Delaware Performance Appraisal System
Building greater skills and knowledge for educators

DPAS-II Guide (Revised) for Teachers

Updated September 2018
Table of Contents

I. Introduction to DPAS II
Purpose of the Delaware Performance Appraisal System II (DPAS II) .................................................. 1
Role of DPAS II for Teachers.................................................................................................................... 1
Who is evaluated through DPAS II for Teachers? .............................................................................. 2
Who evaluates teachers through DPAS II for Teachers? ................................................................... 2
Design of DPAS II: Delaware Professional Teaching Standards and Framework for Teaching .......... 2
Using DPAS II Rubrics to Evaluate Teacher Performance ................................................................. 3
Definitions................................................................................................................................................ 4

II. DPAS II and the Delaware Framework for Teachers
Component 1: Planning and Preparation .............................................................................................. 8
Component 2: Classroom Environment .................................................................................................. 9
Component 3: Instruction ........................................................................................................................ 10
Component 4: Professional Responsibilities ......................................................................................... 11
Component 5: Student Improvement ..................................................................................................... 12

III. Process for Teachers
Process At-A-Glance ............................................................................................................................. 18
Evaluation Timeline .............................................................................................................................. 18
Step 1: Roster Verification and Measures Selection .............................................................................. 18
Step 2: Goal Setting/Fall Conference ................................................................................................... 18
Step 3: Pre-Observation Conference .................................................................................................... 19
Step 4: Observation ............................................................................................................................... 20
Step 5: Post-Observation Conference .................................................................................................. 22
Step 6: Levels of Performance Ratings ............................................................................................... 23
Step 7: Formative Feedback Documentation ...................................................................................... 23
Step 8: Spring/Summative Evaluation Conference .............................................................................. 25
Step 9: Summative Evaluation Documentation .................................................................................... 26
Improvement Plans ............................................................................................................................... 29
The Challenge Process ......................................................................................................................... 31
DPAS II Process, Suggested Timelines, and Responsibilities ................................................................. 32

IV. Forms for Teachers
Component Five Form for Group One .................................................................................................. 37
Component Five Form for Group Two .................................................................................................. 40
Component Five Form for Group Three .............................................................................................. 43
Professional Responsibilities Form ....................................................................................................... 46
Component One Form ........................................................................................................................... 48
Lesson Reflection Sheet ....................................................................................................................... 51
Formative Feedback Form (Observation Form) .................................................................................... 52
Formative Feedback Short Form ............................................................................................................ 57
Professional Responsibilities Reflection Sheet ..................................................................................... 60
Summative Feedback Form .................................................................................................................. 61
Summative Feedback Form-Two Year Cycle ....................................................................................... 67
Improvement Plan for Teachers ............................................................................................................ 74
Improvement Plan – Student Improvement for Educators (Component V) ........................................... 77
Expectations Follow-Up Form .............................................................................................................. 80

V. Appendices
Appendix A: DPAS II Criterion Rubrics .............................................................................................. 83
Appendix B: DPAS II Element Rubrics ................................................................................................. 87
Appendix C: Summative Evaluation Calculations (Sample) ................................................................. 105
Appendix D: Student Improvement Component Guidance for Unique Circumstances ....................... 107
I. INTRODUCTION to DPAS II

Purpose of the Delaware Performance Appraisal System II (DPAS II)

DPAS II is Delaware’s statewide educator evaluation system. As a statewide system, DPAS II establishes consistent educator and student performance expectations and outcomes across all schools. There are multiple versions of DPAS II:

1. DPAS II for Teachers
2. DPAS II for Specialists
3. DPAS II for Assistant Principals
4. DPAS II for Principals
5. DPAS II for District Administrators

The three main purposes of DPAS II are to assure and support

- Educators’ professional growth
- Continuous improvement of student outcomes
- Quality educators in every school building and classroom

Role of DPAS II for Teachers

DPAS II for Teachers supports professional growth by helping evaluators and teachers identify areas for growth and opportunities to enhance teachers’ skills and knowledge through:

- Self-assessment and reflection
- Working collaboratively with colleagues to improve curriculum, assessment, instruction, and other classroom practices
- Conducting action research
- Designing and piloting new instructional programs or techniques
- Analyzing student and school data to shape the school program and classroom instruction
- Other learning opportunities

DPAS II for Teachers supports continuous improvement of instructional practice and student outcomes by helping evaluators and teachers monitor professional growth and student improvement. Teaching is a complex and ever-changing profession requiring a teacher’s commitment to continuously improve his or her practice and, in turn, student performance. Teachers need opportunities to try new tools, methods, and approaches for instruction. At the same time, these opportunities must be monitored to ensure that students are reaping the intended benefits.

DPAS II for Teachers assures quality teachers in every classroom by helping evaluators and teachers select credible evidence about teacher performance. Evaluators use this evidence to make important decisions such as:

- Recognizing and rewarding effective practice
- Recommending continued employment and/or career growth opportunities
- Recommending strategies and/or activities that will enhance teacher effectiveness
- Developing a plan to improve teacher performance
- Beginning dismissal proceedings
I. INTRODUCTION to DPAS II

Who is evaluated through DPAS II for Teachers?

For the purposes of DPAS II, a Teacher is defined as an educator who

- holds a valid Delaware teaching license (either initial, continuing, or advanced) OR professional salary certificate issued by DOE prior to August 31, 2003
- holds a Delaware certificate in a particular content area, such as English or mathematics or in a category e.g., elementary or special education; and
- is employed as either a part-time or a full-time teacher in a Delaware public school.

All Teachers who meet these criteria will follow the evaluation procedures outlined in DPAS II for Teachers. Categories include, but are not limited to, teachers of art, music, physical education, vocational/trade and industry, world languages, bilingual education, health education, English as a second language, driver education, computer science/technology, gifted and talented, reading specialists, math specialists, and science coalition specialists.

Specialists in the Classroom

In addition, any Specialist, as defined in the Specialist DPAS II regulation, who teaches in a classroom setting part of the instructional day may be evaluated using the Teacher appraisal process (DPAS II for Teachers).

Who evaluates teachers through DPAS II for Teachers?

Delaware Administrative Code and regulation 106A requires all school districts and charter schools to evaluate teachers using the DPAS II Guide Revised for Teachers, unless approved by the Delaware Department of Education to use an alternative educator evaluation system. This regulation also requires all Evaluators to complete DPAS II training and credentialing, as developed by the Delaware Department of Education.

Evaluator” means an educator who is a Credentialed Observer who is responsible for a teacher’s Summative Evaluation. A teacher’s required observations as part of the appraisal process shall generally be conducted by the assigned Evaluator; however, the assigned Evaluator may designate another educator who is also a Credentialed Observer to conduct the required observations.

Design of DPAS II: Delaware’s Professional Teaching Standards and Framework for Teaching

The Delaware Framework for Teaching, the basis for DPAS II, is aligned to the Delaware Professional Teaching Standards and is based on Charlotte Danielson’s book, Enhancing Professional Practice: A Framework for Teaching (2nd Edition).

The Delaware Professional Teaching Standards establish a common set of knowledge, skills, and attributes expected of Delaware’s teachers. These standards are outlined in regulation. The Delaware framework and DPAS II are a modified version of Danielson’s influential work with student improvement added as one of five Components for teacher evaluation.

Delaware’s framework for teaching defines professional practice and outlines essential criterion and elements of practice among five separate Components of teaching. DPAS II is used to assess and support student improvement by evaluating a teacher’s current practice, identifying
I. INTRODUCTION to DPAS II

ways to support that teacher’s professional growth, and measuring student growth for each teacher.

The Five Components of Delaware’s Framework and DPAS II for Teachers

1. Planning and Preparation
2. Classroom Environment
3. Instruction
4. Professional Responsibilities
5. Student Improvement

The five Components of DPAS II identify five separate areas of teacher practice and responsibility. Effective practice within a Component is characterized by evidence tied to several criteria that highlight the essential knowledge and skills particular to each Component.

In turn, evidence of criterion performance can be broken out into several specific observable elements. Each element is a specific and observable area of knowledge and skills that is directly related to specific Component criterion.

Using DPAS II Rubrics to Evaluate Teacher Performance

Rubrics exist for each of the first four Components, with ratings as follows:

**Highly Effective** - Evidence of exceptional performance; outstanding knowledge, implementation, and integration of teaching standards along with evidence of leadership initiative and willingness to model and/or serve as a mentor for colleagues.

**Effective** - Evidence of solid performance; strong knowledge, implementation, and integration of teaching standards; clear evidence of proficiency and skill in the Component/criterion.

**Needs Improvement** - Evidence of mediocre or developing performance; fundamental knowledge and implementation of teaching standards is uneven or rudimentary. Integration of teaching standards is inconsistent. Teacher is making progress towards proficiency.

**Ineffective** – Evidence of little or no knowledge and minimal implementation of teaching standards. Does not meet minimal teaching standards and needs substantial improvement.

Evaluators and teachers are expected to use the criterion and element rubrics, included in Section II of this guide, to focus pre-observation, post-observation, and summative conference discussions around levels of performance, commendations, recommendations, and expectations. *When assigning criteria level ratings, evaluators may find it helpful to reference the element rubrics.* Using DPAS II rubrics allows the teacher and evaluator to develop a common understanding of the teacher’s strengths and areas for improvement.

Use of rubrics also helps ensure evaluator consistency when documenting teacher performance. To ensure consistent interpretation of the rubrics, all evaluators are expected to participate in yearly calibration activities. During a formative observation, Credentialed Observers are required to document a level of performance for every criteria observed. It is not necessary to observe/rate each criterion in every observation. However, all criteria must be observed and rated during the appraisal cycle and rated on the Summative Evaluation.
I. INTRODUCTION to DPAS II

All written evaluation documents must include specific evidence collected during the teacher’s evaluation process.

Documentation of the appraisal process should be completed using a state-approved online platform which adheres to the minimum requirements of the DPAS-II appraisal cycle as outlined in Regulation 106A.

Definitions

The following definitions are applicable at the time this Guide was updated:

"Announced Observation" means an observation by a Credentialed Observer at a date and time that has been previously arranged, using the associated formative conferences and reports, which may include the use of an observation form. The observation shall be of sufficient length, at least thirty (30) minutes, to analyze the lesson and assess teacher performance.

“Calibration” is the result of ongoing, frequent collaboration of groups of educators to (1) come to a common, shared understanding of what practice looks like at different performance levels and (2) establish and maintain consistency in aspects of the evaluation process including analyzing evidence, providing feedback, and using professional judgment to determine ratings. Calibration can include activities such as video observations, co observations and/or peer feedback to written feedback.

“Commendations” must be reserved for teachers with high levels of performance. Teachers who perform above expectations and/or who clearly excel in any Component, criterion, or element are eligible for a commendation. Commendations are not intended for teachers showing “expected” levels of performance.

“Component” means one of the five specific areas of teacher practice and responsibility.

“Credentialed Observer” means an individual, not always the supervisor of the teacher, who has successfully completed DPAS II credentialing in accordance with Section 10.0 of Regulation 106A. Credentialed Observer denotes any individual who may conduct observations as part of a teacher’s appraisal process. The term credentialed observer encompasses those administrators who are Evaluators.

“Criterion” means a broad area of knowledge and skills related to a specific Component.

“Data Point” means an assessment used to measure student performance between a pre and post assessment or a single measure used with a single cohort of students.

“Documentation” means the appropriate capturing of the necessary information outlined in Regulation 106A in either an approved on-line platform or hard copy form.

