher evaluations.

The state allows local school districts to develop their own teacher evaluation instruments that are consistent with a comprehensive list of guidelines and best practices promulgated by the State Board of Education. These guidelines include demonstrating "a clear link between teacher evaluation and professional development and improved student learning." Evidence of student learning includes "teacher and administrator assessment of student work samples, performance measures (e.g., holistic scoring of writing) as well as teacher designed tests and standardized tests."

Further, Connecticut's recent legislation regarding education reform requires that evaluations include "multiple indicators" of student growth. However, this new policy does not ensure that evidence of student learning will be the most significant factor.

Classroom observations are considered "a necessary, but not sufficient, means to evaluate teaching," and must include strengths, areas needing improvement and strategies for improvement.

Supporting Research

Connecticut General Statute, chapter 166, section 10-151b

Public Act No. 10-111

Connecticut Guidelines for Teacher Evaluation and Professional Development http://www.sde.ct.gov/sde/lib/sde/PDF/EducatorStandards/commit.pdf

RECOMMENDATION

Require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

Although Connecticut's requirement of multiple indicators of student growth is a step in the right direction, it falls short by failing to require that evidence of student learning be the most significant criterion. The state should either require a common evaluation instrument in which evidence of student learning is the most significant criterion, or it should specifically require that student learning be the preponderant criterion in local evaluation processes. This can be accomplished by requiring objective evidence to count for at least half of the evaluation score or through other scoring mechanisms, such as a matrix, that ensure that nothing affects the overall score more. Whether state or locally developed, a teacher should not be able to receive a satisfactory rating if found ineffective in the classroom.

Ensure that evaluations also include classroom observations that specifically focus on and document the effectiveness of instruction.

Although Connecticut commendably requires classroom observations as part of teacher evaluations, the state should articulate guidelines that focus classroom observations on the quality of instruction, as measured by student time on task, student grasp or mastery of the lesson objective and efficient use of class time.

Utilize rating categories that meaningfully differentiate among various levels of teacher performance.

To ensure that the evaluation instrument accurately differentiates among levels of teacher performance, Connecticut should require districts to utilize multiple rating categories, such as highly effective, effective, needs improvement and ineffective. A binary system that merely categorizes teachers as satisfactory or unsatisfactory is inadequate.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis. The state added that it is currently working with the stakeholder group to revise the Educator Evaluation Guidelines. Meetings are held regularly, and one of the remaining issues is to address how much student growth will weigh when determining the overall evaluation outcome. Connecticut also plans to define performance levels.

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T EXAMPLES OF BEST PRACTICE

NCTQ has not singled out any one state for "best practice" honors. Many states have made significant strides in the area of teacher evaluation by requiring that objective evidence of student learning be the preponderant criterion. Because there are many different approaches that result in student learning being the preponderant criterion, all 10 states that meet this goal are commended for their efforts.

Figure 70

Using state data in teacher evaluations

States with Requirements for Student Achievement Data but Lacking Data System Capacity

Arizona, Colorado, CONNECTICUT, Michigan, Nevada

States with Data System Capacity but No Student Achievement Requirements

Alabama, Hawaii, Iowa, Kansas, Kentucky, Mississippi, Missouri, Nebraska, New Hampshire, New Mexico, North Dakota, Pennsylvania, South Carolina, Washington, West Virginia, Wisconsin

Figure 69

^{1.} District of Columbia Public Schools requires that student learning be the preponderant criterion of its teacher evaluations.

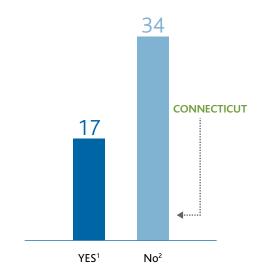
Figure 71
Sources of objective evidence of student learning

Many educators struggle to identify possible sources of objective student data. Here are some examples:

- Standardized test scores
- Periodic diagnostic assessments
- Benchmark assessments that show student growth
- Artifacts of student work connected to specific student learning standards that are randomly selected for review by the principal or senior faculty, scored using rubrics and descriptors
- Examples of typical assignments, assessed for their quality and rigor
- Periodic checks on progress with the curriculum coupled with evidence of student mastery of the curriculum from quizzes, tests and exams

Figure 72

Do states require more than two categories for teacher evaluation ratings?



- Strong Practice: Arkansas, Colorado, Delaware, Florida, Georgia, Illinois, Indiana, Maryland, Massachusetts, Michigan, Nevada, New York, Ohio, Oklahoma, Rhode Island, Tennessee, Washington
- Alabama, Alaska, Arizona, California, Connecticut, District of Columbia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Louisiana, Maine, Minnesota, Mississippi, Missouri, Montana, Nebraska, New Hampshire, New Jersey, New Mexico, North Carolina, North Dakota, Oregon, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, West Virginia, Wisconsin, Wyoming

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^{1.} State approval required.

^{2.} The state model is presumptive; districts need state approval to opt out.

Goal C – Frequency of Evaluations

The state should require annual evaluations of all teachers.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that all teachers receive a formal evaluation rating each year.
- While all teachers should have multiple observations that contribute to their formal evaluation rating, the state should ensure that new teachers are observed and receive feedback early in the school year.

Background



Area 3: Goal C **Connecticut** Analysis



State Partly Meets Goal



Progress Since 2009

ANALYSIS

Commendably, all teachers in Connecticut must be evaluated annually.

However, the state's policy does not include any guidelines on when evaluations for new teachers should occur, except that "the superintendent shall report the status of teacher evaluations to the local or regional board of education on or before June 1st of each year."

Supporting Research

Connecticut Statute 10-151(b)

RECOMMENDATION

Base evaluations on multiple observations.

To guarantee that annual evaluations are based on an adequate collection of information, Connecticut should require multiple observations for all teachers, even those who have nonprobationary status.

■ Ensure that new teachers are observed and receive feedback early in the school year.

It is critical that schools and districts closely monitor the performance of new teachers. Connecticut should ensure that its new teachers get the support they need and that supervisors know early on which new teachers may be struggling or at risk for unacceptable levels of performance.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.



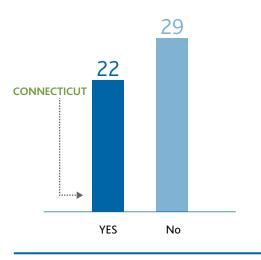


T EXAMPLES OF BEST PRACTICE

Although not awarding "best practice" honors for frequency of evaluations, NCTQ commends all nine states that meet this goal not only by requiring annual evaluations for all teachers, but also for ensuring that new teachers are observed and receive feedback during the first half of the school year.

Figure 76

Do states require districts to evaluate all teachers each year?

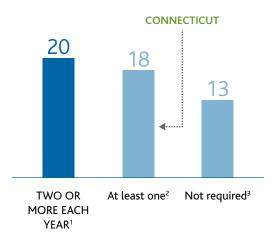


Figures 75 and 76

- 1. Although highly effective teachers are only required to receive a summative evaluation once every two years, the student improvement component is evaluated annually.
- 2. All District of Columbia Public Schools teachers are evaluated at least annually.

Figure 77

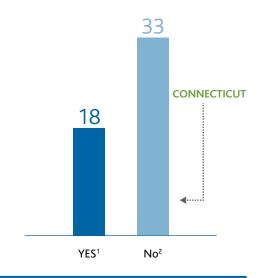
Do states require classroom observations?



- Strong Practice: Alabama, Alaska⁴, Arkansas, Colorado⁴, Delaware, Florida⁴, Georgia, Kentucky⁴, Maryland, Michigan, Missouri⁴, Nevada⁴, New York, North Carolina, Ohio, Oregon⁴, Rhode Island, Tennessee, Washington, West Virginia⁴
- Arizona, California, Connecticut, Hawaii, Idaho, Illinois, Indiana, Louisiana, Massachusetts, Mississippi, New Jersey, New Mexico, Oklahoma, Pennsylvania, South Carolina, Texas, Utah, Wisconsin
- 3. District of Columbia, Iowa, Kansas, Maine, Minnesota, Montana, Nebraska, New Hampshire, North Dakota, South Dakota, Vermont, Virginia, Wyoming
- 4. For new teachers.

Figure 78

Do states require that new teachers are observed early in the year?



- Strong Practice: Alabama, Idaho, Indiana, Kansas, Kentucky, Maryland, Minnesota, Nebraska, Nevada, New Jersey, North Dakota, Ohio, Oklahoma, Rhode Island, South Carolina, Tennessee, Washington, West Virginia
- 2. Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Illinois, Iowa, Louisiana, Maine, Massachusetts, Michigan, Mississippi, Missouri, Montana, New Hampshire, New Mexico, New York, North Carolina, Oregon, Pennsylvania, South Dakota, Texas, Utah, Vermont, Virginia, Wisconsin, Wyoming

Goal D - Tenure

The state should require that tenure decisions are based on evidence of teacher effectiveness.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- A teacher should be eligible for tenure after a certain number of years of service, but tenure should not be granted automatically at that juncture.
- 2. Evidence of effectiveness should be the preponderant criterion in tenure decisions.
- The state should articulate a process, such as a hearing, that local districts must administer in considering the evidence and deciding whether a teacher should receive tenure.
- 4. The minimum years of service needed to achieve tenure should allow sufficient data to be accumulated on which to base tenure decisions; five years is the ideal minimum.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

Background



Area 3: Goal D **Connecticut** Analysis



State Meets a Small Part of Goal 🕟 Bar Raised for this Goal 🕞 Progress Since 2009





ANALYSIS

Connecticut does not connect tenure decisions to evidence of teacher effectiveness.

Teachers in Connecticut are awarded tenure automatically after a four-year probationary period, absent an additional process that evaluates cumulative evidence of teacher effectiveness.

Supporting Research

General Statutes of Connecticut Title 10, Chapter 166, Sec. 10-151

RECOMMENDATION

End the automatic awarding of tenure.

The decision to grant tenure should be a deliberate one, based on consideration of a teacher's commitment and actual evidence of classroom effectiveness.

- Ensure evidence of effectiveness is the preponderant criterion in tenure decisions.
 - Connecticut should make evidence of effectiveness, rather than the number of years in the classroom, the most significant factor when determining this leap in professional standing.
- Articulate a process that local districts must administer when deciding which teachers get tenure.
 - Connecticut should require a clear process, such as a hearing, to ensure that the local district reviews a teacher's performance before making a determination regarding tenure.
- Require a longer probationary period.

Connecticut's probationary period is longer than that of most other states. However, the state should make certain that it allows for a collection of sufficient data that reflect teacher performance.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

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Teachers may also earn career status with an average rating of at least effective for a four-year period and a rating of at least effective for the last two years.

^{2.} Teachers who receive two years of ineffective evaluations are dismissed.

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TEXAMPLES OF BEST PRACTICE

Michigan has increased its probationary period to five years and requires that evidence of effectiveness be the primary criterion in awarding tenure.

Figure 82 How are tenure decisions made?

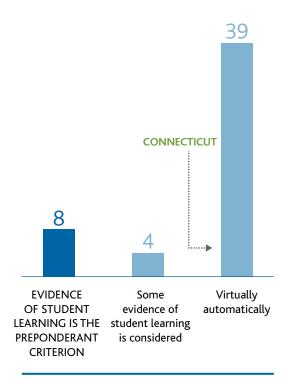


Figure 81

- 1. No state-level policy; however, the contract between DCPS and the teachers' union represents significant advancement in the area of
- 2. The state has created a loophole by essentially waiving student learning requirements and allowing the principal of a school to petition for career-teacher status.