“Element” means an observable and specific area of knowledge and/or skill directly related to a Component criterion.
I. INTRODUCTION to DPAS II

“Evaluator” means a Credentialed Observer who is responsible for a teacher’s Summative Evaluation. A teacher’s required observations as part of the appraisal process shall generally be conducted by the assigned Evaluator; however, the assigned Evaluator may designate a school administrator who is also a Credentialed Observer to conduct the required observations.

“Evidence” means observed actions, collected artifacts, or statements made by the teacher and/or students. This would not include statements of interpretation and/or language from the rubric.

“Expectations” are specific performances that must be carried out. If expectations for improvement are included at any point in the appraisal cycle, they must be clear and specific and include a description of the evidence the teacher must exhibit/provide. There must also be clear timelines for when the teacher must show evidence of meeting the expectation.

"Experienced Teacher” means a teacher who holds a valid and current Continuing or Advanced License, issued pursuant to Chapter 12 of Title 14 of the Delaware Code; or Standard or Professional Status Certificate issued prior to August 1, 2003.

“Growth Target” means an expected standard of performance determined using baseline data or historical student growth data.

“Group 1 Teacher” means any Novice Teacher or Experienced Teacher providing instruction in ELA and/or mathematics to a student enrolled in any grade four (4) through eight (8) as verified by the State’s pupil accounting system.

"Improvement Plan” means the plan that a teacher and Evaluator mutually develop for a teacher who receives an overall rating of "Needs Improvement" or "Ineffective" on the Summative, a rating of Unsatisfactory on the Student Improvement Component (Component Five) on a Summative, and may be developed if a teacher’s overall performance is unsatisfactory during an observed lesson.

“Measure” A Measure is defined as an instrument used to assess student and/or professional growth.

"Novice Teacher" means a teacher who holds a valid and current Initial License issued by the Delaware Department of Education.

“Recommendations” are specifically designed to help the teacher improve his or her performance. Because DPAS II is designed to promote continuous improvement, recommendations may be made to teachers at any level of performance as long as they are relevant and meaningful. Recommendations are not binding. They are a suggested course of action that the teacher can consider.

"Satisfactory Evaluation” is equivalent to the overall "Highly Effective” or "Effective” rating on the Summative Evaluation and shall be used to qualify for a continuing license.

“Short Observation” shall consist of an observation by a Credentialed Observer, using the associated conferences and forms, at a date and time that has not been previously arranged.
I. INTRODUCTION to DPAS II

The observation shall be no less than ten (10) minutes, and be limited to specified criteria. Such observations shall not substitute for required observations under Section 3.0.

“Sign” shall mean an individual hand writing or typing their signature, initials, or declaring their consent on any documentation in paper copy or electronic form.

"Student Achievement" means:

(a) For tested grades and subjects:

   (1) Student scores on the state assessment system; and, as appropriate,

   (2) Other measures of student learning, such as those described in paragraph (b) of this definition, provided they are rigorous and comparable across classrooms.

(b) For non-tested grades and subjects: Alternative measures of student learning and performance such as student scores on pre-tests and end-of-course tests; student performance on English language proficiency assessments; and other measures of student achievement that are rigorous and comparable across classrooms. Such alternative measures must be approved by the Department and developed in partnership with DSEA and DASA.

"Student Growth" means the change in Student Achievement data for an individual student between two points in time. Growth may also include other measures that are rigorous and comparable across classrooms.

"Summative Evaluation" or “Evaluation” means the comprehensive, end-of-cycle appraisal and shall incorporate the results of the minimum required observations, any additional observations, and required Component-level data. At the discretion of the Evaluator, it may also include additional Announced, Unannounced, or Short observation data beyond the required observation data provided by other Credentialed Observers.

“Teacher of Record” The Teacher of Record is the teacher who has been assigned the primary responsibility for a student's learning in a course/class, provided the student has been in attendance at least 85% of the time that the class is in session.

"Unannounced Observation" shall consist of an observation by a Credentialed Observer at a date and time that has not been previously arranged using the associated formative conferences and reports, and which may include the use of an observation form. The observation shall be of sufficient length, at least thirty (30) minutes, to analyze the lesson and assess teacher performance.

“Unsatisfactory with Administrator Discretion” is a rating earned for Measure A when 35%-49% of an educator’s students meet their growth targets. When this occurs, the option exists for an administrator to choose to keep the Measure A rating as Unsatisfactory or to exercise discretion and change the rating to Satisfactory. When making this determination, a number of circumstances and factors may be considered. When determining if a Satisfactory rating is warranted, evaluators may consider how close those students who did not meet their targets came to achieving them (percent of target achieved). Note that if the administrator does not exercise discretion, an educator's rating will remain Unsatisfactory.
"Unsatisfactory Evaluation" is the equivalent to the overall “Needs Improvement” or "Ineffective" rating on the Summative Evaluation as it pertains to educators seeking a continuing license.

"Working Day" means a day when the employee would normally be working in that district or charter school.
II. DPAS II and the Delaware Framework for Teachers

The following five (5) Appraisal Components, including any Appraisal Criteria specified for each, shall be the basis upon which performance of a teacher shall be evaluated by the assigned Evaluator.

In each academic year, for each of the first four (4) Appraisal Components, a school district or charter school may waive one (1) criterion identified as optional below. Notification of any such waiver shall be provided to all teachers in a school district or charter school and the Department of Education by the last day in August of each year.

Component One: Planning and Preparation

Component One defines how a teacher selects and organizes the content and skills to be taught. Teachers command a deep understanding of both the content and pedagogy related to the subject matter. In planning, teachers are expected to consider and understand the skills and knowledge that students bring to a lesson and build upon that understanding.

Knowledge of content alone is not enough to move students toward meeting Delaware Content Standards or teacher-defined standards. All elements of instruction—activities, strategies, and materials—should be appropriate to both the content and students. As it is designed, content is broken into sequences of activities and experiences aligned to the standards that enable students to learn.

Further, the teachers select or design and implement assessment techniques, both formative and summative, to document student progress throughout the learning experience, to inform future instruction, to guide student improvement, and to use technology when and where appropriate.

The following criteria and elements are evaluated under Component One:

1a. Selecting Instructional Goals (Optional)
   - Value, sequence, and alignment
   - Clarity
   - Balance
   - Suitability for diverse learners

1b. Designing Coherent Instruction
   - Learning activities
   - Instructional materials and resources
   - Instructional groups
   - Lesson and unit structure

1c. Demonstrating Knowledge of Content and Pedagogy (Optional)
   - Knowledge of content and the structure of the discipline
   - Knowledge of prerequisite relationships
   - Knowledge of content-related pedagogy

1d. Demonstrating Knowledge of Students
   - Knowledge of child and adolescent development
   - Knowledge of the learning process
   - Knowledge of students’ skills, knowledge, and language proficiency
   - Knowledge of students’ interests and cultural heritage
II. DPAS II and the Delaware Framework for Teachers

- Knowledge of students’ special needs

1e. Designing Student Assessments
  - Congruence with instructional outcomes
  - Criteria and standards
  - Design of formative assessments
  - Use for planning

Component Two: Classroom Environment

Creating an environment in which learning takes place is critical. Component Two includes management of student behavior and the expectation that classroom procedures are public knowledge. The aspects of this Component establish the parameters for interactions, create the atmosphere for learning, and define routines and procedures.

All teacher-to-student and student-to-student interactions should elicit respect and rapport. The classroom culture should reflect a climate where students feel safe and supported. Students recognize that the teacher is in charge and has high expectations for their learning and behavior. Students see their teacher as fair and interested in them as individuals and learners.

The following criteria and elements are evaluated under Component Two:

2a. Managing Classroom Procedures
  - Management of instructional groups
  - Management of transitions
  - Management of materials and supplies
  - Performance of non-instructional duties

2b. Managing Student Behavior
  - Expectations
  - Monitoring of student behavior
  - Response to student misbehavior

2c. Creating an Environment to Support Learning (Optional)
  - Teacher interaction with students
  - Student interaction with other students
  - Importance of the content
  - Expectations for learning and achievement
  - Student pride in work

2d. Organizing Physical Space (Optional)
  - Safety and accessibility
  - Arrangement of furniture and use of physical resources
II. DPAS II and the Delaware Framework for Teachers

Component Three: Instruction

This Component depends on Components One and Two for success. Without a structure for instruction and a productive learning environment, content delivery will be affected and student learning will be diminished.

Component Three is observed in the classroom. As teachers deliver content, they engage students in the process of learning and involve them in decisions when possible. Teachers instruct students in the content and help students see its value by making connections to other disciplines. This is accomplished through clear and accurate communication with students about their individual work and progress toward the standard(s).

Teachers understand the need to be flexible and responsive to the needs of the class, as a whole, as well as individual students. They adjust lessons and assignments to meet student needs. Teachers understand the value of formative and summative assessment data and employ that information as they plan for future instruction.

The following criteria and elements are evaluated under Component Three:

3a. Engaging Students in Learning
   - Activities and assignments
   - Grouping of students
   - Instructional materials and resources
   - Structure and pacing of the lesson

3b. Demonstrating Flexibility and Responsiveness *(Optional)*
   - Lesson adjustment
   - Response to students
   - Persistence

3c. Communicating Clearly and Accurately *(Optional)*
   - Expectations for learning
   - Directions and procedures
   - Explanation of content

3d. Using Questioning and Discussion Techniques
   - Quality of questions
   - Discussion techniques
   - Student participation

3e. Using Assessment in Instruction
   - Assessment criteria
   - Monitoring of student learning
   - Feedback to students
   - Student self-assessment and monitoring of progress
II. DPAS II and the Delaware Framework for Teachers

Component Four: Professional Responsibilities

Teachers engage in many professional activities as they develop teaching skills. Component Four addresses such activities but is not expected to be an inclusive document of all professional growth activities. It is intended to focus on professional growth activities within the context of school, district, and student. For Component Four, teachers and administrators gather artifacts of evidence for each of the criteria to be presented during any conference during the school year. Administrators review the evidence presented and make recommendations and/or request additional evidence.

Note: A school district or charter school may submit an application for a locally determined alternative Component IV to the Department of Education, which may substitute for this Component if approved. The application format and process will be provided by the Department. Applications must be received and approved no later than the last day of July for the upcoming school year.

The following criteria and elements are evaluated under Component Four:

4a. Communicating with Family (Optional)
   - Information about the instructional program
   - Information about individual students
   - Engagement of families in the instructional program

4b. Recording Data in a Student Record System
   - Student completion of assignments
   - Student progress in learning
   - Non-instructional records

4c. Growing and Developing Professionally (Optional)
   - Enhancement of content knowledge and pedagogical skills
   - Receptivity to feedback from colleagues
   - Service to the profession

4d. Reflecting on Professional Practice
   - Accuracy
   - Use in future teaching
II. DPAS II and the Delaware Framework for Teachers

Component Five: Student Improvement

Teachers understand that improvement of student learning is their primary responsibility. Further, they recognize that students come to them at different places along the continuum of learning. They understand that in a standards-based environment, the ultimate goal is to move all students toward the standard. In addition, they recognize that student improvement rates will vary during the year. Through careful planning and evaluation of data, teachers modify their instruction for both the class and individual students.

The following structure is the basis upon which the performance of a teacher shall be evaluated, specific to the Student Improvement Component.

MEASURES FOR COMPONENT V

There are three (3) different measures that determine the Student Improvement Component rating for teachers: Measure A, Measure B and Measure C.

Measure A: State Assessment Scores
- Measure A is based upon student scores of the state assessment for ELA and/or mathematics for grades four (4) through eight (8). Any educator with a Measure A assessment is required to complete a roster verification through the online system (RVS) inside of IMS (Identify Management System).