Goal E – Licensure Advancement

The state should base licensure advancement on evidence of teacher effectiveness.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should base advancement from a probationary to a nonprobationary license on evidence of teacher effectiveness.
- 2. The state should not require teachers to fulfill generic, unspecified coursework requirements to advance from a probationary to a nonprobationary license.
- 3. The state should not require teachers to have an advanced degree as a condition of professional licensure.
- 4. Evidence of effectiveness should be a factor in the renewal of a professional license.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

Background



Area 3: Goal E **Connecticut** Analysis



State Does Not Meet Goal



Raised for this Goal



Progress Since 2009

ANALYSIS

Connecticut's requirements for licensure advancement and renewal are not based on evidence of teacher effectiveness.

Connecticut's three-tier continuum for teacher certifications includes the Initial Educator Certificate, Provisional Educator Certificate and Professional Educator Certificate. To advance to the second tier, the Provisional Certificate (which is valid for eight years), teachers are required to complete 10 months of successful appropriate experience under the Initial Educator Certificate or Interim Initial Educator Certificate in a Connecticut public school and the teacher induction/mentoring program, as made available by the Connecticut State Board of Education, or 30 months of experience within 10 years in an approved system. To advance to the third tier, the Professional Certificate, teachers are required to complete 30 months of experience under the Provisional Certificate and any additional coursework prescribed for the endorsement requested, generally a master's degree or 30 semester hours of graduate credit.

Connecticut does not include evidence of effectiveness as a factor in the renewal of a professional license. The state requires teachers to renew their licenses every five years by completing nine continuing education units, the equivalent of 90 hours of professional development or six hours of graduate credit from a regionally accredited institution.

Supporting Research

http://www.sde.ct.gov/sde/lib/sde/pdf/cert/maintaining1109aw.pdf

RECOMMENDATION

- Require evidence of effectiveness as a part of teacher licensing policy.
 - Connecticut should require evidence of teacher effectiveness to be a factor in determining whether teachers can renew their licenses or advance to a higher-level license.
- Discontinue license requirements with no direct connection to classroom effectiveness.
 - While targeted requirements may potentially expand teacher knowledge and improve teacher practice, Connecticut's general, nonspecific coursework requirements for license advancement and renewal merely call for teachers to complete a certain amount of seat time. These requirements do not correlate with teacher effectiveness.
- End teacher advancement tied to master's degrees.
 - Connecticut should revise its policy for its Professional Certification by removing the expectation that teachers will obtain a master's degree or its equivalent in coursework. Research is conclusive and emphatic that master's degrees do not have any significant correlation to classroom performance. Rather, advancement should be based on evidence of teacher effectiveness.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

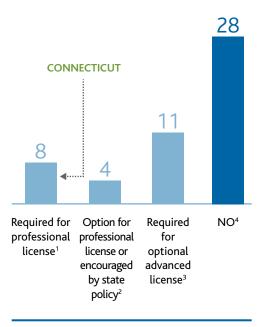
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T EXAMPLES OF BEST PRACTICE

Rhode Island is integrating certification, certification renewal and educator evaluation. Teachers who receive poor evaluations for five consecutive years are not eligible to renew their certification. In addition, teachers who consistently receive 'highly effective' ratings will be eligible for a special license designation.

Figure 85

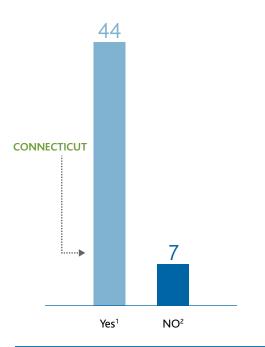


- 1. Connecticut, Kentucky, Maryland, Michigan, Mississippi, Montana, New York and Oregon all require a master's degree or coursework equivalent to a master's degree
- 2. Illinois, Massachusetts, Missouri, Tennessee
- 3. Alabama, Hawaii, Indiana, Iowa, Nebraska, New Mexico, Ohio, South Carolina, Utah, Virginia, West Virginia
- 4. Strong Practice: Alaska, Arizona, Arkansas, California, Colorado, Delaware, District of Columbia, Florida, Georgia, Idaho, Kansas, Louisiana, Maine, Minnesota, Nevada, New Hampshire, New Jersey, North Carolina, North Dakota, Oklahoma, Pennsylvania, Rhode Island, South Dakota, Texas, Vermont, Washington, Wisconsin, Wyoming

- 1. Illinois allows revocation of licenses based on ineffectiveness.
- 2. Maryland uses some objective evidence through their evaluation system for renewal, but advancement to professional license is still based on earning an advanced degree.

Figure 86

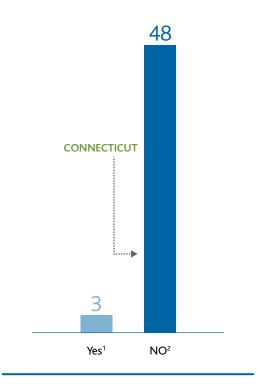
Do states require teachers to take additional, nonspecific coursework before conferring or renewing professional licenses?



- Alabama, Alaska, Arizona, Arkansas, Colorado, Connecticut, Delaware, District of Columbia, Florida, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 2. Strong Practice: California, Georgia, Hawaii, Louisiana, New Jersey, New Mexico, Rhode Island

Figure 87

Do states award lifetime professional licenses?



- 1. New Jersey, Pennsylvania, West Virginia
- 2. Strong Practice: Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, Wisconsin, Wyoming

Goal F – Equitable Distribution

The state should publicly report districts' distribution of teacher talent among schools to identify inequities in schools serving disadvantaged children.

Goal Components

(The factors considered in determining the states' rating for the goal.)

The state should make the following data publicly available:

- 1. An "Academic Quality" index for each school that includes factors research has found to be associated with teacher effectiveness, such as:
 - a. percentage of new teachers;
 - b. percentage of teachers failing basic skills licensure tests at least once;
 - c. percentage of teachers on emergency credentials;
 - d. average selectivity of teachers' undergraduate institutions; and
 - e. teachers' average ACT or SAT scores;
- The percentage of highly qualified teachers disaggregated by both individual school and by teaching area;
- The annual teacher absenteeism rate reported for the previous three years, disaggregated by individual school;
- 4. The average teacher turnover rate for the previous three years, disaggregated by individual school, by district and by reasons that teachers leave.

Background



Area 3: Goal F **Connecticut** Analysis



State Partly Meets Goal



Progress Since 2009

ANALYSIS

Providing comprehensive reporting may be the state's most important role for ensuring the equitable distribution of teachers among schools. Connecticut reports some school-level data that can help support the equitable distribution of teacher talent among schools within districts.

Although Connecticut does not provide a school-level teacher quality index that demonstrates the academic backgrounds of a school's teachers, the state does collect and publicly report on the percentage of highly qualified teachers, teacher absenteeism rates and turnover rates, average teacher experience and the percentage of teachers with two years' experience or less. Commendably, these data are reported for each school, rather than aggregated by district. Furthermore, the state reports on the poverty and minority percentages at both the district and state level and compares the percentages of highly qualified teachers at high- and low-poverty schools as well as high- and low-minority population schools.

Supporting Research

Connecticut NCLB District Report Card 2009-2010
http://ctayp.emetric.net/District/SchoolList/10064
Connecticut NCLB School Report Card 2009-2010
http://ctayp.emetric.net/School/Index/10064/2067
Connecticut Strategic School Profile 2008-2009
http://sdeportal.ct.gov/Cedar/WEB/ResearchandReports/SSPReports.aspx
Connecticut Education Data and Research
http://sdeportal.ct.gov/Cedar/WEB/ct_report/CedarHome.aspx

RECOMMENDATION

Use a teacher quality index to report publicly about each school.

Connecticut is commended for reporting more school-level data than most states, including teacher absenteeism and turnover rates. However, the state should consider adopting a teacher quality index, such as the one developed by the Illinois Education Research Council, with data including teachers' average SAT or ACT scores, the percentage of teachers failing basic skills licensure tests at least once, the selectivity of teachers' undergraduate colleges and the percentage of new teachers. This can shine a light on how equitably teachers are distributed both across and within districts. Individual school report cards should include such data in a manner that translates these factors into something easily understood by the public, such as a color-coded matrix indicating a school's high or low score.

Provide comparative data based on school demographics.

As Connecticut does with highly qualified teachers, the state should provide comparative data for schools with similar poverty and minority populations. This would yield a more comprehensive picture of gaps in the equitable distribution of teachers.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut was helpful in providing NCTQ with facts that enhanced this analysis.

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No state has an outstanding record when it comes to public reporting of teacher data that can help to ameliorate inequities in teacher quality. However, Connecticut, New Jersey, New York, North Carolina, Rhode Island and South Carolina report more school-level data than other states.

Ideally, percentage of new teachers and percentage of teachers on emergency credentials would be incorporated into a teacher quality index.

Area 4: Retaining Effective Teachers

Goal A - Induction

The state should require effective induction for all new teachers, with special emphasis on teachers in high-needs schools.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should ensure that new teachers receive mentoring of sufficient frequency and duration, especially in the first critical weeks of school.
- Mentors should be carefully selected based on evidence of their own classroom effectiveness and subject-matter expertise. Mentors should be trained, and their performance as mentors should be evaluated.
- Induction programs should include only strategies that can be successfully implemented, even in a poorly managed school. Such strategies include intensive mentoring, seminars appropriate to grade level or subject area, a reduced teaching load and frequent release time to observe effective teachers.

Background



Area 4: Goal A **Connecticut** Analysis



State Nearly Meets Goal



Progress Since 2009

ANALYSIS

As of July 2010, Connecticut requires that all beginning teachers receive mentoring through the Teacher Education and Mentoring (TEAM) program. Beginning teachers participate in the program for either one or two years depending on subject area. Mentors, who are accomplished teachers selected by the school district, are trained to provide instructional support and are expected to spend at least 10 hours on each professional growth module.

Supporting Research

TEAM Program Beginning Teacher Manual 2010-2011 http://www.ctteam.org/resources/bt/TEAM_BT_Manual_DRAFT100901.pdf

RECOMMENDATION

Expand guidelines to include other key areas

While still leaving districts flexibility, Connecticut should articulate minimum guidelines for a high-quality induction experience. The state should ensure that new teachers receive support during the critical first few weeks of school, require mentors to be trained in a content area or grade level similar to that of the new teacher and mandate a method of performance evaluation.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

104 : NCTQ STATE TEACHER POLICY YEARBOOK 2011 CONNECTICUT

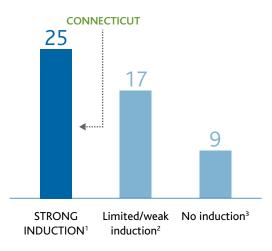
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South Carolina requires that all new teachers, prior to the start of the school year, be assigned mentors for at least one year. Districts carefully select mentors based on experience and similar certifications and grade levels, and mentors undergo additional training. Adequate release time is mandated by the state so that mentors and new teachers may observe each other in the classroom, collaborate on effective teaching techniques and develop professional growth plans. Mentor evaluations are mandatory and stipends are recommended.

Figure 92

Do states have policies that articulate the elements of effective induction?



- Strong Practice: Alabama, Arkansas, California, Colorado, Connecticut, Delaware, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississippi, Missouri, Nebraska, New Jersey, New York, North Carolina, Oklahoma, Rhode Island, South Carolina, Utah, Virginia, West Virginia
- Alaska, Arizona, Florida, Idaho, Illinois, Minnesota, Montana, New Mexico, North Dakota, Ohio, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Washington, Wisconsin
- 3. District of Columbia, Georgia, Hawaii, Indiana, Louisiana, Nevada, New Hampshire, Vermont, Wyoming

106 : NCTQ STATE TEACHER POLICY YEARBOOK 2011 CONNECTICUT

Goal B - Professional Development

The state should require professional development to be based on needs identified through teacher evaluations.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that evaluation systems provide teachers with feedback about their performance.
- 2. The state should direct districts to align professional development activities with findings from teachers' evaluations.