Measure B: Content Assessments
- Measure B can be comprised of three types of content measures:
  1. Internal measures that are educator-developed and DDOE-approved specific to subjects and grade levels.
  2. Alternative (local) measures are internally developed by a district/charter and DDOE-approved for specific subjects and grade levels.
  3. External measures are created by outside agencies (not district/charters) that are DDOE-approved and can be used at the discretion of each district/charter.

Measure C: Growth Goals
- Growth goals are educator-developed and DDOE-approved. Goals are specific to content areas and job assignments.

EDUCATOR GROUPS

The following structure will determine educator groups and applicable measures.

- **Group 1**: Includes any educator who instructs ELA and/or mathematics for at least 10 students in grades four (4) through eight (8).

- **Group 2**: Includes any educator who reports student grades for at least 10 students in any subject or grade where a Measure B assessment is available but is not a Group I educator state.
II. DPAS II and the Delaware Framework for Teachers

- **Group 3:** Includes any educator who generally does NOT report student grades and/or any educator who cannot otherwise be categorized into Groups 1 or 2. Such as a Teacher of Record who may not have an assessment available to them.

Roster Verification System (RVS) Overview

The Roster Verification System (RVS) is an online system (inside of IMS) that allows Delaware's Group 1 teachers and administrators the opportunity to complete an automated roster verification process to assist in the determination of one measure of their Component V educator evaluation rating ("Measure A" for teachers; "Part A" for administrators). Roster verification ensures that all students who should be considered for the educator's evaluation are present on his or her roster.

The RVS system is used in two phases: before/during the statewide student assessment and after student scores have been returned from the assessment vendor. In the first phase, educators review and/or build rosters and submit to evaluators for approval. In the second phase, educators review their Measure A or Part A reports.

Who needs to complete RVS?

While school leaders conduct some form of roster verification with all educators as part of Component V, only Group 1 teachers and administrators who receive a Part A: Statewide Student Growth Measures rating utilize the state's RVS system. A Group 1 teacher includes any educator who instructs ELA and/or mathematics for at least 10 students in grades four (4) through eight (8). Administrators receive a Part A rating if they are responsible for 20 or more students taking the state assessment.

The timeline, additional information, and technical assistance is available on the following webpage: [https://www.doe.k12.de.us/Page/2088](https://www.doe.k12.de.us/Page/2088)
II. DPAS II and the Delaware Framework for Teachers

Use the following chart to determine applicable Educator Groups and Measures:

Are you the ELA and/or math Teacher of Record for grades 4-8 and give grades for at least 10 students?

Yes → You are a Group 1 Educator

No → Are you the Teacher of Record and give grades for at least 10 students, and there is an available Measure B assessment for your grade or subject?

Yes → You are a Group 2 Educator

No → You are a Group 3 Educator

Definition of Teacher of Record: The teacher of record is the teacher who has been assigned the primary responsibility for a student’s learning in a course/class, provided the student has been in attendance at least 85% of the time that the class is in session.

*Educators who have unique circumstances, such as those under FMLA, should work with their administrator to determine the most appropriate educator group for them to participate in the Student Improvement Component. Regulations require that every educator receive a Student Improvement Component rating every year. Please refer to the Appendix for Guidance on Unique Situations. If a situation exists that is not reflected in the Appendix, LEAs are encouraged to contact DOE as soon as they are made aware of the situation.
MEASURES REQUIREMENTS FOR EDUCATOR GROUPS

The following provides guidance as to the application of Measure A, Measure B and Measure C. Remember that an Educator’s rating for a Measure A and/or B target is calculated on a roster of at least 10 individual students. Therefore, when selecting the appropriate educator group, please consider the likelihood of that educator maintaining a minimum of the same 10 individual students at the end of the year/target timeline.

Group 1 Educators:
- MUST use two (2) measures for all students assessed in reading and/or math for grades four (4) through eight (8)
- MUST use one (1) Measure A AND one (1) Measure B OR one (1) Measure C
- Each data point weighted 50%

Group 2 Educators:
- MUST use two (2) measures
- MUST use at least one (1) Measure B AND one (1) Measure B OR one (1) Measure C
- Measure B and Measure B/C weighted 50% each

Group 3 Educators:
- MUST use two (2) Measure C’s
- Measure C weighted 100%

*In rare cases, educators along with their evaluators may determine that four measures are beneficial in capturing their impact on student outcomes. LEAs shall notify DDOE as to how many educators are evaluated as such.

While an assessment should be administered to all students within a class, a teacher may, in some cases, set a target for a cohort of a minimum of ten (10) students within that class. The assessment may be used for different classes and can be utilized as another measure.

SELECTING GROWTH TARGETS

**Measure A:** Targets will be determined as follows:

Targets will be determined based on the state’s student growth model, which shall be established by the Department of Education. Targets are released in the Fall and updated in the Spring to capture those students whose demographics changed (SWD/ELL) and/or for those who have enrolled after the start of the school year. We expect this change to affect a very small portion of students (<1%).

**Measure B:** Growth targets shall be determined as follows:

Growth targets will generally be determined after the fall administration of the pre-test measure(s) and are based on professional conversations between the administrator and educator during the fall and spring conferences. However, prior to administration of any Measure B, the administrator must approve the selected Measure(s). Based on the results of
II. DPAS II and the Delaware Framework for Teachers

the pre-test, the educator will use the Component Five Form/Online Tool and set growth targets. Then the educator and administrator should meet (fall conference) to develop “Satisfactory” and “Exceeds” targets based upon the identified area(s) of need and goals for their students.

**Measure C:** Growth Targets shall be determined as follows:

Growth Targets will be determined during the fall conference between the educator and administrator, based on professional conversations. The educator will be responsible for selecting (with administrator approval) from a menu of growth goals applicable to their job assignment. Using a Component Five Form/Online Tool, the educator will set growth targets. The educator and administrator (during the fall conference) will then develop “Satisfactory” and “Exceeds” targets based upon the identified area(s) of need for their class or cohort of students.

<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>The agreed upon “exceeds” target is met or surpassed.</td>
<td>The agreed upon “satisfactory” target is met or surpassed, but the “exceeds” target is not met.</td>
<td>The agreed upon “satisfactory” target is not met.</td>
</tr>
</tbody>
</table>

**STUDENT IMPROVEMENT COMPONENT RATING**

Progress toward attaining Student Improvement targets occurs during the Summative Evaluation Conference and Student Improvement ratings are determined. Progress toward each measure is analyzed and discussed by the teacher and evaluator. A Measure rating is determined by comparing actual data with the targets set at the Fall Conference. Ratings for each Measure are determined by the “Exceeds”, “Satisfactory”, and “Unsatisfactory” targets set. Once each Measure rating is determined, an overall Student Improvement Component Rating can be decided.

The following structure shall determine the overall Component Five: Student Improvement Rating:

<table>
<thead>
<tr>
<th>Possible Measure Rating Combinations</th>
<th>Summative Evaluation Rating for Component Five</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exceeds</td>
<td>Exceeds</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Unsatisfactory</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Unsatisfactory</td>
</tr>
<tr>
<td>Unsatisfactory</td>
<td>Unsatisfactory</td>
</tr>
</tbody>
</table>
**Experienced teachers** receiving a Summative Evaluation every two years shall receive and overall Component Five - Student Improvement rating on the Summative Evaluation in accordance with the following chart. Year 1 and Year 2 ratings should be calculated each year, prior to determining an overall Summative Evaluation Rating for Component Five: Student Improvement.

<table>
<thead>
<tr>
<th>Year 1 and Year 2 Possible Rating Combinations</th>
<th>Summative Evaluation Rating for Component Five</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highly Effective</td>
<td>Highly Effective</td>
</tr>
<tr>
<td>Highly Effective</td>
<td>Effective</td>
</tr>
<tr>
<td>Highly Effective</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>Highly Effective</td>
<td>Ineffective</td>
</tr>
<tr>
<td>Effective</td>
<td>Effective</td>
</tr>
<tr>
<td>Effective</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>Effective</td>
<td>Ineffective</td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>Ineffective</td>
</tr>
<tr>
<td>Ineffective</td>
<td>Ineffective</td>
</tr>
</tbody>
</table>

In rare cases, educators and evaluators may determine that four “data points” are beneficial in capturing their impact on student outcomes. LEAs shall notify DDOE as to how many educators are evaluated as such.

If an educator and evaluator disagree about the educator’s performance rating(s), the evaluator makes the final determination. The educator may address any differences through the Challenge Process (see Section III: Process for Teachers).

*Sample Summative Evaluation calculations are outlined in Appendix C.*
III. DPAS II Process

DPAS II: Process At-A-Glance

The two major features of DPAS II are its conceptual framework and its activities. The conceptual framework consists of elements derived from Charlotte Danielson’s *Enhancing Professional Practice: A Framework for Teaching (2nd Edition)*. The activities generate the data used in the appraisal.

DPAS II is a continuous process of professional improvement, which may entail a one- or two-year cycle, depending on an educator’s status and length of service and the LEA’s determination regarding annual cycles.

To the extent that the DPAS II Guide for Teachers provides a time period within which any part of the process must be completed, the Guide shall prevail unless the controlling collective bargaining agreement provides a different timeline that does not interfere with the spirit of the DPAS-II process. In such case, the collective bargaining agreement prevails.

Evaluation Timeline

Observations shall not begin until students have been in attendance for five (5) full school days, unless an Improvement Plan calls for such an observation. Observations shall be completed before the last five (5) school days with full day student attendance.

To the extent that the *DPAS II Guide for Teachers* suggests a time period within which any part of the process will be completed, the Guide shall prevail unless the controlling bargaining agreement requires activities to be completed on a different timeline that does not interfere with the spirit of the DPAS-II process. See the DPAS II Process, Suggested Timelines, and Responsibilities chart (found in this section) for more detailed information.

Step 1: Roster Verification and Measures Selection

Component Five Form/Online Tool

The Component Five Form/Online Tool provide the teacher and evaluator with information about how the teacher’s Student Improvement Component rating will be determined. For further detail on Measures and Target requirements, see Section II of this Guide. The administrator may choose to meet with groups of teachers with common measures or the administrator may schedule individual conferences to address both Roster Verification/Measures Selection (Component V).

During this time, the educator and administrator should complete Part 1: Roster Verification and Part II: Measures Selection of the Component Five Form/Online Tool.

Step 2: Goal-Setting/Fall Conference

Component Five Form/Online Tool
III. DPAS II Process

It is recommended that this conference be completed after baseline data has been collected but before October 31 each year. However, this conference may take place at other points during the school year to accommodate those educators who teach classes by semester or a single marking period and/or who are not in attendance at the start of the school year. Please note that there is no minimum time requirement between the Fall and Spring Conference.

During this time, the educator and administrator should review baseline data collected from the selected measure(s) and complete Part III: Fall Conference/Target Selection of the Component Five Form/Online Tool.

Additionally, this may be an appropriate time for the educator and administrator to discuss the educator’s professional goals and how evidence will be collected as part of Component Four: Professional Responsibilities.

Professional Responsibilities Form and Conference

The Professional Responsibilities form and conference allow the teacher and evaluator to plan appropriate and relevant professional growth activities. Professional growth opportunities within this plan should be designed to improve the teacher’s practice in relation to the four criteria within Component Four. This conference may be held at the same time as the Fall Conference or it may be held during the first Post-observation Conference. If the district/charter allows and both the administrator and teacher agree, then the Professional Responsibilities form may be optional for Experienced Teachers. The Professional Responsibilities form may not be waived for Novice Teachers.

Step 3: Pre-observation

The Component One Form and Pre-observation Conference provide the evaluator with information about the upcoming observation and criteria of the Components that may not be directly observable.