Background



Area 4: Goal B **Connecticut** Analysis



State Meets Goal



Progress Since 2009

ANALYSIS

Connecticut requires that teachers receive feedback from their evaluations that is "clear, specific, and constructive." The state also specifies that professional development activities for teachers must be directly informed by student performance and be linked to teacher evaluation goals.

Supporting Research

Connecticut Guidelines for Teacher Evaluation and Professional Development http://www.sde.ct.gov/sde/lib/sde/PDF/EducatorStandards/tevalpd.pdf Connecticut State Department of Education http://www.sde.ct.gov/sde/cwp/view.asp?a=2641&q=320432

CONNECTICUT RESPONSE TO ANALYSIS

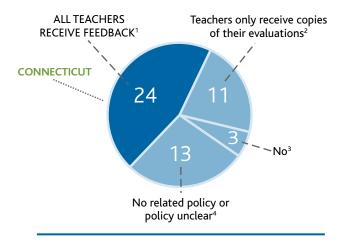
Connecticut recognized the factual accuracy of this analysis. The state added that the revised Educator Evaluation Guidelines states: "Professional development should reflect the needs of individuals and groups of educators identified through the evaluation process and should address the needs of educators along the career continuum from novice to mid-career to veteran educator."



EXAMPLES OF BEST PRACTICE

Ten states meet this goal, and although NCTQ has not singled out one state's policies for "best practice" honors, Louisiana is commended for clearly articulating that the feedback provided to a teacher in a post-observation conference must include a discussion of a teacher's strengths and weaknesses.

Figure 94 Do teachers receive feedback on their evaluations?

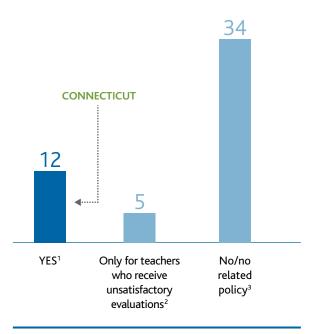


- 1. Strong Practice: Arkansas, Connecticut, Delaware, Georgia, Hawaii, Illinois, Kentucky, Louisiana, Massachusetts, Michigan, Mississippi, Missouri, New Jersey, New Mexico, New York, North Carolina, Oregon, Rhode Island, South Carolina, Tennessee, Texas, Washington, West Virginia, Wyoming
- 2. Alaska, Arizona, California, Colorado, Florida, Indiana, Kansas, Maryland, Nevada, Ohio, Oklahoma
- 3. Minnesota, Pennsylvania, Utah
- 4. Alabama, District of Columbia, Idaho, Iowa, Maine, Montana, Nebraska, New Hampshire, North Dakota, South Dakota, Vermont, Virginia, Wisconsin



Figure 96

Do states require that teacher evaluations inform professional development?



- Strong Practice: Arkansas, Connecticut, Delaware, Florida, Louisiana, Michigan, Minnesota, Missouri, North Carolina, Rhode Island, South Carolina, Wyoming
- 2. Colorado, Georgia, Illinois, Indiana, Texas
- 3. Alabama, Alaska, Arizona, California, District of Columbia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Mississippi⁴, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Utah, Vermont, Viiginia, Washington, West Viiginia, Wisconsin
- 4. Mississippi requires professional development based on evaluation results only for teachers in need of improvement in school identified as at-risk.

Goal C - Pay Scales

The state should give local districts authority over pay scales.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- While the state may find it appropriate to articulate teachers' starting salaries, it should not require districts to adhere to a state-dictated salary schedule that defines steps and lanes and sets minimum pay at each level.
- The state should discourage districts from tying additional compensation to advanced degrees. The state should eliminate salary schedules that establish higher minimum salaries or other requirements to pay more to teachers with advanced degrees.
- 3. The state should discourage salary schedules that imply that teachers with the most experience are the most effective. The state should eliminate salary schedules that require that the highest steps on the pay scale be determined solely be seniority.

Background



Area 4: Goal C **Connecticut** Analysis



State Partly Meets Goal



Progress Since 2009

ANALYSIS

Connecticut does not address salary requirements, seemingly giving local districts the authority for pay scales and eliminating district barriers such as state salary schedules and other regulations that control how districts pay teachers.

RECOMMENDATION

■ Discourage districts from tying compensation to advanced degrees.

While still leaving districts with the flexibility to establish their own pay scale, Connecticut should articulate policies that definitively discourage districts from tying compensation to advanced degrees, in light of the extensive research showing that such degrees do not have an impact on teacher effectiveness.

Discourage salary schedules that imply that teachers with the most experience are the most effective.

Similarly, Connecticut should articulate policies that discourage districts from determining the highest steps on the pay scale solely by seniority.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

TEXAMPLES OF BEST PRACTICE

Florida and Indiana allow local districts to develop their own salary schedules while preventing districts from focusing on elements not associated with teacher effectiveness. In Florida, local salary schedules must ensure that the most effective teachers receive salary increases greater than the highest annual salary adjustment available. Indiana requires local salary scales to be based on a combination of factors and limits the years of teacher experience and content-area degrees to account for no more than one-third of this calculation.

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^{1.} Colorado gives districts the option of a salary schedule, a performance pay policy or a combination of both.

 $^{{\}bf 2}.$ Rhode Island requires that local district salary schedules are based on years of service, experience and training.

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^{1.} Rhode Island requires local district salary schedules to include teacher "training".

^{2.} Texas has a minimum salary schedule based on years of experience. Compensation for advanced degrees is left to district discretion.

Goal D - Compensation for Prior Work Experience

The state should encourage districts to provide compensation for related prior subject-area work experience.

Goal Components

(The factors considered in determining the states' rating for the goal.)

 The state should encourage districts to compensate new teachers with relevant prior work experience through mechanisms such as starting these teachers at an advanced step on the pay scale. Further, the state should not have regulatory language that blocks such strategies.

Background



Area 4: Goal D **Connecticut** Analysis



State Does Not Meet Goal



Progress Since 2009

ANALYSIS

Connecticut does not encourage local districts to provide compensation for related prior subject-area work experience. However, the state does not seem to have regulatory language blocking such strategies.

RECOMMENDATION

■ Encourage local districts to compensate new teachers with relevant prior work experience.

While still leaving districts with the flexibility to determine their own pay scales, Connecticut should encourage districts to incorporate mechanisms such as starting these teachers at a higher salary than other new teachers. Such policies would be attractive to career changers with related work experience, such as in the STEM subjects.

CONNECTICUT RESPONSE TO ANALYSIS

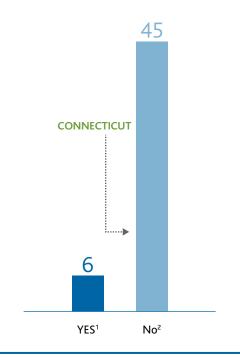
Connecticut recognized the factual accuracy of this analysis.



North Carolina compensates new teachers with relevant prior-work experience by awarding them one year of experience credit for every year of full-time work after earning a bachelor's degree that is related to their area of licensure and work assignment. One year of credit is awarded for every two years of work experience completed prior to earning a bachelor's degree.

Figure 101

Do states direct districts to compensate teachers for related prior work experience?



- 1. Strong Practice: California, Delaware, Georgia, North Carolina, Texas, Washington
- Alabama, Alaska, Arizona, Arkansas, Colorado, Connecticut, District of Columbia, Florida, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Utah, Vermont, Virginia, West Virginia, Wisconsin, Wyoming

Goal E - Differential Pay

The state should support differential pay for effective teaching in shortage and high-need areas.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should support differential pay for effective teaching in shortage subject areas.
- 2. The state should support differential pay for effective teaching in high-need schools.
- 3. The state should not have regulatory language that would block differential pay.

Background



Area 4: Goal E **Connecticut** Analysis



State Meets a Small Part of Goal



Progress Since 2009

ANALYSIS

Connecticut neither supports differential pay by which a teacher can earn additional compensation by teaching certain subjects nor offers incentives to teach in high-needs schools. However, the state has no regulatory language preventing local districts from providing such differential pay in these areas.

For the 2010-2011 school year, the Commissioner of Education designated the following subjects as teacher-shortage areas: bilingual education (PK-12), comprehensive special education (K-2), English (7-12), intermediate administrator, mathematics (7-12), music (PK-12), remedial reading and language arts (1-12), school psychologist, speech and language pathology and world languages (7-12). Qualifying teachers assuming positions in these subject areas could benefit from either the Teachers' Mortgage Assistance Program or a Rehiring of Retired Teachers program. In the latter, teachers could return to work and, for a limited time, not be subject to the earnings limit if they teach in a subject-shortage area.

Supporting Research

Teacher Shortage Areas 2010-11 www.sde.ct.gov/sde/lib/sde/pdf/circ/circ09-10/c10.pdf

RECOMMENDATION

Support differential pay initiatives for effective teachers in both subject shortage areas and high-needs schools.

Such policies can help districts achieve a more equitable distribution of teachers. Although the state's mortgage assistance and rehiring of retirees programs are a step in the right direction, the state should consider how to expand these programs so that all teachers, not just retirees or those purchasing a home can benefit. A salary differential is an attractive incentive for every teacher.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

Figure 103		HIGH NEED SCHOOLS	/	SHORTAGI SUBJECT	
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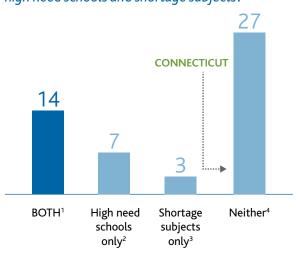
- Connecticut offers mortgage assistance and incentives to retired teachers working in shortage subject areas.
- Maryland offers tuition reimbursement for teacher retraining in specified shortage subject areas and offers a stipend for alternate route candidates teaching in shortage subject areas.
- 3. South Dakota offers signing bonuses and scholarships to fill shortages in high-need schools.
- Shortage subject area differential pay is limited to the Middle School Teacher Corps program.



Georgia supports differential pay by which teachers can earn additional compensation by teaching certain subjects. The state is especially commended for its new compensation strategy for math and science teachers, which moves teachers along the salary schedule rather than just providing a bonus or stipend. The state also supports differential pay initiatives to link compensation more closely with district needs and to achieve a more equitable distribution of teachers. Georgia's efforts to provide incentives for National Board Certification teachers to work in high-need schools are also noteworthy.

Figure 104

Do states support differential pay for teaching in high need schools and shortage subjects?



- Strong Practice: Arkansas, California, Florida, Georgia, Kentucky, Louisiana, Massachusetts, Nevada, New York, Ohio, Oklahoma, Tennessee, Texas, Virginia
- Colorado, Hawaii, Maryland, North Carolina, Washington, Wisconsin, Wyoming
- 3. Idaho, Pennsylvania, Utah
- Alabama, Alaska, Arizona, Connecticut, Delaware, District of Columbia, Illinois, Indiana, Iowa, Kansas, Maine, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, New Hampshire, New Jersey, New Mexico, North Dakota, Oregon, Rhode Island, South Carolina, South Dakota, Vermont, West Virginia

Goal F – Performance Pay

The state should support performance pay but in a manner that recognizes its appropriate uses and limitations.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should support performance pay efforts, rewarding teachers for their effectiveness in the classroom.
- 2. The state should allow districts flexibility to define the criteria for performance pay provided that such criteria connect to evidence of student achievement.
- 3. Any performance pay plan should allow for the participation of all teachers, not just those in tested subjects and grades.

Background



Area 4: Goal F **Connecticut** Analysis



State Does Not Meet Goal



Progress Since 2009

ANALYSIS

Connecticut does not support performance pay. The state does not have any policies in place that offer teachers additional compensation based on evidence of effectiveness.

RECOMMENDATION

■ Support a performance pay plan that recognizes teachers for their effectiveness.

Whether it implements the plan at the state or local level, Connecticut should ensure that performance pay structures thoughtfully measure classroom performance and connect student achievement to teacher effectiveness. The plan must be developed with careful consideration of available data and subsequent issues of fairness.

■ Consider piloting performance pay in a select number of school districts.

This would provide an opportunity to discover and correct any limitations in available data or methodology before implementing the plan on a wider scale.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

****** EXAMPLES OF BEST PRACTICE

An increasing number of states are supporting performance pay initiatives. Florida and Indiana are particularly noteworthy for their efforts to build performance into the salary schedule. Rather than award bonuses, teachers' salaries will be based in part on their performance in the classroom.

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^{1.} Nebraska's initiative does not go into effect until 2016.

Goal G – Pension Flexibility

The state should ensure that pension systems are portable, flexible and fair to all teachers.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. Participants in the state's pension system should have the option of a fully portable pension system as their primary pension plan by means of a defined contribution plan or a defined benefit plan that is formatted similar to a cash balance plan.
- 2. Participants in the state's pension system should be vested no later than the third year of employment.
- 3. Defined benefit plans should offer teachers the option of a lump-sum rollover to a personal retirement account upon termination of employment that includes, at minimum, the teacher's contributions and accrued interest at a fair interest rate. In addition, withdrawal options from either defined benefit or defined contribution plans should include funds contributed by the employer.
- 4. Defined benefit plans should allow teachers to purchase time for unlimited previous teaching experience at the time of employment. Teachers should also be allowed to purchase time for all official leaves of absence, such as maternity or paternity leave.

Background



Area 4: Goal G **Connecticut** Analysis



State Meets a Small Part of Goal



Progress Since 2009

ANALYSIS

Connecticut only offers a defined benefit pension plan to its teachers as their mandatory pension plan. This plan is not fully portable, does not vest until year 10, and does not provide full employee contributions or any employer contribution for teachers who choose to withdraw their account balances when leaving the system. It also limits flexibility by restricting the ability to purchase years of service.

Vesting in a defined benefit plan guarantees a teacher's eligibility to receive lifetime monthly benefit payments at retirement age. Nonvested teachers do not have a right to later retirement benefits; they may only withdraw the portion of their funds allowed by the plan. Connecticut's vesting at 10 years of service is very late and limits the options of teachers who leave the system prior to this point.

Many teachers will leave the system before they reach 10 years of service. Teachers in Connecticut who choose to withdraw their contributions upon leaving only receive about 80 percent of their mandatory contribution plus interest (see Goal 4-H). This means that those who withdraw their funds accrue fewer benefits than what they might have earned contributing to basic savings accounts. Therefore, teachers leaving the pension system would have saved significantly below the level conventionally recommended by retirement advisers for individuals not also contributing to Social Security.

While Connecticut's relatively low mandatory contribution rate allows for flexibility in teachers' retirement savings, it also means that the state needs to educate teachers on what happens if they leave the system and encourage savings in other portable supplemental plans. Further, teachers who remain in the field of education but enter another pension plan (such as in another state) will find it difficult to purchase the time equivalent to their prior employment in the new system because they are not entitled to any employer contribution.

Connecticut limits teachers' flexibility to purchase years of service. The ability to purchase time is important because defined benefit plans' retirement eligibility and benefit payments are often tied to the number of years a teacher has worked. Connecticut's plan allows teachers with one year of service credit to purchase time for previous teaching experience, up to 10 years. While better than not allowing any purchase at all, this provision disadvantages teachers who move to Connecticut with more teaching experience. In addition, the mandatory one year of service before purchasing previous service makes the purchase cost slightly more expensive.

The state's plan also allows for the purchase of one leave of absence for each five years of service. Teachers may purchase up to three years for each approved leave of absence, including maternity and paternity leave.

Supporting Research

State of Connecticut, Teachers' Retirement Board

http://www.ct.gov/trb/taxonomy/ct_taxonomy.asp?DLN=41319&trbNav=|41319|

126 : NCTQ STATE TEACHER POLICY YEARBOOK 2011

RECOMMENDATION

Offer teachers a pension plan that is fully portable, flexible and fair.

Connecticut should offer teachers for their mandatory pension plan the option of either a defined contribution plan or a fully portable defined benefit plan, such as a cash balance plan. A well-structured defined benefit plan could be a suitable option among multiple plans. However, as the sole option, defined benefit plans severely disadvantage mobile teachers and those who enter the profession later in life. Because teachers in Connecticut do not participate in Social Security, they have no fully portable retirement benefits that would move with them in the event they leave the system.

■ Increase the portability of its defined benefit plan.

If Connecticut maintains its defined benefit plan, it should allow teachers that leave the system to withdraw their full employee contribution plus matching employer contributions. The state should also allow teachers to purchase their full amount of previous teaching experience and approved leaves of absence and decrease the vesting requirement to year three. A lack of portability is a disincentive to an increasingly mobile teaching force.

Offer a fully portable supplemental retirement savings plan.

If Connecticut maintains its defined benefit plan, the state should at least offer teachers the option of a fully portable supplemental defined contribution savings plan, with employers matching a percentage of teachers' contributions.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut contended that the analysis and conclusion are incorrect, but did not offer any additional explanation. The state added that the recommendations will not lead to retirement security for Connecticut teachers.

Accrued Liability: The value of a pension plan's promised benefits calculated by an actuary (actuarial valuation), taking into account a set of investment and benefit assumptions to a certain date.

Actuarial Valuation: In a pension plan, this is the total amount needed to meet promised benefits. A set of mathematical procedures is used to calculate the value of benefits to be paid, the funds available and the annual contribution required.

Amortization Period: The gradual elimination of a liability, such as a mortgage, in regular payments over a specified period of time.

Benefit Formula: Formula used to calculate the amount teachers will receive each month after retirement. The most common formula used is (years of service x final average salary x benefit multiplier). This amount is divided by 12 to calculate monthly benefits.

Benefit Multiplier: Multiplier used in the benefit formula. It, along with years of service, determines the total percentage of final average salary that a teacher will receive in retirement benefits. In some plans, the multiplier is not constant, but changes depending upon retirement age and/or years of service.

Defined Benefit Plan: Pension plan that promises to pay a specified amount to each person who retires after a set number of years of service. Employees contribute to them in some cases; in others, all contributions are made by the employer.

Defined Contribution Plan: Pension plan in which the level of contributions is fixed at a certain level, while benefits vary depending on the return from investments. Employees make contributions into a tax-deferred account, and employers may or may not make contributions. Defined contribution pension plans, unlike defined benefit pension plans, give the employee options of where to invest the account, usually among stock, bond and money market accounts.

Lump-sum Withdrawal: Large payment of money received at one time instead of in periodic payments. Teachers leaving a pension plan may receive a lump-sum distribution of the value of their pension.

Normal Cost: The amount necessary to fund retirement benefits for one plan year for an individual or a whole pension plan.

Pension Wealth: The net present value of a teacher's expected lifetime retirement benefits.

Purchasing Time: A teacher may make additional contributions to a pension system to increase service credit. Time may be purchased for a number of reasons, such as professional development leave, previous out-of-state teaching experience, medical leaves of absence or military service.

Service Credit/Years of Service: Accumulated period of time in years or partial years for which a teacher earned compensation subject to contributions.

Supplemental Retirement Plan: An optional plan to which teachers may voluntarily make tax-deferred contributions in addition to their mandatory pension plans. Employees are usually able to choose their rate of contribution up to a maximum set by the IRS; some employers also make contributions. These plans are generally in the form of 457 or 403(b) programs.

Vesting: Right an employee gradually acquires by length of service to receive employer-contributed benefits, such as payments from a pension fund.

Sources: Barron's Dictionary of Finance and Investment Terms, Seventh Edition; California State Teachers' Retirement System http://www.calstrs.com/Members/Defined%20Benefit%20Program/glossary.aspx; Economic Research Institute, http://www.eridlc.com/resources/index.cfm?fuseaction=resource.glossary

Figure 109		Defined benefit plan with	e /	CHOICE OF DEFINED RED.	§ /	>
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Alaska						
Arizona						
Arkansas						
California ²						
Colorado						
CONNECTICUT						
Delaware						
District of Columbia						
Florida						
Georgia						
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Idaho						
Illinois						
Indiana ³ Iowa						
Kansas Kentucky						
Louisiana	_					
Maine		-				
Maryland						
Massachusetts						
Michigan			-			
Minnesota						
Mississippi	_					
Missouri						
Montana						
Nebraska						
Nevada						
New Hampshire						
New Jersey						
New Mexico						
New York						
North Carolina						
North Dakota						
Ohio ⁴						
Oklahoma						
Oregon ⁵						
Pennsylvania						
Rhode Island						
South Carolina ⁶						
South Dakota						
Tennessee Texas						
Utah ⁷						
Vermont						
Virginia						
Washington ⁸						
West Virginia						
Wisconsin						
Wyoming	П					
Jo	25	17	4	4	1	
		17	7	7		



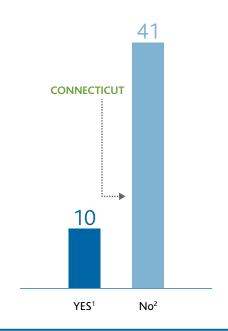
T EXAMPLES OF BEST PRACTICE

Alaska provides a fair and flexible defined contribution pension plan for all teachers. This plan is also highly portable, as teachers are entitled to 100 percent of employer contributions after five years of service. South Dakota's defined benefit plan has some creative provisions, which makes it more like a defined contribution plan. Most notably, teachers are able to withdraw 85 percent of their employer contributions after three years of service. In addition, Florida, Ohio, South Carolina and Utah are noteworthy for offering teachers a choice between a defined benefit or hybrid plan and a defined contribution plan.

- 1. A hybrid plan has components of both a defined benefit plan and a defined contribution plan.
- 2. California offers a small cash balance component but ended most of the funding to this portion as of January 1, 2011.
- 3. Indiana also offers a supplemental defined contribution plan.
- 4. Ohio also offers the option of a hybrid plan and offers a supplemental defined contribution plan.
- 5. Oregon also offers a supplemental defined contribution plan.
- 6. South Carolina also offers a supplemental defined contribution plan.
- 7. Utah offers a choice between a defined contribution or a hybrid plan.
- 8. Washington offers a choice between a defined benefit or a hybrid plan.

Figure 110

Do states offer teachers an option other than a nonportable defined benefit plan?



- 1. Strong Practice: Alaska, Florida, Indiana, Michigan, Ohio, Oregon, South Carolina, South Dakota, Utah, Washington
- Alabama, Arizona, Arkansas, California, Colorado³, Connecticut, Delaware, District of Columbia, Georgia, Hawaii³, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Texas, Vermont, Virginia, West Virginia, Wisconsin, Wyoming
- 3. Although not fully portable, the state's defined benefit plan has some notable portability provisions.

Figure 111

- 1. For teachers who join the system on or after January 1, 2012.
- 2. Florida's defined benefit plan does not vest until year eight; teachers vest in the state's defined contribution plan after one year.
- 3. For teachers who join the system on or after July 1, 2012.
- 4. Ohio's defined benefit plan does not vest until year five; teachers vest in the state's defined contribution plan after one year.
- Oregon offers a hybrid plan in which teachers vest immediately in the defined contribution component and vest in the defined benefit component after five years.
- 6. South Carolina's defined benefit plan does not vest until year five; teachers vest immediately in the state's defined contribution plan.
- 7. Based on Washington's Plan 2. The state also offers a hybrid plan in which teachers vest immediately in the defined contribution component and vest in the defined benefit component after 10 years.