The Pre-observation Conference is required for all Announced observations. However, if the district/charter allows and both the administrator and teacher agree, then the Component One Form may be optional for Announced observations of Experienced Teachers. The Component One Form may not be waived for Novice Teachers. The Pre-observation Conference is applicable to an "Announced" observation.

If the evaluator and Experienced Teacher mutually decide a Component One Form is not necessary, the Component One evidence/narrative will be completed using the lesson plan and discussion points from the Pre and Post-observation Conferences. The form may be used by the evaluator to guide and invoke dialogue with the educator during the pre or post observation conference. However, the Component One Form may be requested by the evaluator at any point in the formative process (either before the Pre-observation Conference or immediately following an observation. If requested after an observation, the evaluator should make the request on the same day the observation occurred). The evaluator may also request a completed Component One Form following an Unannounced observation, and Component One may be discussed during the post-observation conference. The form may also be requested via
III. DPAS II Process

A school-wide routine established by an administrator. The documentation of performance for Components Two and Three will be collected when the actual observation is conducted.

Whenever possible, the Pre-observation Conference should be held in the teacher’s classroom. This allows the teacher easy access to materials and/or evidence that may help strengthen the discussion.

Component Four may be discussed during this conference or the Post-observation Conference or both. The evaluator is expected to provide relevant initial feedback to the teacher concerning Component One during the Pre-observation Conference.

Step 4: Observation

Observation provides a view of teacher practice and the opportunity to collect information to assess performance. The purpose of the observation is to record observed evidence of Component Two and Three. (The observation may provide you with evidence of criteria in Components One). This process is the same for both Novice and Experienced teachers. Observation serves as a snapshot of practice captured through watching teaching and providing feedback on what is observed.

Frequency of Observations

- Novice teachers shall receive a minimum of one (1) Announced observation and two (2) Unannounced observations with a Summative Evaluation every year. Novice teachers who have earned a rating of “Needs Improvement” or “Ineffective” on their most recent Summative Evaluation must have an Improvement Plan, which may require additional observations and other types of monitoring.

- Novice teachers who have earned three (3) “Highly Effective” or “Effective” ratings on their most recent Summative Evaluations may receive a minimum of one (1) Announced or Unannounced observation each year with a Summative Evaluation at least once every two (2) years.

- Experienced Teachers who have earned a rating of “Highly Effective” or “Effective” on their most recent Summative Evaluation shall receive a minimum of one (1) Announced or Unannounced Observation within the summative cycle and either an additional Announced or Unannounced Observation or the equivalent of observed time (30 minutes) through three (3) Short Observations (using the Short Form) with a Summative Evaluation at least once every two (2) years. The Student Improvement Component for “Highly Effective” or “Effective” teachers must be evaluated each year, regardless of whether a summative evaluation is conducted.

- Experienced teachers who have earned a summative rating of “Needs Improvement” or “Ineffective” shall receive a minimum of one (1) Announced observation and one (1) Unannounced observation, with a Summative Evaluation at the end of the one (1) year period. These teachers shall also have an Improvement Plan, which may require additional observations and other types of monitoring.
III. DPAS II Process

Short Observation

A Short Observation allows an evaluator to collect additional targeted evidence to better inform teacher practice and assess performance. A Short Observation shall focus on Components II and III only, and cannot include the use of the Component One Form. A Short Observation must be followed by a brief conference within 10 days of the observation. Furthermore, a Short Observation shall not be conducted until at least one full observation (no less than 30 minutes) has occurred during the teacher’s appraisal cycle. Evidence collected from a “Short” should be included in the overall Summative Evaluation, similar to how evidence from formative observations is integrated.

- A “Short” observation is a formal observation.
- “Short” observations are used to evaluate teachers, not specialists.
- One “Short Observation” taken alone cannot lead to a mid-year Improvement Plan.
- Documentation of such observations will be recorded on the Short Form.

Key Concepts – Observations

- In some cases, observations are Announced. The teacher receives advanced notification of the observation. In other cases, the observation is Unannounced and there is no advance notification. The quality of practice should be consistent across both situations.
- An Announced observation provides a forum for the teacher and evaluator to discuss the context and plans for the lesson to be observed prior to its implementation. An Unannounced observation is an opportunity for an evaluator to watch a teacher in action without providing prior notice. The evaluator relies upon direct observation of the lesson to examine the teacher’s practice during the observation period.
- Observations should be of sufficient length, at least thirty (30) minutes, so that the evaluator can analyze the lesson and accurately assess performance. “Short Observations” may also be appropriate. A Short Observation of at least 10 minutes allows the evaluator to provide timely feedback that targets specific areas for educator growth/development in Components Two and Three only.
- There must be a reasonable amount of time between observations. Time between observations must be sufficient for teachers to improve their performance. If the teacher needs support(s) to improve their performance (i.e. coaching and professional development), then the time between observations must be sufficient for the teacher to have had the opportunity to access appropriate supports.
- Observations may not begin until students have been in attendance for five (5) full days, unless an Improvement Plan calls for such an observation.
- Observations must be completed before the last five (5) days during which students are in attendance for the entire day.
Step 5: Post-observation Conference

During the Post-observation Conference, the teacher and evaluator discuss evidence collected during the observation. This conference includes discussion about evidence of the teacher’s performance. The evaluator may request that the Component One Form be completed prior to the conference to provide the teacher the opportunity to present additional evidence and allow for richer discussion. Criterion and/or element rubrics are used to focus discussion around levels of performance, commendations, and recommendations. If the form is not requested, the evaluator to may use the form to guide and encourage dialogue with the educator during the conference.

The Post-observation Conference is also an appropriate time to discuss the teacher’s progress related to Component Four. Any updates related to the educator’s Professional Responsibilities should be discussed during the Post-observation Conference.

Teachers are expected to come to the conference prepared to discuss:
1. their reflections on their performance during the lesson observed
2. any special circumstances or events that impacted the lesson
3. adjustments made to the planned lesson and the rationale for these adjustments
4. ways to improve their future practice

The Lesson Reflection Template included in Section IV of this guide is a valuable tool for teachers to reflect on their performance during the observed lesson. This form is optional. The teacher may choose to complete this form and bring it to the Post-observation Conference. The teacher has full discretion as to whether this form is completed and/or shared with the evaluator.

The evaluator is expected to come to the conference prepared to discuss:
1. specific evidence collected during the observation
2. clarifications about evidence collected (pose relevant questions)
3. the teacher’s self-reflection and thoughts on performance
4. his or her assessment of the teacher’s level of performance during the observation
5. areas for commendation (as appropriate)
6. expectations or recommendations for improvement

Whenever possible, this conference should be held in the teacher’s classroom. Holding the conference in the teacher’s classroom allows the teacher and evaluator quick access to materials and/or evidence that may help strengthen discussion.

The Post-observation Conference should be held as soon as reasonable after the observation to ensure timely feedback to the teacher. The conference must be held within ten (10) working days of the observation.

In addition to the prompts listed below, the prompts listed on the Component One form may be used to encourage discussion during the conference.
III. DPAS II Process

Possible Prompts/Questions for Post-observation Conference

- How did you establish and communicate your expectations, rules, and procedures to your students? (2a./2b.)
- How did you create and support a climate where students are committed to learning and treat each other with respect? (2c.)
- How did you ensure that all students are “minds on” rather than “hands on” throughout the lesson? (3a.)
- How did planned activities, instructional groupings and materials/technology support student engagement? (3a.)
- Describe any adjustments/accommodations you made to the lesson during instruction. (3b.)
- How were expectations for learning and activities communicated to students? (3c.)
- What methods did you use to explain the lesson content? (3c.)
- How did you ensure that all students had an opportunity to answer high-level questions and participate in discussion? (3d.)
- How did you communicate your assessment criteria and performance standards to all students? (3e.)
- What feedback did students get in terms of their progress towards the assessment criteria? (3e.)
- How did students assess and monitor the quality of their work? What changes did students make from this assessment? (3e.)

Step 6: Level of Performance Ratings

At the conclusion of the Post-observation Conference, the teacher and evaluator should have a common understanding of the teacher’s performance during the observation. Element rubrics are used to focus their discussion and determine accurate performance levels. During the formative observation it is required to document a level of performance for every criteria observed. However, it is not necessary to observe/rate each criterion in every observation. All criteria must be observed and rated during the summative cycle.

If the teacher and evaluator disagree about the teacher’s performance rating(s), the final determination is made by the evaluator. The teacher may address any differences through the Formative Feedback Documentation and/or Challenge processes.

Step 7: Formative Feedback Documentation

Documentation of the appraisal process will be completed utilizing the DPAS II forms. This should be done via a state-approved online platform which adheres to the minimum
requirements of the DPAS II appraisal cycle. The DPAS II Guide includes forms that outline the required documentation.

The evaluator will collect evidence describing the performance of the teacher based on the criteria of the observed Components, discussion related to the criteria, and artifacts related to Components One, Two, and Three. If a criterion was not observed during the observation, the evaluator will note that in the observation evidence as “not observed”. DPAS II rubrics should be used to focus discussion around levels of performance and any commendations, recommendations, and expectations.

The Formative Feedback Form’s content should be a verification and rating of what was observed during the lesson and discussed during the Post-observation Conference. If a teacher disagrees with any feedback on the Formative Feedback Form or wishes to add additional information to support any comment, he or she may provide information in writing to the evaluator within fifteen (15) working days of receipt of the form. The teacher may also request a second conference with the evaluator to discuss concerns. Additional information provided will become part of the appraisal record. This information can be uploaded into the platform and documented in the Post-observation conference notes. Information provided by the educator does not necessarily constitute a change in ratings unless the information provides evidence that can be substantiated and meets the criteria of another rating. Based upon the information provided by the educator, the evaluator may conclude at this time that an additional observation should be conducted.

Commendations should be reserved for teachers with high levels of performance or in the case of Novice teachers, those who have demonstrated substantial professional growth. Commendations are not intended for teachers showing “expected” levels of performance.

The Evaluator is required to make Recommendations at least once during the evaluation cycle that are specifically designed to help the teacher improve his or her performance. Because DPAS II is designed to promote continuous improvement, Recommendations may be made to teachers at any level of performance as long as they are relevant and meaningful. Recommendations should be prioritized by those actions that will provide the greatest impact on instruction and support progress towards the next Performance Level. Recommendations are actionable because they include clear examples and/or supports for implementation.

Expectations are specific actions that must be carried out. If Expectations for improvement are included at any point in the appraisal process, they must be clear and specific and include a description of the evidence the teacher must exhibit/provide. There must also be clear timelines for when the teacher must show evidence of meeting the Expectation.

Key Concepts - Formative Feedback Documentation

- The completed Formative Feedback documentation must be provided to the teacher within ten (10) working days of the post-conference.
- Formative Feedback documentation is required for both Announced and Unannounced observations.
III. DPAS II Process

- For an Unannounced observation or for an observation that is part of the Improvement Plan process, feedback on some criteria may not be necessary.

- It is required to document a level of performance for every criteria observed. However, it is not necessary to observe/rate each criterion in every observation. All criteria must be observed and rated during the summative cycle.

- If a teacher’s overall performance related to the observation and discussion is deemed unsatisfactory, the evaluator may indicate this by noting “Performance Requires an Improvement Plan” on the required form(s)/documentation and signing the statement.

- The decision as to whether an Improvement Plan is deemed necessary following any formative observation is at the discretion of the Evaluator.

- The teacher must sign the Formative Feedback Form/Documentation and return it to the evaluator within five (5) working days. This documentation (with both signatures) will be provided to the teacher.