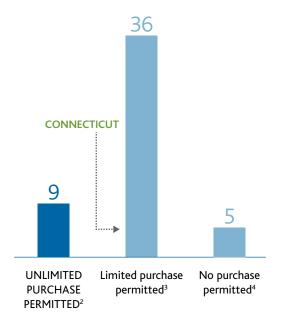
gure 111 ow many years before t	teachers ves	t?		
	3 YEARS OR LESS	4 to 5 years	6 to 9 years	10 years
Alabama				
Alaska				
Arizona				
Arkansas				
California				
Colorado				
CONNECTICUT				
Delaware ¹				
District of Columbia Florida ²				
Georgia Hawaii ³				
Idaho				
Illinois				
Indiana				-
lowa ³				
Kansas				
Kentucky				
Louisiana				
Maine				
Maryland				
Massachusetts				
Michigan				
Minnesota				
Mississippi				
Missouri Montana				
Mebraska				
Nevada				
New Hampshire				
New Jersey				
New Mexico				
New York				
North Carolina				
North Dakota				
Ohio⁴				
Oklahoma				
Oregon ⁵				
Pennsylvania				
Rhode Island				
South Carolina ⁶				
South Dakota Tennessee				
Texas				
Utah				
Vermont				
Virginia				
Washington ⁷				
West Virginia				
Wisconsin				
Wyoming				
	3	29	3	16

Figure 112		Only their own	s /	Their own contribution	THER OWN CONTRIBUTION	 }
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Alaska²						
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Arkansas						
California ³						
Colorado						
CONNECTICUT						
Delaware						
District of Columbia Florida						
Georgia Hawaii						
Idaho						
Illinois						
Indiana						
lowa ⁴						
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Montana						
Nebraska						
Nevada ⁶						
New Hampshire						
New Jersey New Mexico						
New York						
North Carolina						
North Dakota						
Ohio ⁷						
Oklahoma						
Oregon ⁸						
Pennsylvania						
Rhode Island						
South Carolina ⁹						
South Dakota						
Tennessee						
Texas						
Utah ¹⁰						
Vermont						
Virginia						
Washington ¹¹						
West Virginia						
Wisconsin						
Wyoming			24		1	
	4	5	34	6	1	

- States' withdrawal policies may vary depending on a teacher's years of service. Year five is used as a common point of comparision.
- As of July 1, 2006, Alaska only offers a defined contribution plan to new members, which allows teachers leaving the system after five years to withdraw 100 percent of the employer contribution.
- California has a defined benefit plan with a small cash balance component, which allows exiting teachers to withdraw their contributions and any employer contributions plus earnings from their cash balance component, regardless of their actions regarding their defined benefit account.
- 4. Once vested, lowa teachers may withdraw an employer match equal to one-thirtieth of their years of service. Effective July 1, 2012 teachers vest at seven years of service, so a teacher leaving at year five would not be entitled to any employer contribution.
- 5. Michigan only offers a hybrid plan. Exiting teachers may withdraw their own contributions and accrued earnings immediately and the employer contributions to the defined contribution component once vested at year four. Michigan teachers may withdraw their own contributions and accrued interest from the defined benefit component but may not withdraw the employer contribution.
- 6. Most teachers in Nevada fund the system by salary reductions or forgoing pay raises and thus do not have direct contributions to withdraw. The small mintority that are in a contributory system may withdraw their contributions plus interest.
- 7. Ohio has two other pension plans. Ohio's defined contribution plan allows teachers with at least one year of service who are leaving the system to withdraw 100 percent of the employer contribution. Exiting teachers with at least five years of experience in Ohio's combination plan may withdraw their employee-funded defined contribution component and the present value of the benefits offered in the defined benefit component.
- 8. Oregon only has a hybrid retirement plan, which allows exiting teachers to withdraw their contributions plus earnings from their defined contribution component; they still receive the employer-funded defined benefit payments at retirement age.
- South Carolina also has a defined contribution plan, which allows exiting teachers to withdraw 100 percent of their contributions and employer contributions, plus earnings.
- 10. Utah offers a hybrid pension plan, which only has employee contributions when the costs exceed the guaranteed employer contribution. When costs are less than the employer contribution, the excess is contributed to the employee account and refundable after vesting.
- 11. Washington also has a hybrid plan, which allows exiting teachers to withdraw their contributions plus earnings from their defined contribution component; they still receive the employer-funded defined benefit payments at retirement age.

Figure 113

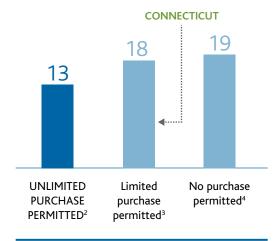
Do states permit teachers to purchase time for previous teaching experience?¹



- Purchasing time does not apply to defined contribution plans. In states that offer multiple plans or a hybrid plan, the graph refers to the state's defined benefit plan or the defined benefit component of its hybrid plan. Alaska only offers a defined contribution plan and is not included.
- Strong Practice: California, Iowa, Kansas, Louisiana, New Hampshire, North Dakota, South Carolina, South Dakota, Utah
- Alabama, Arizona, Arkansas, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Idaho, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Mississippi, Missouri, Montana, Nebraska, Nevada, New Jersey, New Mexico, North Carolina, Ohio, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Texas, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 4. Hawaii, Michigan, Minnesota, New York, Oregon

Figure 114

Do states permit teachers to purchase time for leaves of absence?¹



- Purchasing time does not apply to defined contribution plans. In states that offer multiple plans or a hybrid plan, the graph refers to the state's defined benefit plan or the defined benefit component of its hybrid plan. Alaska only offers a defined contribution plan and is not included.
- 2. Strong Practice: Alabama, California, Delaware, Illinois, Iowa, Maryland, Minnesota, Missouri, Nebraska, North Dakota, Ohio, South Carolina, South Dakota
- Arizona, Connecticut, District of Columbia, Florida, Idaho, Indiana, Kentucky, Louisiana, Massachusetts, Montana, New Jersey, North Carolina, Oklahoma, Rhode Island, Vermont, Virginia, Washington, Wyoming
- Arkansas, Colorado, Georgia, Hawaii, Kansas, Maine, Michigan, Mississippi, Nevada, New Hampshire, New Mexico, New York, Oregon, Pennsylvania, Tennessee, Texas, Utah, West Virginia, Wisconsin

Goal H - Pension Sustainability

The state should ensure that excessive resources are not committed to funding teachers' pension systems.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should ensure that its pension system is financially sustainable, without excessive unfunded liabilities or an inappropriately long amortization period.
- Mandatory employer and employee contribution rates should not be unreasonably high, as they reduce teachers' paychecks and commit district resources that could otherwise be spent on salaries or incentives.

Background



Area 4: Goal H **Connecticut** Analysis



State Meets a Small Part of Goal



Progress Since 2009

ANALYSIS

As of June 30, 2010, the most recent date for which an actuarial valuation is available, Connecticut's pension system for teachers is 61.42 percent funded and has a 25.3-year amortization period. This means that if the plan earns its assumed rate of return and maintains current contribution rates, it would take the state more than 25 years to pay off its unfunded liabilities. While its amortization period meets regulatory requirements, Connecticut's funding level is exceptionally low. The state's system is not financially sustainable according to actuarial benchmarks.

In addition, Connecticut commits excessive resources toward its teachers' retirement system. The current employer contribution rate of 19.2 percent, which is paid by the state, is too high. This rate is set by statute, which requires the employer contribution to cover the normal cost rate in excess of the active member contribution rate and the additional amount necessary to amortize the unfunded liability within specific timelines. While this rate allows the state to pay off liabilities within the required 30-year period, it does so at great cost, precluding Connecticut from spending those funds on other, more immediate means to retain talented teachers. The mandatory employee contribution rate of 7.25 percent is reasonable considering that teachers are not also making contributions to Social Security.

Supporting Research

http://www.ct.gov/trb/lib/trb/formsandpubs/actuarial_valuation_rep_2010.pdf

RECOMMENDATION

Ensure that the pension system is financially sustainable.

The state would be better off if its system was over 95 percent funded to allow more protection during financial downturns. However, Connecticut should consider ways to improve its funding level without raising the contributions of the state and teachers. In fact, the state should work to decrease employer contributions. Committing excessive resources to pension benefits can negatively affect teacher recruitment and retention. Improving funding levels necessitates, in part, systemic changes in the state's pension system. Goals 4-G and 4-I provide suggestions for pension system structures that are both sustainable and fair.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut contended that the analysis and conclusion are incorrect but did not offer any additional explanation. The state added that the recommendations will not lead to retirement security for Connecticut teachers.

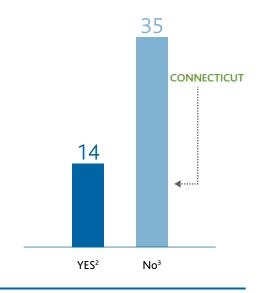
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Arkansas		
California		
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CONNECTICUT		
Delaware		
District of Columbia		
Florida		
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lowa		
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Louisiana		
Maine		
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Nevada		
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New Jersey		
New Mexico		
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North Carolina		
North Dakota		
Ohio		
Oklahoma		
Oregon		
Pennsylvania		
Rhode Island		
South Carolina		
South Dakota		
Tennessee		
Texas		
Utah ³		
Vermont		
Virginia		
Washington		
West Virginia		
Wisconsin		
Wyoming		
	16	26



TEXAMPLES OF BEST PRACTICE

South Dakota, Tennessee and Wisconsin provide financially sustainable pension systems without committing excessive resources. The systems in these states are fully funded without requiring excessive contributions from teachers or school districts.

Figure 117 Are state pension systems financially sustainable?1



- 1. Cannot be determined for Michigan or Utah, which recently opened new systems.
- 2. Strong Practice: Alaska, Delaware, District of Columbia, Florida, Georgia, Indiana⁴, Nebraska, New York, North Carolina, Oregon, South Dakota, Tennessee, Washington, Wisconsin
- 3. Alabama, Arizona, Arkansas, California, Colorado, Connecticut, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Missouri, Montana, Nevada, New Hampshire, New Jersey, New Mexico, North Dakota, Ohio, Oklahoma, Pennsylvania, Rhode Island, South Carolina, Texas, Vermont, Virginia, West Virginia, Wyoming
- 4. Based on Indiana's current plan only.

Figure 116

- 1. The amortization period is set to be under 30 years; however, the amortization period is not determined because the state is not meeting its annual required contribution.
- 2. Michigan opened a new system in July 2010.
- 3. Utah opened a new system in July 2011.

Figure 118

Real Rate of Return

The pension system funding levels reported here are based on each state's individual actuarial valuation, which use a series of varying assumptions. One of these assumptions concerns rate of return, which greatly affects a system's funding level. If investment returns fall short of assumptions, the fund will have a deficit; if returns are greater than expected, the fund will have a surplus. Higher assumed rates involve more risk, while rates closer to inflation (typically in the 3-5 percent range) are safer.

Most state pension funds assume a rate between 7.5 percent and 8.25 percent. A state using a 7.5 percent rate will report a lower funding level than if it had used 8.25 percent, even though its liabilities remain the same. Many states report that they do meet or exceed an eight percent rate of return over the life of the plan.

However, some economists argue that states' assumed rates of return are too high, and should instead be closer to four percent. They caution that the risk associated with states' higher rates is borne by taxpayers, with the result that tax rates rise to fund pension deficits. A rate closer to four percent would make the vast majority of the nation's pension systems less than 50 percent funded. In light of the current market situation, the debate over the rate of return is particularly timely. With no current consensus by experts or policymakers, NCTQ used states' self-reported numbers rather than recalculate all funding levels based on a standard rate of return. Considering how many states' systems NCTQ found in questionable financial health without using the lower rates some economists prefer, it is clear this is an issue that demands policymakers' attention.

Figure 119

Figure 119

How well funded are state pension systems?