Step 8: Spring Conference/Summative Evaluation Conference

Spring Conference/Component Five Form/Online Tool

The Spring Conference occurs each school year regardless of whether the educator has a summative evaluation. During the Spring Conference, Part IV: Spring Conference of the Component Five Form/Online Tool is completed. During this time the educator and evaluator will meet to complete the roster verification and measures calculations. At this point, the evaluator should provide ratings for each measure selected as well as an overall Student Improvement Component rating. Student Improvement Component ratings for the Summative Evaluation are based on the Student Improvement Component ratings from each year within the evaluation cycle for an Experienced Educator.

Summative Evaluation Conference

The Summative Evaluation process occurs at the end of the evaluation cycle. It may be yearly or every other year depending upon the experience of the teacher and his/her evaluation status.

The first step is the Summative Evaluation Conference, followed by completion of the Summative Evaluation documentation. This process is the same for both Novice and Experienced teachers.

At the Summative Evaluation Conference, the evaluator shares overall impressions of a teacher’s practice based upon previously shared evidence, as well as a summary of the teacher’s performance as it relates to all five Components. It is an opportunity for a rich conversation between the evaluator and the teacher, where clarification and additional information may be provided, and where the evaluator and the teacher may discuss future professional development goals that support continuous professional growth.
III. DPAS II Process

During this time, educators may provide further artifacts of evidence for each of the criteria related to Component Four: Professional Responsibilities.

It is suggested that element rubrics and the preponderance of evidence be used to focus discussion around the levels of performance for each Criterion. Criterion rubrics and the preponderance of evidence are suggested to be used to focus discussion around levels of performance for each Component. Any commendations, recommendations, and expectations should be aligned to the evidence and rubrics.

Commendations should be reserved for teachers with high levels of performance or in the case of Novice teachers, those who have demonstrated substantial professional growth. Teachers who perform above Expectations and/or who clearly excel in any criterion or element are eligible for a Commendation. Commendations are not intended for teachers showing “expected” levels of performance.

The evaluator is encouraged to make Recommendations specifically designed to help the teacher improve his or her performance. Because DPAS-II is designed to promote continuous improvement, Recommendations may be made to teachers at any level of performance as long as they are relevant and meaningful. Recommendations are a suggested course of action that the teacher can consider.

Expectations are specific performances that must be carried out. If Expectations for improvement are included in the Summative Evaluation, they must be clear and specific and include a description of the evidence the teacher must exhibit/provide. There must also be clear timelines for when the teacher must show evidence of meeting the Expectation.

For those educators whose assessment data are not available prior to the end of the school year, a spring/summative conference shall be conducted with all Components and Student Improvement Component Measures discussed (less Measure A results). No final summative rating shall be assigned until the beginning of the next school year or a mutually agreeable time, when assessment data are available and a conference is held. Spring/Summative conferences and ratings must be held and assigned on or before September 30 and prior to goal setting conferencing.

Step 9: Summative Evaluation Documentation

The Summative Evaluation documentation includes the evaluator's ratings of the teacher’s performance in each Component and an overall rating. Appraisal Criteria shall also be assigned an overall rating in a teacher’s Summative Evaluation.

The evaluator uses data from Formative Feedback Documentation (including “Shorts”), observation evidence, and Post-observation Conference discussions to complete the Summative Evaluation documentation. A completed Summative Evaluation is required:

- Every year for Novice teachers
- Every year for Experienced teachers who have earned a rating of “Needs Improvement” or “Ineffective” on their previous summative evaluation
- Every two (2) years for Experienced teachers who have earned a rating of “Highly Effective” or “Effective” on their previous summative evaluation
Within ten (10) working days of the Summative Evaluation Conference, the evaluator completes the Summative Evaluation documentation and provides it to the teacher. The Summative Evaluation documentation contains ratings and evidence about the performance of the teacher related to each Criteria and all five Components of DPAS II. It is a written record of the conversation during the Summative Evaluation Conference.

The evaluator may create a narrative on the Summative Evaluation Form describing evidence of performance for each Component and Criterion. Evidence must be cited from previous observations. Evidence from Pre-observation and Post-observation Conferences should also be cited.

The Summative Evaluation Documentation content should be a verification of what was observed and discussed with the teacher throughout the evaluation cycle, including commendations, and/or recommendations, and/or expectations for improvement. The information can be used by teachers to reflect on their practice and plan future professional growth options.

**Summative Evaluation Ratings**

DPAS II Components One, Two, Three, and Four are each assigned a rating of “Highly Effective”, “Effective”, “Needs Improvement”, or “Ineffective” on the Summative Evaluation. A “Highly Effective” or “Effective” rating for Components One through Four means the teacher demonstrates acceptable performance by having no more than one unacceptable rating on the appraisal criteria specified in each of the Components. A Summative Evaluation rating also includes one of four overall ratings: “Highly Effective”, “Effective”, “Needs Improvement”, or “Ineffective.”

The Student Improvement Component, is first assigned a rating of “Exceeds”, “Satisfactory”, or “Unsatisfactory.” Within the Student Improvement Component, a “Satisfactory” rating means the teacher’s students on average achieve acceptable levels of student growth. A rating of “Exceeds” means that teacher’s students on average achieve high rates of student growth. See the Component Five - Student Improvement Component in Part II of this Guide to determine Summative Evaluation Ratings for Student Improvement Component.

Each of the five appraisal Components are equally weighted and each Component rating shall be assigned a point value, in accordance with the following chart. No partial points are allowed.

<table>
<thead>
<tr>
<th>Component Rating</th>
<th>Point Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highly Effective</td>
<td>4 points</td>
</tr>
<tr>
<td>Effective</td>
<td>3 points</td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>2 points</td>
</tr>
<tr>
<td>Ineffective</td>
<td>1 point</td>
</tr>
</tbody>
</table>
III. DPAS II Process

Once all Component ratings are assigned, a Summative Evaluation rating is determined using the sum of all five Components, in accordance with the following chart.

<table>
<thead>
<tr>
<th>Sum of Component Points Earned</th>
<th>Summative Evaluation Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>19 or 20 points</td>
<td>Highly Effective</td>
</tr>
<tr>
<td>14-18 points</td>
<td>Effective</td>
</tr>
<tr>
<td>9-13 points</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>5-8 points</td>
<td>Ineffective</td>
</tr>
</tbody>
</table>

See Appendix C for more detailed Summative Evaluation Calculation information.

Key Concepts – Summative Evaluation Documentation

- **Commendations** should be reserved for teachers with high levels of performance. Teachers who perform above expectations and/or who clearly excel in any component, criterion, or element are eligible for a commendation. Commendations are not intended for teachers showing “expected” levels of performance.

- The Evaluator is required to make **Recommendations** at least once during the evaluation cycle specifically designed to help the teacher improve his or her performance. Because DPAS II is designed to promote continuous improvement, Recommendations may be made to teachers at any level of performance as long as they are relevant and meaningful. Recommendations should be prioritized by those actions that will provide the greatest impact on instruction and support progress towards the next Performance Level. Recommendations are actionable because they include clear examples and/or supports for implementation.

- **Expectations** are specific performances that must be carried out. If expectations for improvement are included in the Summative Evaluation, they must be clear and specific and include a description of the evidence the teacher must exhibit/provide. There must also be clear timelines for when the teacher must show evidence of meeting the expectation.

- If a teacher disagrees with any feedback on the Summative Evaluation Form or wishes to add additional information to support any comment, he or she may provide information in writing to the evaluator within fifteen (15) working days of receipt of the form. The teacher may request a second conference with the evaluator to discuss concerns. Additional information provided will become part of the appraisal record.

Pattern of Ineffective Teaching

A “Pattern of Ineffective Teaching” is based on the teacher’s most recent Summative Evaluation ratings. Under state law, Novice teachers are not eligible for a Continuing License if they have earned more than one (1) unsatisfactory (defined as “Ineffective” or “Needs Improvement”) summative rating. Beginning in 2014-2015, revised Regulation 106A no longer considers “Needs Improvement” as a satisfactory summative rating for a Novice teacher.
III. DPAS II Process

The following chart shows the consecutive Summative Evaluation ratings that are considered a pattern of ineffective teaching:

<table>
<thead>
<tr>
<th>DPAS II Rating</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Ineffective</td>
<td>Ineffective</td>
<td></td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
<td></td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>Ineffective</td>
<td>Needs Improvement</td>
<td></td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
<td>Ineffective</td>
<td></td>
</tr>
<tr>
<td>Ineffective</td>
<td>Needs Improvement</td>
<td>Ineffective</td>
<td></td>
</tr>
<tr>
<td>Ineffective</td>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
<td></td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>Ineffective</td>
<td>Ineffective</td>
<td></td>
</tr>
</tbody>
</table>

**Improvement Plans**

Improvement plans are developed to help teachers focus on area(s) where they need extra assistance to improve their practice.

An Improvement Plan **shall** be developed when:

- A teacher’s overall performance is rated as “Needs Improvement” on the Summative Evaluation; or
- A teacher’s overall performance is rated as “Ineffective” on the Summative Evaluation; or
- A teacher earns a rating of “Need Improvement”, “Ineffective” on any Appraisal Component on the Summative Evaluation regardless of the overall rating.

An Improvement Plan **may** be developed when:

A teacher’s overall performance during an observed lesson is unsatisfactory. In instances where an improvement plan is to be developed, the evaluator shall first have noted the unsatisfactory performance on the required forms by noting “Performance Requires an Improvement Plan” and initialing the statement.

**Improvement Plan Requirements**

All improvement plans must include:

1. Identification of the specific deficiencies and recommended area(s) for growth.
III. DPAS II Process

2. Measurable goals for improving the deficiencies to satisfactory levels.

3. Specific professional development or activities to accomplish the goals.

4. Specific resources necessary to implement the plan, including, but not limited to, opportunities for the teacher to work with curriculum specialists, subject area specialists, instructional specialists, or others with relevant expertise.

5. Procedures and evidence that must be collected to determine that the goals of the plan were met.

6. A timeline for the plan, including intermediate checkpoints, to determine progress.

7. Procedures for determining satisfactory improvement.

8. Multiple observations and opportunities for feedback provided by a Credentialed Observer, a mentor, a lead teacher, or an instructional coach.

Professional development that is completed during the time that the Improvement Plan is in effect must directly relate to areas identified as needing improvement.

Improvement Plan Conference

The teacher and evaluator should review the requirements of the Improvement Plan before the Improvement Plan Conference. This gives each time to prepare for discussion and generate ideas for the plan. At the Improvement Plan Conference, the teacher and evaluator develop a plan for improvement.

Delaware regulation requires that the teacher and evaluator develop the Improvement Plan cooperatively. However, if cooperative development of the plan is not possible or if the teacher and evaluator cannot come to agreement on the plan, regulation gives the evaluator the authority and responsibility to determine the plan.

Improvement Plan Implementation

Teachers, evaluators, and other professionals that may be named in the Improvement Plan are accountable for the implementation and completion of the plan. If amendments to the plan are necessary, all parties affected by the amendment must discuss the changes, document them in the appropriate space on the Improvement Plan. The teacher and evaluator, at a minimum, must also sign the amendment to indicate their agreement to the changes.

Upon completion of the plan, the evaluator and teacher shall sign the Improvement Plan, documenting the completion of the plan. If the teacher’s practice is not deemed satisfactory at the completion of the Improvement Plan, then the appropriate consequences, as detailed in the Improvement Plan, will be carried out. Note that satisfactory performance of the Improvement Plan does not change any ratings on the Formative observation and/or the Summative evaluation nor does unsatisfactory performance on the Improvement Plan require an additional improvement plan to be developed.
III. DPAS II Process

The Challenge Process

Sometimes a teacher will disagree with his or her evaluator’s assessment. It is desirable to resolve the differences directly with the evaluator, if at all possible. Teachers are encouraged to discuss their concerns with the Evaluator and attempt to resolve the issues prior to submitting a formal challenge. Documents generated as part of this discussion shall be attached to the Summative Evaluation and become part of the appraisal record.