Alaska1	Funding Level N/A
Alaska ¹ District of Columbia	118.3%
	116.3%
Washington	
New York	103.2% 99.8%
Wisconsin	
South Dakota	96.3%
Delaware	96%
North Carolina	95.9%
Indiana ²	94.7%
Tennessee	90.6%
Wyoming	87.5%
Georgia	87.2%
Florida	86.6%
Utah	85.7%
Oregon	83.2%
Texas	82.9%
Nebraska	82.4%
lowa	80.8%
Virginia	80.2%
Arizona	79%
Idaho	78.9%
Michigan	78.9%
Minnesota	78.5%
California	78%
Missouri	77.7%
Pennsylvania	75.1%
Alabama	74.7%
Arkansas	73.8%
Nevada	71.2%
North Dakota	69.8%
South Carolina	67.8%
Vermont	66.5%
Maine	65.9%
New Mexico	65.7%
Maryland	65.4%
Montana	65.4%
Colorado	64.8%
Mississippi	64.2%
Massachusetts	63%
CONNECTICUT	61.4%
Hawaii	61.4%
Kentucky	61%
Ohio	59.1%
New Hampshire	58.5%
New Jersey	57.6%
Oklahoma	56.7%
	56%
Kansas	54.4%
Louisiana	
Illinois	48.4% 48.4%
Rhode Island	

^{1.} Alaska has only a defined contribution pension system.

Indiana's current plan is 94.7 percent funded. However, when the current plan is combined with its closed plan, the funding level drops to 44.3 percent.

Figure 120
What is a reasonable rate for pension contributions?

- 4-7 percent each for teachers and districts in states participating in Social Security
- 10-13 percent each for teachers and districts in states not participating in Social Security

Analysts generally agree that workers in their 20's with no previous retirement savings should save, in addition to Social Security contributions, about 10-15 percent of their gross income in order to be able to live during retirement on 80 percent of the salary they were earning when they retired. While the recommended savings rate varies with age and existing retirement savings, NCTQ has used this 10-15 percent benchmark as a reasonable rate for its analyses. To achieve a total savings of 10-15 percent, teacher and employer contributions should each be in the range of 4-7 percent. In states where teachers do not participate in Social Security, the total recommended retirement savings (teacher plus employer contributions) is about 12 percent higher to compensate for the fact that these teachers will not have Social Security income when they retire. In order to achieve the appropriate level of total savings, teacher and employer contributions in these states should each be in the range of 10-13 percent.

Sources:

http://www.schwab.com/public/schwab/resource_center/expert_insight/retirement_strategies/planning/how_much_should_you_save_for_retirement_play_the_percentages.html
https://personal.vanguard.com/us/insights/retirement/saving/set-retirement-goals

Figure 121

- The employer contribution rate includes the contributions of both school districts and state governments, where appropriate.
- The contribution rate is set to increase in future years. Some school districts in Georgia do not contribute to Social Security.
- 3. The contribution rate is set to increase in future years.
- Michigan opened a new system in July 2010 and employer contributions are not yet reported.
- 5. New Jersey reports its contributions as a flat dollar amount, and a percentage could not be calculated.
- The contribution rate is set to increase in future years. Most, but not all, school districts in Rhode Island contribute to Social Security.
- 7. The contribution rate is set to decrease in 2012.

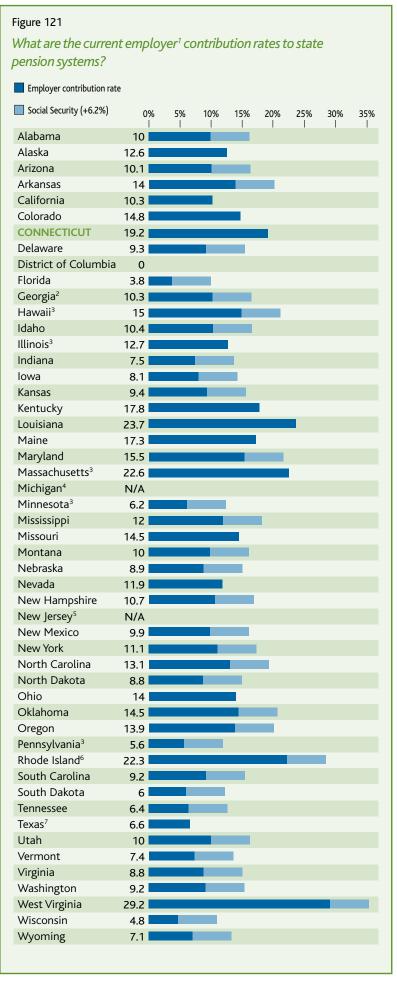
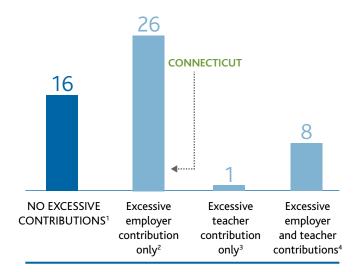


Figure 122

Do states require excessive contributions to their pension systems?



- Strong Practice: Alaska, California, Colorado, District of Columbia, Florida, Illinois, Indiana, Minnesota, Nevada, New Jersey⁵, South Dakota, Tennessee, Texas, Vermont, Wisconsin, Wyoming
- Alabama, Arkansas, Connecticut, Delaware, Georgia, Idaho, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Montana, New Hampshire, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, South Carolina, Utah, Virginia, Washington, West Virginia
- 3. Michigan⁶
- 4. Arizona, Hawaii, Mississippi, Missouri, Nebraska, New Mexico, Pennsylvania, Rhode Island
- While not excessive, the employer and state contribution are quite low. The most recent total employer contribution was only 5.4 percent of the actuarially-determined annual required contribution.
- Employer contribution rates to Michigan's new system have not yet been reported.

Figure 123

- 1. The contribution rate is set to increase in future years.
- Teachers contribute 9.4 percent to the defined benefit component and are automatically enrolled to contribute 2 percent to the defined contribution component; teachers may change the latter rate.
- 3. The contribution rate is set to increase in 2012 and decrease in 2014.
- 4. Teachers share in the employer contribution through salary reductions or foregoing equivalent pay raises.
- 5. For teachers hired after July 1, 2011, the contribution ranges from 7.5-12.3 based on a variety of factors.
- Teachers in the hybrid plan must make a mandatory contribution if the employer contribution does not cover system costs.
- 7. For the defined benefit plan; the rate varies for the defined contribution plan from a minimum of 5 percent.



Area 4: Delivering Well-Prepared Teachers

Goal I – Pension Neutrality

The state should ensure that pension systems are neutral, uniformly increasing pension wealth with each additional year of work.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The formula that determines pension benefits should be neutral to the number of years worked. It should not have a multiplier that increases with years of service or longevity bonuses.
- 2. The formula for determining benefits should preserve incentives for teachers to continue working until conventional retirement ages. Eligibility for retirement benefits should be based on age and not years of service.

Background



Area 4: Goal I **Connecticut** Analysis



State Does Not Meet Goal



Progress Since 2009

ANALYSIS

Connecticut's pension system is based on a benefit formula that is not neutral, meaning that each year of work does not accrue pension wealth in a uniform way until teachers reach conventional retirement age, such as that associated with Social Security.

Teachers' retirement wealth is determined by their monthly payments and the length of time they expect to receive those payments. Monthly payments are usually calculated as final average salary multiplied by years of service multiplied by a set multiplier (such as 1.5). Higher salary, more years of service or a greater multiplier increases monthly payments and results in greater pension wealth. Earlier retirement eligibility with unreduced benefits also increases pension wealth, because more payments will be received.

To qualify as neutral, a pension formula must utilize a constant benefit multiplier and an eligibility time-table based solely on age, rather than years of service. Basing eligibility for retirement on years of service creates unnecessary and often unfair peaks in pension wealth, while allowing unreduced retirement at a young age creates incentives to retire early. Plans that change their multipliers for various years of service do not value each year of teaching equally. Therefore, plans with a constant multiplier and that base retirement on an age in line with Social Security are likely to create the most uniform accrual of wealth.

Connecticut has two different formulas for retirement based on years of service. To qualify for standard retirement, a teacher must have 35 years of service credit (25 of which must have been earned in Connecticut), or must have 20 years of service credit in Connecticut and be at least age 60. Therefore, teachers who begin their careers at age 22 can reach 35 years of service by age 57, entitling them to three years of additional unreduced retirement benefits beyond what other teachers would receive who may not retire until age 60. Both of these teachers are being paid benefits by the state well before Social Security's retirement age. The formula for standard retirement utilizes a constant benefit multiplier of 2 percent for all years of service.

Connecticut also has an alternate formula for teachers with less than 20 years of service. Teachers with 10 to 20 years of service must be 60 years old to retire, and a lower multiplier is used to calculate retirement benefits. The multiplier is 10 percent of the total years of Connecticut service. For example, a teacher with 16 years of service has a multiplier of 1.6 percent. After this percentage is multiplied by the number of years of Connecticut service, teachers who purchased time add only an additional 1 percent to their benefit formula for each year of purchased service. Thus, the formula and the value of purchased time vary greatly based on years of service.

In addition, early retirement with reduced benefits is available at any age to teachers with 25 years of service (20 of which must have been in Connecticut), or at age 55 to teachers with 20 years of service (15 of which must have been in the state).

Not only are Connecticut teachers being paid unreduced benefits by the state well before Social Security's retirement age, but its provisions also may encourage effective teachers to retire early, and they fail to treat equally those teachers who enter the system at a later age and give the same amount of service.

Supporting Research

State of Connecticut, Teachers' Retirement Board http://www.ct.gov/trb/taxonomy/ct_taxonomy.asp?DLN=41319&trbNav=|41319|

RECOMMENDATION

Utilize a constant benefit multiplier to calculate retirement benefits for all teachers, regardless of years of service.

Each year of service should accrue equal pension wealth. Connecticut should use a pension formula that treats each year of service equally.

■ End retirement eligibility based on years of service.

Connecticut should change its practice of allowing teachers with 35 years of service to retire at any age and teachers with 20 years of service to retire at age 60, both with full benefits. If retirement at an earlier age is offered to some teachers, benefits should be reduced accordingly to compensate for the longer duration they will be awarded.

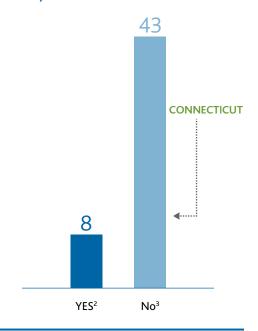
Align eligibility for retirement with unreduced benefits with Social Security retirement age. Connecticut allows all teachers to retire before conventional retirement age, some as young as 57. As life expectancies continue to increase, teachers may draw out of the system for many more years than they contributed. This is not compatible with a financially sustainable system (see Goal 4-H).

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut contended that the analysis and conclusion are incorrect but did not offer any additional explanation. The state added that the recommendations will not lead to retirement security for Connecticut teachers.

Figure 125

Do states base retirement eligibility on age, which is fair to all teachers?¹



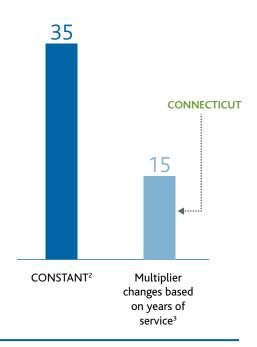
- 1. This only refers to determining retirement eligibility, not retirement benefits.
- 2. Strong Practice: Alaska, Illinois, Louisiana, Maine, Michigan, Minnesota, New Hampshire, New Jersey
- 3. Alabama, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Indiana, Iowa, Kansas, Kentucky, Maryland, Massachusetts, Mississippi, Missouri, Montana, Nebraska, Nevada, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, West Virginia, Washington, Wisconsin, Wyoming

Figure 126

- 1. All calculations are based on a teacher who starts teaching at age 22, earns a starting salary of \$35,000 that increases 3 percent per year, and retires at the age s/he is first eligible for unreduced benefits. The calculations use states' current benefit formulas and do not include cost of living increases. The final average salary was calculated as the average of the highest three years of salary, even though a few states may vary from that standard. Age 65 was used as a point of comparision because it is the miminum eligibility for unreduced Social Security benefits.
- 2. Does not apply to Alaska's defined contribution plan.
- 3. Minnesota provides unreduced retirement benefits at the age of full Social Security benefits or age 66, whichever comes first.
- California's formula has many options for retirement. A teacher with 40 years of experience at age 62 would reach Califorina's maximum allowable multiplier of 2.4 percent.
- 5. Age 60 is the earlier teachers hired on or after July 1, 2012 may retire. Teachers hired prior to this point may retire at age 55.
- Massachusetts's formula has many options for retirement. A teacher with 35 years
 of experience at age 57 would reach Massachusetts's maximum allowable benefit
 of 80 percent.

Figure 126 How much do states pay for each teacher that retires with	Total anount in banefits pair (etirement until 88e 65 in e of	Earliest retirement are teacher who start age that receive une start age that receive une duced for may
unreduced benefits at an early age?1	Total, Per tea ************************************	Earli, a teac eachii, ceive
Alaska ²	7.6 /	~ &
Illinois	\$0	67
Maine	\$0	65
Minnesota ³	\$0	66
New Hampshire	\$0	65
New Jersey	\$0	65
Washington	\$0	65
Tennessee	\$238,654	52
Michigan	\$289,187	60
California ⁴	\$310,028	62
Indiana Hawaii ⁵	\$317,728	55
Kansas	\$337,385 \$337,385	60
Oregon	\$357,385	60 58
North Dakota	\$385,583	60
Oklahoma	\$385,583	60
Maryland	\$413,808	56
Wisconsin	\$416,007	57
Rhode Island	\$430,013	59
New York	\$440,819	57
Texas	\$443,421	60
South Dakota	\$447,707	55
Virginia	\$468,982	56
Louisiana	\$481,979	60
Florida	\$485,257	55
Vermont	\$486,832	56
Montana	\$518,228	47
CONNECTICUT Utah	\$520,009	57
lowa	\$520,009 \$551,428	57 55
Idaho	\$551,743	56
North Carolina	\$568,555	52
South Carolina	\$577,142	50
Nebraska	\$577,687	55
West Virginia	\$577,687	55
Delaware	\$577,927	52
District of Columbia	\$585,737	52
Massachusetts ⁶	\$594,296	57
Georgia	\$624,786	52
Mississippi	\$624,786	52
Alabama	\$625,747	47
Colorado	\$650,011	57
Pennsylvania	\$650,011	57
Wyoming Arizona	\$655,506	54
Arkansas	\$664,340	55
Ohio	\$681,789 \$687,265	50 52
New Mexico	\$734,124	52
Nevada	\$780,983	52
Missouri	\$789,343	51
Kentucky	\$791,679	49

Figure 127
What kind of multiplier do states use to calculate retirement benefits?¹



- 1. Alaska has a defined contribution plan, which does not have a benefit multiplier.
- 2. Strong Practice: Alabama, Arkansas, Colorado, Delaware, District of Columbia, Georgia, Hawaii, Idaho, Illinois, Indiana, Kansas, Louisiana, Maine, Maryland, Michigan, Minnesota, Montana, Nebraska, Nevada, New Jersey, New Mexico, North Carolina, North Dakota, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Utah, Virginia, Washington, West Virginia, Wisconsin
- 3. Arizona, California, Connecticut, Florida, Iowa, Kentucky, Massachusetts, Mississippi, Missouri, New Hampshire, New York, Ohio, Rhode Island, Vermont, Wyoming



TEXAMPLES OF BEST PRACTICE

Alaska offers a defined contribution pension plan that is neutral, with pension wealth accumulating in an equal way for all teachers for each year of work. In addition, Illinois, Minnesota and New Jersey offer a defined benefit plan with a formula multiplier that does not change relative to years of service and does not allow unreduced benefits for retirees below age 65. Illinois and New Jersey are further commended for ending their previous practices of allowing teachers to retire well before Social Security age without a reduction in benefits.

Figure 128

Double-Dipping: Cure the Disease, Not the Symptom

Benefit recipients in teacher pension plans have recently been under scrutiny for "double-dipping," when individuals receive a pension and salary at the same time. This can occur when teachers reach retirement eligibility, yet wish to keep working without losing pension wealth. Teachers can retire, start receiving their monthly benefits and then return to teaching. The restrictions on a teacher's ability to return to work vary from state to state. Policies can include waiting periods, limitations on earnings or restrictions to working in difficult-to-fill positions.

Some descriptions portray teachers working while collecting their pensions as greedy or somehow taking advantage, when in fact they are just following the system that is in place. When a teacher reaches retirement eligibility in a defined benefit system, her pension wealth peaks and, after that, wealth accrual slows or even decreases because every year a teacher delays retirement, she loses a year of pension benefits. For example, if a teacher could retire with 60 percent of her salary at age 56, then every year she teaches past that point she is, in effect, working for only 40 percent of her pay because she is not receiving her pension. This puts relatively young teachers and the districts who wish to retain them in a difficult position. Districts want to keep effective teachers in schools, but the financial reality for teachers is hard to pass up.

Retirees returning to work are also an issue for defined benefit pension system funding because contributions are not being made to the system that would be made if those positions were held by non-retirees. This adds to the funding imbalances that many states' defined benefit systems face.

Some states have created Deferred Retirement Option Plans (DROP) in which retirees can have their benefits placed in a savings account while they return to work and, once they retire again, they can receive the lump sum in their DROP accounts and resume their monthly benefits.

Returning to work would not be a large policy issue if systems did not allow teachers to retire with unreduced benefits at such relatively young ages and if pension wealth accrual were more neutral. An effective teacher should be able to keep teaching and at the same time know that her pension wealth will not erode. More systemic fixes—like the ones outlined in the *Yearbook*—are needed. Calls to prohibit double-dipping are not addressing the real problem.

144: NCTQ STATE TEACHER POLICY YEARBOOK 2011 CONNECTICUT

Goal A – Licensure Loopholes

The state should close loopholes that allow teachers who have not met licensure requirements to continue teaching.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- Under no circumstances should a state award a standard license to a teacher who has not passed all required subject-matter licensing tests.
- If a state finds it necessary to confer conditional or provisional licenses under limited and exceptional circumstances to teachers who have not passed the required tests, the state should ensure that requirements are met within one year.

Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.

Figure 129 How States are Faring on Closing Licensure Loopholes **Best Practice States** Colorado, Illinois 1, Mississippi, New Jersey States Meet Goal Nevada, New Mexico, South Carolina, Virginia 13 States Nearly Meet Goal Alabama, Arkansas, CONNECTICUT, District of Columbia, Georgia, Kentucky 1, Massachusetts, North Dakota, Ohio, Oklahoma 1, Rhode Island 1, Utah 1, West Virginia States Partly Meet Goal Iowa, Wyoming States Meet a Small Part of Goal Michigan, Vermont States Do Not Meet Goal Alaska, Arizona, California, Delaware, Florida, Hawaii, Idaho, Indiana, Kansas, Louisiana, Maine, Maryland, Minnesota, Missouri, Montana, Nebraska, New Hampshire, New York, North Carolina, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Washington, Wisconsin Progress on this Goal Since 2009: **↓**:0 **1**:5 **⇔**: 46

Area 5: Goal A **Connecticut** Analysis



State Nearly Meets Goal



Progress Since 2009

ANALYSIS

Connecticut offers a nonrenewable interim certificate, valid for 12 months, to teachers who have not passed required state licensing tests. Applicants are eligible for an interim certificate only once and must complete required tests prior to the certificate's expiration date.

The interim certificate is issued to: teachers new to Connecticut who have taught for at least three school years and are certified in their home state, graduates of approved teacher preparation programs outside Connecticut and charter school teachers hired after July 1st in any school year who meet the requirements for entry into Connecticut's alternative certification program.

Supporting Research

Connecticut State Department of Education: Certification for Out-of-State Residents http://www.sde.ct.gov/sde/cwp/view.asp?a=2613&Q=321284

HB 6901

http://www.cga.ct.gov/2009/ACT/PA/2009PA-00001-R00HB-06901SS2-PA.htm

Connecticut State Department of Education: Obtaining Connecticut Educator Certification

http://www.sde.ct.gov/sde/lib/sde/pdf/cert/obtaining1109aw.pdf

RECOMMENDATION

Ensure that all teachers pass required subject-matter licensing tests before they enter the classroom.

While Connecticut's policy minimizes the risks brought about by having teachers in classrooms who lack sufficient or appropriate subject-matter knowledge by offering its interim certificate for one year only, the state could take its policy a step further and require all teachers to meet subject-matter licensure requirements prior to entering the classroom.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

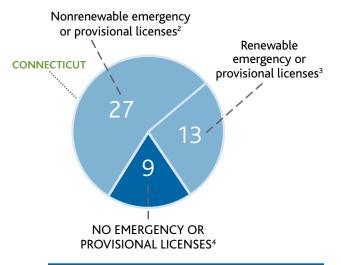
146 : NCTQ STATE TEACHER POLICY YEARBOOK 2011 CONNECTICUT



EXAMPLES OF BEST PRACTICE

Colorado, Illinois, Mississippi, and New Jersey require all new teachers to pass all required subject-matter tests as a condition of initial licensure.

Figure 130 Do states still award emergency licenses?1



- 1. Not applicable to Montana and Nebraska, which do not require subject matter testing.
- 2. Alabama, Alaska, Arkansas, California, Connecticut, Delaware, District of Columbia, Florida, Georgia, Idaho, Iowa, Kansas, Kentucky, Maryland, Massachusetts, New Hampshire, New York, North Carolina, North Dakota⁵, Ohio⁵, Oklahoma, Oregon, Rhode Island, Vermont, Washington, West Virginia, Wyoming
- 3. Arizona, Hawaii, Indiana, Louisiana, Maine, Michigan, Minnesota, Missouri, Pennsylvania, South Dakota, Tennessee, Texas, Wisconsin
- 4. Strong Practice: Colorado, Illinois, Mississippi, Nevada, New Jersey, New Mexico, South Carolina, Utah, Virginia
- 5. License is renewable, but only if licensure tests are passed.

Figure 131

- 1. Iowa only requires subject-matter testing for elementary teachers.
- 2. Montana does not require subject-matter testing.
- 3. Nebraska does not require subject-matter testing.
- 4. There is a potential loophole in Utah, as alternate route teachers appear able to delay passage of subject-matter tests.
- 5. Wyoming only requires subject-matter testing for elementary and social studies teachers.

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Utah ⁴ Vermont				
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West Virginia				
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Goal B – Unsatisfactory Evaluations

The state should articulate consequences for teachers with unsatisfactory evaluations, including specifying that teachers with multiple unsatisfactory evaluations should be eligible for dismissal.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should require that all teachers who receive a single unsatisfactory evaluation be placed on an improvement plan, whether or not they have tenure.
- The state should require that all teachers who receive two consecutive unsatisfactory evaluations or two unsatisfactory evaluations within five years be formally eligible for dismissal, whether or not they have tenure.

Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



Area 5: Goal B **Connecticut** Analysis



State Partly Meets Goal



Progress Since 2009

ANALYSIS

Connecticut requires local districts to place all teachers on evaluation and professional development plans. Teachers in need of "intensive supervision" or who fail to meet the district's minimal standard for performance will be given opportunities for additional training or may be referred to an outside agency that specializes in providing assistance to improve teaching.