If resolution is not reached with the Evaluator, the teacher may submit a written challenge to the evaluator’s supervisor. Delaware allows a teacher to challenge according to the following guidelines:

1. Conclusions of a lesson observation if the statement "PERFORMANCE REQUIRES AN IMPROVEMENT PLAN" has been included on the required form(s)

2. Any rating on the Summative Evaluation, either a Component Rating or the Overall Summative Rating.

A teacher initiates the challenge by submitting information specific to the point of disagreement to the evaluator’s supervisor. This must be done in writing within fifteen (15) working days of the teacher's receipt of the evaluation document.

If the evaluator’s supervisor is in the same building as the teacher, the challenge and appraisal record are submitted to a designated district or charter school-level Evaluator.

Within fifteen (15) working days of receiving the written challenge, the supervisor of the Evaluator or the designated district or charter school level Evaluator shall review the record which consists of all documents used in the appraisal process and the written challenge, meet with the teacher, and issue a written decision.

If the challenge is denied, the written decision shall state the reasons for denial.

The decision of the supervisor of the Evaluator or the designated district or charter school's level Evaluator shall be final.

While a challenge process is taking place, the Improvement Plan may be started by mutual agreement of teacher and evaluator. If agreement cannot be reached, the Evaluator’s decision will prevail.
### DPAS II Process, Suggested Timelines, and Responsibilities

<table>
<thead>
<tr>
<th>DPAS II Process Step</th>
<th>Suggested Timeline</th>
<th>Related Form/Online Tool</th>
<th>Teacher Responsibilities</th>
<th>Observer Responsibilities</th>
</tr>
</thead>
</table>
| **Step 1:** Roster Verification and Measures Selection | Prior to October 31 | Component Five Form/Online Tool | Identify rosters.  
Determine appropriate Educator Group.  
Obtain evaluator approval for selected measures.  
Sign Part I: Roster Identification and Part II: Measure Selection of the Component Five Form. (verify this through DSC) | Approve rosters.  
Determine appropriate Educator Group.  
Discuss and approve appropriate measures.  
Sign Part I: Roster Identification and Part II: Measure Selection of the Component Five Form. |
| **Step 2:** Goal-Setting Fall Conference | Prior to October 31 | Component Five Form/Online Tool | **Prior to Fall Conference:** Administer baseline data.  
**During Fall Conference:** Take an active part in the conference.  
Present baseline data and proposed targets.  
Be prepared to discuss Measure A, B, and/or C targets and rationale for selection.  
Sign Part III: Fall Conference of the Component Five Form. (verify through DSC) | **During Fall Conference:** Hold conference with the teacher.  
Review baseline data.  
Discuss Measure A, B, and/or C targets and rationale for selection.  
Sign Part III: Fall Conference of the Component Five Form.  
Discuss the educator’s professional goals and how evidence will be collected as part of Component Four: Professional Responsibilities. |
| **Step 3:** Pre-Observation | Prior to an Announced Observation | Component One – Planning and Preparation Form | **Prior to Pre-Observation Conference:** Complete a Component One Form with a detailed lesson plan and submit to the observer. | **Prior to Pre-Observation Conference:** Review the Component One Form and the teacher’s lesson plan. |
### III. DPAS II Process

<table>
<thead>
<tr>
<th>DPAS II Process Step</th>
<th>Suggested Timeline</th>
<th>Related Form/Online Tool</th>
<th>Teacher Responsibilities</th>
<th>Observer Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>lesson plan should address each of the criteria in Component One (may be optional for Experienced Teachers).</td>
<td>During Pre-Observation Conference: Hold the conference with the teacher. Seek evidence and provide initial feedback related to Component One. Review and discuss artifacts presented for Component Four.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td><strong>During Pre-Observation Conference:</strong> Take an active part in the conference.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Discuss Component One.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Present and discuss relevant artifacts related to Component Four.</td>
<td></td>
</tr>
<tr>
<td><strong>Step 4: Observation</strong></td>
<td>September through April</td>
<td>Formative Feedback Form</td>
<td>Demonstrate evidence of Criteria in each Component.</td>
<td>Collect evidence of Criteria in each Component.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lesson Reflection Sheet (optional)</td>
<td><strong>Prior to Post-Observation Conference:</strong> Reflect on performance using the rubrics and organize related evidence.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Complete the Component One Form upon request.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td><strong>During Post-Observation Conference:</strong> Actively participate in the conference.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Discuss the lesson and present related evidence.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Respond to post-observation questions.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td><strong>Provide artifacts as evidence and discuss progress on Component Four and update Professional Responsibilities Form, as appropriate.</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Step 5: Post-Observation Conference</strong></td>
<td>Within 10 working days of the observation</td>
<td>Lesson Reflection Sheet (optional)</td>
<td></td>
<td>Prior to Post-Observation Conference Organize evidence collected, prepare clarifying questions, and be ready to provide feedback on the teacher’s performance.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td><strong>During Post-Observation Conference:</strong> Discuss observed lesson and review related evidence.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Pose reflective and post observation questions.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Review artifacts submitted and Discuss Component Four progress, if appropriate.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Share commendations, expectations, and recommendations, as appropriate.</td>
<td></td>
</tr>
</tbody>
</table>
## III. DPAS II Process

<table>
<thead>
<tr>
<th>DPAS II Process Step</th>
<th>Suggested Timeline</th>
<th>Related Form/Online Tool</th>
<th>Teacher Responsibilities</th>
<th>Observer Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Step 6: Level of Performance Ratings</strong></td>
<td>At post-observation conference</td>
<td>N/A</td>
<td>Discuss evidence, resources, and support as appropriate.</td>
<td>Discuss evidence and level of performance for each criteria observed.</td>
</tr>
<tr>
<td><strong>Step 7: Formative Feedback Documentation</strong></td>
<td>Within 10 working days of the post-observation conference</td>
<td>Formative Feedback Form</td>
<td>Review, sign, and return to the observer within 5 working days.</td>
<td>Prepare Formative Feedback Form and present to teacher. Record documentation into online platform.</td>
</tr>
</tbody>
</table>
| **Step 8: Spring/Summative Evaluation Conference** | April-May | Professional Responsibilities Form (optional) Reflection Sheet (optional) Component Five Form | Prior to the Spring/Summative Conference
Review all documents for the full evaluation cycle. Collect artifacts for evidence of each criteria in Component Four: Professional Responsibilities Collect data and analyze calculations for each Component V measure. **During the Spring/Summative Conference** Take an active part in the conference. Present artifacts for Component Four. Present data and calculations for each Student Improvement Component measure. | During the Spring/Summative Conference
Review and document evidence provided for Component Four. Review data and calculations for each Student Improvement Component measure. Review and discuss all evidence and documents for the full evaluation cycle. Provide feedback and ratings each criteria and component. Provide commendations, recommendations and/or expectations as applicable. |
| **Step 9: Summative Evaluation Documentation** | April-May Within 10 working days of the | Summative Feedback Form | Review, sign, and return to the observer within 5 working days. | Prepare Summative Feedback Form and present to teacher. Record documentation into online platform. |
### III. DPAS II Process

<table>
<thead>
<tr>
<th>DPAS II Process Step</th>
<th>Suggested Timeline</th>
<th>Related Form/Online Tool</th>
<th>Teacher Responsibilities</th>
<th>Observer Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td>Summative Conference</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
IV. DPAS II Forms for Teachers

Component Five Form for Group 1

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
COMPONENT FIVE FORM
FOR GROUP 1 Educators

<table>
<thead>
<tr>
<th>Educator:</th>
<th>Evaluator:</th>
</tr>
</thead>
<tbody>
<tr>
<td>School:</td>
<td>Grade(s):</td>
</tr>
</tbody>
</table>

**Part I: Roster Identification (recommended date: by October 31st)**

Class list(s) that will be used for each Measure A* attached:  ☐ Yes  ☐ No

| I hereby verify that the attached class list represents exactly all of my students in accordance with the state’s Teacher of Record Policy. |
| I hereby verify that the attached class list represents exactly all of the students scheduled for the teacher noted above in accordance with the state’s Teacher of Record Policy. |

| Educator Signature | Date | Evaluator Signature | Date |

**Part II: Measure Selection (recommended date: by October 31st)**

**MUST** be completed and approved by administrator prior to using any Measure. **MUST** use Measure A* and at least one (1) Measure B.

**Measure A***:

**Measure B or C**: __________________________________________________________

**Class(s) Tested**: __________________________________________________________

Measure Selection completed:  ☐ Yes  ☐ No

| I hereby agree to use the above measure(s) as part of Component V of my DPAS II evaluation. |
| I hereby agree to the use of the above measure(s) as part of Component V for the aforementioned teacher’s DPAS II evaluation. |

| Educator Signature | Date | Evaluator Signature | Date |

**PART III: (FALL Conference) – Set targets based on selected administrator approved measures.**

- Group 1 educators will set targets for Measure B/C assessments on the Component Five Form.
- Measure A* targets will be calculated and provided by the Department of Education.
### Target Selection/Results

<table>
<thead>
<tr>
<th>Measure:</th>
<th>☐ B ☐ C</th>
<th>What is the goal?</th>
</tr>
</thead>
</table>

**Data Procedures**

<table>
<thead>
<tr>
<th>Measure Used:</th>
<th>Class Tested:</th>
<th>Baseline Date:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Evidence:</th>
<th>Baseline Data:</th>
</tr>
</thead>
</table>

**Target date:**

<table>
<thead>
<tr>
<th>Satisfactory target:</th>
<th>Minimum that needs to be met to earn “Satisfactory” rating.*</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Exceeds target:</th>
<th>Minimum that needs to be met to earn “Exceeds” rating.</th>
</tr>
</thead>
</table>

*NOTE:* An Unsatisfactory rating will result if anything less than the Satisfactory target is achieved.

---

**The listed targets for Satisfactory and Exceeds have been agreed upon by the educator and evaluator. Final approval rests with the evaluator.**

<table>
<thead>
<tr>
<th>Educator Signature</th>
<th>Date</th>
<th>Evaluator Signature</th>
<th>Date</th>
</tr>
</thead>
</table>
IV. DPAS II Forms for Teachers
Component Five Form for Group 1

PART IV: Spring Conference Preparation

Roster Verification:
The rosters for Measure A has been verified: ☐ Yes ☐ No
Class list that was used for Measure B/C is attached: ☐ Yes ☐ No

I hereby verify that the attached class list represents exactly all of my students in accordance with the state’s Teacher of Record Policy.
I hereby verify that the attached class list represents exactly all of the students scheduled for the teacher noted above in accordance with the state’s Teacher of Record Policy.

Educator Signature Date Evaluator Signature Date

Measure A* calculations are based on state assessment scores and student growth targets

<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory (with administrator discretion)</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>65% or more of an educator’s student growth targets are met.</td>
<td>50%-64% of an educator’s student growth targets are met.</td>
<td>35%-49% of an educator’s student growth targets are met (conference between administrator and educator could provide option to upgrade to a “Satisfactory” rating.)</td>
<td>Less than 35% of an educator’s student growth targets are met.</td>
</tr>
</tbody>
</table>

Measure A* ☐ Exceeds ☐ Satisfactory ☐ Unsatisfactory

Measure B/C calculations are based on the targets set during the fall conference.

<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>The agreed upon “exceeds” target is met or surpassed.</td>
<td>The agreed upon “satisfactory” target is met or surpassed, but the “exceeds” target is not met.</td>
<td>The agreed upon “satisfactory” target is not met.</td>
</tr>
</tbody>
</table>

Measure B/C Rating: ☐ Exceeds ☐ Satisfactory ☐ Unsatisfactory

Measure A = 50% of Component V Measure B or C = 50% of Component V

Possible Rating Combinations Overall Component V Rating
<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exceeds</td>
<td>Exceeds</td>
<td>Highly Effective</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Satisfactory</td>
<td>Effective</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Unsatisfactory</td>
<td>Effective</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Satisfactory</td>
<td>Effective</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Unsatisfactory</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>Unsatisfactory</td>
<td>Unsatisfactory</td>
<td>Ineffective</td>
</tr>
</tbody>
</table>

Overall Component V Rating: ☐ Highly Effective ☐ Effective
☐ Needs Improvement ☐ Ineffective

Educator Signature Date Evaluator Signature Date
IV. DPAS II Forms for Teachers  
Component Five Form for Group 2

DELAWARE PERFORMANCE APPRAISAL SYSTEM II  
COMPONENT FIVE FORM  
FOR GROUP 2 Educators

Educator: ____________________________  Evaluator: ____________________________

School: ____________________________  Grade(s): _______  Subject Area(s): ___________________

**Part I: Roster Identification (recommended date: by October 31st)**

Class list(s) that will be used for each measure attached:  ☐ Yes  ☐ No

<table>
<thead>
<tr>
<th>Educator Signature</th>
<th>Date</th>
<th>Evaluator Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

I hereby verify that the attached class list represents exactly all of my students in accordance with the state’s Teacher of Record Policy.

I hereby verify that the attached class list represents exactly all of the students scheduled for the teacher noted above in accordance with the state’s Teacher of Record Policy.

---

**Part II: Measure Selection (recommended date: by October 31st)**

- MUST be completed and approved by administrator prior to using any Measure.
- MUST use a minimum of two (2) Measures.
- MUST use at least one (1) Measure B and one (1) Measure B or C.

<table>
<thead>
<tr>
<th>Measure</th>
<th>B</th>
<th>C</th>
<th>Class(s) Tested</th>
</tr>
</thead>
<tbody>
<tr>
<td>Measure</td>
<td>B</td>
<td>C</td>
<td>Class(s) Tested</td>
</tr>
</tbody>
</table>

Measure Selection completed:  ☐ Yes  ☐ No

I hereby agree to use the above measure(s) as part of Component V of my DPAS II evaluation.

I hereby agree to the use of the above measure(s) as part of Component V for the aforementioned teacher’s DPAS II evaluation.

<table>
<thead>
<tr>
<th>Educator Signature</th>
<th>Date</th>
<th>Evaluator Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

---

**Part III: (FALL Conference) – Set targets based on selected administrator approved measures.**

- Group 2 educators will set targets for Measure B and Measure C assessments on the Component Five Form/Online Tool. Final approval rests with the evaluator.
### IV. DPAS II Forms for Teachers

**Component Five Form for Group 2**

#### Data and Evidence Collection Procedures Chart

**Target Selection/Results**

<table>
<thead>
<tr>
<th>Measure:</th>
<th>☐B ☐C</th>
<th><strong>What is the goal?</strong></th>
</tr>
</thead>
</table>

| Data Procedures |
|----------------|-------|
| Measure Used: |       |
| Class Tested: | Baseline Date: |
| Evidence      |       |

<table>
<thead>
<tr>
<th>Baseline Data:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Target date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Satisfactory target:</td>
</tr>
<tr>
<td>Minimum that needs to be met to earn “Satisfactory”</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Exceeds target:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minimum that needs to be met to earn “Exceed” rating.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Measure:</th>
<th>☐B ☐C</th>
<th><strong>What is the goal?</strong></th>
</tr>
</thead>
</table>

| Data Procedures |
|----------------|-------|
| Measure Used: |       |
| Class Tested: | Baseline Date: |
| Evidence      |       |

<table>
<thead>
<tr>
<th>Baseline Data:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Target date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Satisfactory target:</td>
</tr>
<tr>
<td>Minimum that needs to be met to earn “Satisfactory” rating.*</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Exceeds target:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minimum that needs to be met to earn “Exceeds” rating</td>
</tr>
</tbody>
</table>

* **NOTE:** An unsatisfactory rating will result if anything less than the Satisfactory target is achieved.

**The listed targets for Satisfactory and Exceeds have been agreed upon by the educator and evaluator.**

<table>
<thead>
<tr>
<th>Educator Signature</th>
<th>Date</th>
<th>Evaluator Signature</th>
<th>Date</th>
</tr>
</thead>
</table>
## IV. DPAS II Forms for Teachers

### Component Five Form for Group 2

### PART IV: Spring Conference Preparation

<table>
<thead>
<tr>
<th>Roster Verification:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class list(s) that was used for Measure B is attached:     □ Yes □ No</td>
</tr>
<tr>
<td>*Class list(s) that was used for Measure C is attached:     □ Yes □ No</td>
</tr>
</tbody>
</table>

*if applicable

| I hereby verify that the attached class list represents exactly all of my students in accordance with the state’s Teacher of Record Policy. |
| I hereby verify that the attached class list represents exactly all of the students scheduled for the teacher noted above in accordance with the state’s Teacher of Record Policy. |

| Educator Signature | Date | Evaluator Signature | Date |

### Measure B & Measure C calculations are based on the targets set during the fall conference.

<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>The agreed upon “exceeds” target is met or surpassed.</td>
<td>The agreed upon “satisfactory” target is met or surpassed, but the “exceeds” target is not met.</td>
<td>The agreed upon “satisfactory” target is not met.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Measure B Rating:</th>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>Measure B/C</td>
<td>Exceeds</td>
<td>Satisfactory</td>
<td>Unsatisfactory</td>
</tr>
</tbody>
</table>

### Measure B = 50% of Component V

<table>
<thead>
<tr>
<th>Possible Rating Combinations</th>
<th>Overall Component V Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exceeds Exceeds</td>
<td>Highly Effective</td>
</tr>
<tr>
<td>Exceeds Satisfactory</td>
<td>Effective</td>
</tr>
<tr>
<td>Exceeds Unsatisfactory</td>
<td>Effective</td>
</tr>
<tr>
<td>Satisfactory Satisfactory</td>
<td>Effective</td>
</tr>
<tr>
<td>Satisfactory Unsatisfactory</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>Unsatisfactory Unsatisfactory</td>
<td>Ineffective</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Overall Component V Rating:</th>
<th>Highly Effective</th>
<th>Effective</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Needs Improvement</td>
<td>Ineffective</td>
</tr>
</tbody>
</table>

| Educator Signature | Date | Evaluator Signature | Date |

DPAS-II Guide Revised for Teachers, Updated September 2018
IV. DPAS II Forms for Teachers
Component Five Form for Group 3

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
COMPONENT FIVE FORM
FOR GROUP 3 EDUCATORS

Educator: ________________________________  Evaluator: ________________________________

School: ____________________ Grade(s): _______  Subject Area(s): ___________________

Part I: Measure Selection *(recommended date: by October 31st)*

- MUST be completed and approved by administrator prior to using any Measure.
- MUST use a minimum of two (2) Measures.
- MUST use Measure C.

Measure C: ____________________________  Focus of Goal: ____________________________

Measure Selection completed:  ☐ Yes  ☐ No

I hereby agree to use the above measure(s) as part of Component V of my DPAS II evaluation.

Educator Signature  Date  Evaluator Signature  Date

Part II: (FALL Conference) – Set targets based on selected administrator approved measures.

- Group 3 educators will set targets for Measure C assessments on the Component Five Form
### Data and Evidence Collection Procedures Chart

**Target Selection/Results**

<table>
<thead>
<tr>
<th>Measure: ☐ C</th>
<th>What is the goal?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Data Procedures

- **Measure Used:**
- **Class Tested:**
- **Baseline Date:**

#### Evidence

**Baseline Data:**

<table>
<thead>
<tr>
<th>Target date:</th>
<th></th>
</tr>
</thead>
</table>

**Satisfactory target:**

*Minimum that needs to be met to earn “Satisfactory” rating.*

**Exceeds target:**

*Minimum that needs to be met to earn “Exceeds” rating.*

<table>
<thead>
<tr>
<th>Measure: ☐ C</th>
<th>What is the goal?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Data Procedures

- **Measure Used:**
- **Class Tested:**
- **Baseline Date:**

#### Evidence

**Baseline Data:**

<table>
<thead>
<tr>
<th>Target date:</th>
<th></th>
</tr>
</thead>
</table>

**Satisfactory target:**

*Minimum that needs to be met to earn “Satisfactory” rating.*

**Exceeds target:**

*Minimum that needs to be met to earn “Exceeds” rating.*

*NOTE: An unsatisfactory rating will result if anything less than the Satisfactory target is achieved. The listed targets for Satisfactory and Exceeds have been agreed upon by the educator and evaluator.*

<table>
<thead>
<tr>
<th>Educator Signature</th>
<th>Date</th>
<th>Evaluator Signature</th>
<th>Date</th>
</tr>
</thead>
</table>
PART IV: Spring Conference Preparation

**Roster Verification:**

| Class list(s) that was used for the first Measure C is attached: | □ Yes | □ No |
| Class list(s) that was used for the second Measure C is attached: | □ Yes | □ No |

I hereby verify that the attached class list represents exactly all of my students in accordance with the state’s Teacher of Record Policy.

---

**Measure C calculations** are based on the targets set during the fall conference.

<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>The agreed upon “exceeds” target is met or surpassed.</td>
<td>The agreed upon “satisfactory” target is met or surpassed, but the “exceeds” target is not met.</td>
<td>The agreed upon “satisfactory” target is not met.</td>
</tr>
</tbody>
</table>

**Possible Rating Combinations**

<table>
<thead>
<tr>
<th>Overall Component V Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highly Effective</td>
</tr>
<tr>
<td>Effective</td>
</tr>
<tr>
<td>Needs Improvement</td>
</tr>
<tr>
<td>Ineffective</td>
</tr>
</tbody>
</table>

**Overall Component V Rating:**

| □ Highly Effective | □ Effective |
| □ Needs Improvement | □ Ineffective |

---

**Educator Signature**

**Date**

**Evaluator Signature**

**Date**
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
PROFESSIONAL RESPONSIBILITIES FORM
FOR TEACHERS
(Component Four)

Teacher _____________________________ Evaluator _____________________________

School _____________________________ Date of Conference _____________________________

Grade(s) _____________________________ Subject Area(s) _____________________________

This form in and of itself is not “evidence” of the criteria within Component Four. It is expected that the educator provide artifacts of evidence that support each of the criteria. Artifacts may be presented during any conference throughout the evaluation cycle. Administrators are required to review the artifacts as evidence and accurately capture and rate the evidence. This form must be completed no later than the first observation conference, however, the form may be updated at any point during the year.

---

Part I – Communicating with Family (4a):

How do you share information about the school’s educational program and expectations for student performance with families? In what ways, have you developed a mechanism for two-way communication with families about student progress, behaviors, and personal needs/concerns?

---

Part II – Recording Data (4b):

Describe the data that is collected and how and when data is shared with appropriate school personnel.
IV. DPAS II Forms for Teachers

Professional Responsibilities Form

Part III – Growing and Developing Professionally (4c):
Describe any professional learning activities in which you regularly participate. How do these activities enhance your professional practice?