In addition, state guidelines recommend that all steps for developing and monitoring a teacher's progress on such plans be well documented to justify a teacher's continuance or dismissal. However, the state does not address whether a certain number of unsatisfactory evaluations would make a teacher automatically eligible for dismissal.

Supporting Research

Connecticut Guidelines for Teacher Evaluation and Professional Development

http://www.sde.ct.gov/sde/lib/sde/PDF/EducatorStandards/tevalpd.pdf

RECOMMENDATION

■ Make eligibility for dismissal a consequence of unsatisfactory evaluations.

Teachers who receive two consecutive unsatisfactory evaluations or have two unsatisfactory evaluations within five years should be formally eligible for dismissal, regardless of whether they have tenure. Connecticut should adopt a policy that ensures that teachers who receive such unsatisfactory evaluations are eligible for dismissal.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

Figure 133	MAROVENENT PLAN AFTER	ELICIBLE FOR DISMISSALATER RATINGS	ž /	No articulated consequences
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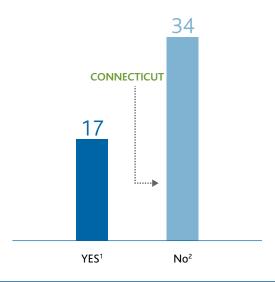
- Teachers could face nonrenewal based on evaluation results, but it is not clear that a teacher is eligible for dismissal after multiple unsatisfactory evaluations.
- While results of evaluations may be used in dismissal decisions, there are no specific criteria for a teacher's eligibility for dismissal.
- 3. Improvement plans are only used for teachers in identified "Schools At Risk." Those same teachers are also eligible for dismissal for multiple unsatisfactory evaluations.
- 4. A teacher reverts to probationary status after two consecutive years of unsatisfactory evaluations, but it is not clear that a teacher is eligible for dismissal.
- 5. Teachers in low performing schools can be dismissed after one negative rating.
- Local school boards must include procedures for using evaluation results for the removal of poorly performing teachers.

***** EXAMPLES OF BEST PRACTICE

Illinois and Oklahoma both require that teachers who receive unsatisfactory evaluations be placed on improvement plans. Teachers in Illinois are then evaluated three times during a 90-day remediation period and are eligible for dismissal if performance remains unsatisfactory. In addition, new legislation in Illinois allows districts to dismiss a teacher without going through the remediation process if that teacher has already completed a remediation plan but then receives an unsatisfactory rating within the next three years. Oklahoma's improvement plan may not exceed two months, and if performance does not improve during that time, teachers are eligible for dismissal.

Figure 134

Do states specify that all teachers with multiple unsatisfactory evaluations are eligible for dismissal?



- Strong Practice: Alaska, Arkansas, Colorado, Delaware, Florida, Hawaii, Illinois, Indiana, Louisiana, Michigan, New Mexico, New York, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Washington
- 2. Alabama, Arizona, California, Connecticut, District of Columbia, Georgia, Idaho³, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada⁴, New Hampshire, New Jersey, North Carolina, North Dakota, Ohio, Oregon, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, West Virginia, Wisconsin, Wyoming
- 3. Teachers could face nonrenewal based on evaluation results, but it is not clear that a teacher is eligible for dismissal after multiple unsatisfactory evaluations.
- 4. A teacher reverts to probationary status after two consecutive years of unsatisfactory evaluations, but it is not clear that a teacher is eligible for dismissal.

Goal C – Dismissal for Poor Performance

The state should articulate that ineffective classroom performance is grounds for dismissal and ensure that the process for terminating ineffective teachers is expedient and fair to all parties.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should articulate that teachers may be dismissed for ineffective classroom performance.
- 2. A teacher who is terminated for poor performance should have an opportunity to appeal. In the interest of both the teacher and the school district, the state should ensure that this appeal occurs within a reasonable time frame.
- 3. There should be a clear distinction between the process and accompanying due process rights for teachers dismissed for classroom ineffectiveness and the process and accompanying due process rights for teachers dismissed or facing license revocation for felony or morality violations or dereliction of duties.

Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



Area 5: Goal C **Connecticut** Analysis



State Does Not Meet Goal



Progress Since 2009

ANALYSIS

In Connecticut, tenured teachers who are terminated may appeal multiple times. After receiving written notice of dismissal, the teacher may—within 20 days—request a hearing, which must occur within 15 days. A teacher may then file an additional appeal—within 30 days—to the superior court. The state does not specify the time frame of this appeal.

Connecticut does not explicitly make teacher ineffectiveness grounds for dismissal, nor does the state distinguish the due process rights of teachers dismissed for ineffective performance from those facing other charges commonly associated with license revocation, such as a felony and/or morality violations. The process is the same regardless of the grounds for cancellation, which include inefficiency or incompetence, insubordination, moral misconduct and disability.

Supporting Research

Connecticut Statute, Title 10, Chapter 166, Section 10-151, 7(d) and (e)

RECOMMENDATION

- Specify that classroom ineffectiveness is grounds for dismissal.
 - Euphemistic terms such as "incompetence" are ambiguous at best and may be interpreted as concerning dereliction of duty rather than ineffectiveness. Connecticut should explicitly make teacher ineffectiveness grounds for dismissal so that districts do not feel they lack the legal basis for terminating consistently poor performers.
- Ensure that teachers terminated for poor performance have the opportunity to appeal within a reasonable time frame.
 - Nonprobationary teachers who are dismissed for any grounds, including ineffectiveness, are entitled to due process. However, cases that drag on for years drain resources from school districts and create a disincentive for districts to attempt to terminate poor performers. Therefore, the state must ensure that the opportunity to appeal occurs only once and only at the district level. It is in the best interest of both the teacher and the district that a conclusion be reached within a reasonable time frame.
- Distinguish the process and accompanying due process rights between dismissal for classroom ineffectiveness and dismissal for morality violations, felonies or dereliction of duty.
 - While nonprobationary teachers should have due process for any termination, it is important to differentiate between loss of employment and issues with far-reaching consequences that could permanently impact a teacher's right to practice. Connecticut should ensure that appeals related to classroom effectiveness are only decided by those with educational expertise

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

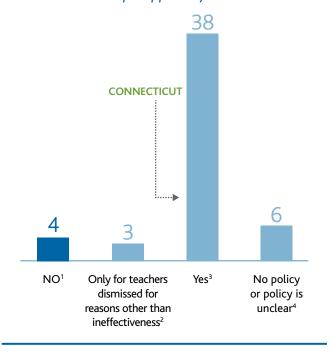
Figure 136			
Do states articulate		/	\ /
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TEXAMPLES OF BEST PRACTICE

Oklahoma clearly articulates that teacher ineffectiveness in the classroom is grounds for dismissal and has taken steps to ensure that the dismissal process for teachers deemed to be ineffective is expedited. Teachers facing dismissal have only one opportunity to appeal.

Figure 137 Do states allow multiple appeals of teacher dismissals?



- 1. Strong Practice: Florida, Louisiana, Oklahoma, Wisconsin
- 2. Teachers in these states revert to probationary status following ineffective evaluation ratings, meaning that they no longer have the due process right to multiple appeals: Colorado, Indiana, Tennessee
- 3. Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Delaware, Georgia, Hawaii, Idaho, Illinois⁵, Iowa, Kansas, Kentucky, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Texas, Virginia, Washington, West Virginia, Wyoming
- 4. District of Columbia, Maine, Nebraska, Nevada⁶, Utah, Vermont
- 5. The teacher is responsible for the cost of the second appeal.
- 6. Though a teacher returns to probationary status after two consecutive unsatisfactory ratings, the state does not articulate clear policy about its appeals process.

- 1. It is left to districts to define "inadequacy of classroom performance."
- 2. A teacher reverts to probationary status after two consecutive years of unsatisfactory evaluations, but it is not articulated that ineffectiveness is grounds for dismissal.
- ${\it 3. Dismissal policy includes dismissal for unsatisfactory evaluations, but the state's}\\$ evaluation system does not measure teacher effectiveness (see Goal 3-B).

Goal D – Reductions in Force

The state should require that its school districts consider classroom performance as a factor in determining which teachers are laid off when a reduction in force is necessary.

Goal Components

(The factors considered in determining the states' rating for the goal.)

1. The state should require that districts consider classroom performance and ensure that seniority is not the only factor used to determine which teachers are laid off.

Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



Area 5: Goal D **Connecticut** Analysis



State Does Not Meet Goal



Progress Since 2009

ANALYSIS

In Connecticut, the factors used by districts to determine which teachers are laid off during a reduction in force are decided at the district level.

Supporting Research

Connecticut Statute, Title 10, Chapter 166, Section 10-151, 7(d)

RECOMMENDATION

- Require that districts consider classroom performance as a factor in determining which teachers are laid off during reductions in force.
 - Connecticut can still leave districts flexibility in determining layoff policies, but it should do so within a framework that ensures that classroom performance is considered.
- Ensure that seniority is not the only factor used to determine which teachers are laid off. Unlike some states, Connecticut does not require that districts consider seniority; however, the state should do more to prevent districts from making decisions solely on this basis.

CONNECTICUT RESPONSE TO ANALYSIS

Connecticut recognized the factual accuracy of this analysis.

156: NCTQ STATE TEACHER POLICY YEARBOOK 2011 CONNECTICUT

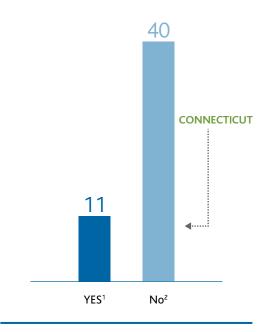
Figure 139		/ .
Do states prevent	155)7 08 98
districts from basing	H.O.	\
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TEXAMPLES OF BEST PRACTICE

Colorado, Florida and Indiana all specify that in determining which teachers to lay off during a reduction in force, classroom performance is the top criterion. These states also articulate that seniority can only be considered after a teacher's performance is taken into account.

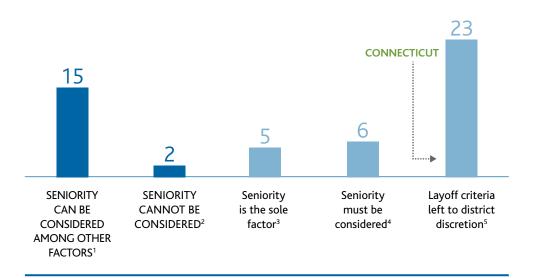
Figure 140 Do districts have to consider performance in determining which teachers are laid off?



- 1. Strong Practice: Colorado, Florida, Illinois, Indiana, Michigan, Missouri, Oklahoma, Rhode Island, Tennessee, Texas, Utah
- 2. Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Delaware, District of Columbia, Georgia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio³, Oregon, Pennsylvania, South Carolina, South Dakota, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 3. Tenure is considered first.

Figure 141

Do states prevent districts from overemphasizing seniority in layoff decisions?



- 1. Strong Practice: Arizona, Colorado, Florida, Illinois, Indiana, Maine, Michigan, Missouri⁶, Nevada, New Hampshire, Ohio⁶, Oklahoma, Rhode Island, Tennessee, Texas
- 2. Strong Practice: Idaho, Utah
- 3. Hawaii, New York, Pennsylvania, West Virginia, Wisconsin⁷
- 4. California, Kentucky, Louisiana, Minnesota, New Jersey, Oregon
- 5. Alabama, Alaska⁶, Arkansas, Connecticut, Delaware, District of Columbia, Georgia⁶, Iowa, Kansas, Maryland, Massachusetts⁶, Mississippi, Montana, Nebraska⁶, New Mexico, North Carolina, North Dakota, South Carolina, South Dakota, Vermont, Virginia, Washington, Wyoming
- 6. Nontenured teachers are laid off first.
- $7. \ \ Only \ for \ counties \ with \ populations \ of \ 500,000 \ or \ more \ and \ for \ teachers \ hired \ before \ 1995.$

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