Part IV – Reflecting on Professional Practice (4d):
Reviewing the evidence collected towards your observations and the student improvement data collected, reflect and comment on the different aspects of your instructional delivery. What goals do you have to improve practice? How will these improvements increase student performance?

Part V – Professional Growth Goals:
Describe any professional growth goals you may have related to Components I-IV on this form. Include information about any additional professional learning activities you would like to participate in the upcoming year. Also, include any resources you may need to achieve your goal(s).
DELTAPE PERFORMANCE APPRAISAL SYSTEM II
COMPONENT ONE FORM FOR TEACHERS

Educator: ___________________________  Evaluator: ___________________________

School: ___________________________________  Date of Conference: _______________

Subject Area(s): _________________________  Grade(s): _________________________

The teacher should complete this form and provide a copy to the Credentialed Observer before the Pre-observation Conference or upon request. A detailed lesson plan, relevant assessments, and other relevant documents must be attached. The teacher may reference such materials in the prompts below as evidence. Information provided on this form in and of itself will not constitute as evidence.

1a. Selecting Instructional Goals: Teacher selects instructional goals that are aligned with the Delaware content standards, Common Core Standards, and/or the district or charter school’s curricula. Goals are appropriate for the learners and reflect high expectations for all students, consistent with State Assessment levels of performance where applicable.

What are your goals for this lesson? How does this lesson fit into the overall goals of the unit? How do they connect to a sequence of learning throughout the unit?

1b. Designing Coherent Instruction: Teacher plans for learning activities that align with the instructional goals and support student learning. Instructional planning shows a structure and selection of materials and activities that support student learning relative to the district or charter school’s curricula.

Describe your lessons activities, materials, and groupings. How do they align with your instructional goals and follow a coherent structure and learning progression?
IV. DPAS II Forms for Teachers
Component One Form

1c. Demonstrating Knowledge of Content and Pedagogy: Teacher shows his or her knowledge of content and how to teach it to a variety of learners. The teacher's plans include natural connections among content areas that deepen student learning. The content that he or she teaches is aligned to the district or charter school's curricula.

What prerequisites are required of students for this lesson? What potential misconceptions might students be challenged with and what strategies do you plan to use to address these challenges? How do lesson concepts fit into other concepts within the discipline?

1d. Demonstrating Knowledge of Students: Teacher shows his or her knowledge of student developmental characteristics; approaches to learning, knowledge, and skills; interests; cultural heritage; and, where applicable, State Assessment performance levels.

Describe the students in your class in terms of ability, culture, and interests. How did you use this knowledge when planning your lesson? How will you differentiate instruction to meet the learning needs, cultures, and interests of a variety of students?
IV. DPAS II Forms for Teachers

Component One Form

1e. Designing Student Assessments: Teacher creates and/or selects assessments that are congruent with instructional goals, criteria, and standards. The teacher plans for the use of formative and summative assessments of the teacher’s students.

How will you determine student progress toward lesson and/or unit goals? What will you assess and what is the expected performance that will indicate progress?

Component One Overall:

Provide any additional comments or information pertaining to Component One/Planning and Preparation.
Delaware Performance Appraisal System II
Lesson Reflection Sheet - Optional

Educator: __________________________ Evaluator: __________________________
Grade(s): __________________________ Subject Area(s): __________________________
Date & Time of Observation: _____________________________________________________

This form is optional
The teacher may choose to complete this form, in whole or in part, and bring it to the Post-observation Conference. The teacher has full discretion as to whether this form is completed and/or shared with the evaluator.

Reflect and comment on the different aspects of your instructional delivery for this particular lesson. To what extent was the lesson effective? What would you do differently to improve the lesson?

<table>
<thead>
<tr>
<th>Instructional Strategies</th>
<th>Were the following aspects of your instructional delivery effective? Why or why not?</th>
<th>What would you do differently to improve the lesson?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Student Grouping(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Student Activities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Materials, Resources, and Technology</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assessment Methods</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Classroom Management/Student Behavior</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Student Engagement/Interest</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
IV. DPAS II Forms for Teachers

Formative Feedback Form (Observation Form)

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
FORMATIVE FEEDBACK FORM (OBSERVATION FORM)
FOR TEACHERS
(Component One, Two, Three, and Four)

Teacher: ___________________________ Evaluator(s): ___________________________

School: ___________________________ Date of Post-Conference: ___________________________

Grade(s): ___________________________ Subject Area(s) Observed: ___________________________

Observation Date and Time: ________________________________________________________

Observation Type:  Announced [ ] Unannounced [ ]

Novice Teacher [ ] OR Experienced Teacher [ ]

COMPONENT 1: PLANNING AND PREPARATION

1a. Selecting Instructional Goals: Teacher selects instructional goals that are aligned with the Delaware content standards and the district or charter school's curricula. Goals are appropriate for the learners and reflect high expectations for all students, consistent with State Assessment levels of performance where applicable.

Criterion 1a. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed

Evidence:

1b. Designing Coherent Instruction: Teacher plans for learning activities that align with the instructional goals and support student learning. Instructional planning shows a structure and selection of materials and activities that support student learning relative to the district or charter school's curricula.

Criterion 1b. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed

Evidence:

1c. Demonstrating Knowledge of Content and Pedagogy: Teacher shows his or her knowledge of content and how to teach it to a variety of learners. The teacher's plans include natural connections among content areas that deepen student learning. The content that he or she teaches is aligned to the district or charter school's curricula.

Criterion 1c. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed

Evidence:
IV. DPAS II Forms for Teachers

Formative Feedback Form (Observation Form)

1d. Demonstrating Knowledge of Students: Teacher shows his or her knowledge of student developmental characteristics; approaches to learning, knowledge, and skills; interests; cultural heritage; and, where applicable, State Assessment performance levels.

Criterion 1d. Performance: □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

1e. Designing Student Assessments: Teacher creates and/or selects assessments that are congruent with instructional goals, criteria, and standards. The teacher plans for the use of formative and summative assessments of the teacher’s students.

Criterion 1e. Performance: □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

COMPONENT 2: CLASSROOM ENVIRONMENT

2a. Managing Classroom Procedures: Teacher has clearly defined procedures for managing learning time, transitions between learning events, and routines that maximize learning time.

Criterion 2a. Performance: □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

2b. Managing Student Behavior: Teacher establishes behavioral expectations and consequences and monitors student conduct. Teacher responds to student behavior in appropriate and effective ways to minimize disruptions.

Criterion 2b. Performance: □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

2c. Creating an Environment to Support Learning: Teacher creates an atmosphere in which learning is valued. Teacher-to-student and student-to-student interactions show rapport that is grounded in mutual respect.

Criterion 2c. Performance: □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:
IV. DPAS II Forms for Teachers

Formative Feedback Form (Observation Form)

2d. Organizing Physical Space: Teacher organizes, allocates, and manages physical space to create a safe learning environment. Teacher uses physical resources to contribute to effective instruction and makes resources accessible to all students.

Criterion 2d. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed

Evidence:

COMPONENT 3: INSTRUCTION

3a. Engaging Students in Learning: Content is appropriate, clear, and linked to student knowledge and experience. Content is aligned with the district or charter school's curricula. Activities and assignments engage all students. Instructional materials are suitable to the instructional goals. The instruction is coherent and paced appropriately for all students.

Criterion 3a. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed

Evidence:

3b. Demonstrating Flexibility and Responsiveness: Teacher has a repertoire of instructional strategies and makes use of them to make modifications to lessons as needed. Teacher differentiates instruction based on learner characteristics and achievement data.

Criterion 3b. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed

Evidence:

3c. Communicating Clearly and Accurately: Verbal and written communication is clear and appropriate to students' ages, backgrounds, and levels of understanding.

Criterion 3c. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed

Evidence:

3d. Using Questioning and Discussion Techniques: Questions are appropriate to the content and level of students' understanding. Teacher encourages students to pose their own questions and is responsive to student questions. Teacher facilitates student led discussions.

Criterion 3d. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed

Evidence:
III. DPAS II Forms for Teachers

Formative Feedback Form (Observation Form)

3e. **Using Assessment in Instruction:** Teacher makes the criteria of the assessment known to the students, monitors the students’ progress, provides descriptive feedback, promotes student self-assessment, and uses data to plan future instruction.

**Criterion 3e. Performance:**
- □ Ineffective
- □ Needs Improvement
- □ Effective
- □ Highly Effective
- □ Not Observed

Evidence:

**COMPONENT 4: PROFESSIONAL RESPONSIBILITIES**

4a. **Communicating with Families:** Teacher shares information about the school’s educational program and expectations for student performance. Teacher develops a mechanism for two-way communication with families about student progress, behavior, and personal needs or concerns.

**Criterion 4a. Performance:**
- □ Ineffective
- □ Needs Improvement
- □ Effective
- □ Highly Effective
- □ Not Observed

Evidence:

4b. **Recording student data in a Student Record System:** Teacher keeps records of attendance, disciplinary actions, emergency contact information, and personal information. Teacher shares relevant information with appropriate school personnel.

**Criterion 4b. Performance:**
- □ Ineffective
- □ Needs Improvement
- □ Effective
- □ Highly Effective
- □ Not Observed

Evidence:

4c. **Growing and Developing Professionally:** Teacher chooses and participates in professional development that is aligned with his or her professional needs and aligned with the needs of the school, district or charter school, or students.

**Criterion 4c. Performance:**
- □ Ineffective
- □ Needs Improvement
- □ Effective
- □ Highly Effective
- □ Not Observed

Evidence:

4d. **Reflecting on Professional Practice:** Teacher engages in reflective thinking as an individual, as a team participant, or as a school community member with the goal of improving instruction and learning for all students.

**Criterion 4d. Performance:**
- □ Ineffective
- □ Needs Improvement
- □ Effective
- □ Highly Effective
- □ Not Observed

Evidence:
IV. DPAS II Forms for Teachers
Formative Feedback Form (Observation Form)

PERFORMANCE SUMMARY
An Improvement Plan may be developed if a teacher's overall performance during an observed lesson is unsatisfactory. In instances where an improvement plan is to be developed, the evaluator shall first have noted the unsatisfactory performance on the required forms by noting “Performance Requires an Improvement Plan” and initialing the statement.

Summary of Commendations/Expectations/Recommended Area(s) of Growth:

Additional Evaluator Feedback:

SIGNATURES
The teacher and evaluator shall sign the Formative feedback form to indicate that the lesson has been reviewed and discussed, not that the teacher necessarily agrees with the observation or comments on this form.

Teacher’s Signature: ___________________________ Date: ________________

Evaluator’s Signature: ___________________________ Date: ________________

If the teacher disagrees with any feedback on this form, the teacher may provide information in writing to the evaluator within fifteen (15) working days of the receipt of this form. The teacher may request a second conference with the evaluator to discuss concerns. Any additional information will become part of the appraisal record.

A teacher may challenge the conclusions of a lesson observation if “Performance Requires an Improvement Plan” is written/noted on this form. This is accomplished by submitting additional information, specific to the point of disagreement, in writing within fifteen (15) working days of the teacher's receipt of this form. The teacher submits the challenge and record to the supervisor of the evaluator unless the supervisor of the evaluator is also in the same building as the teacher. In this situation, the challenge, together with the record, is submitted to a designated district or charter school level evaluator. Any additional information will become part of the appraisal record.
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
FORMATIVE FEEDBACK SHORT FORM
FOR TEACHERS
(Components Two and Three)

Teacher: _________________________  Evaluator(s): _________________________

School: _________________________  Date of Post-Conference: ________________

Grade(s): _________________________  Subject Area(s) Observed: ________________

Observation Date and Time: _________________________

Novice Teacher □  OR  Experienced Teacher □

“Short Form” observations are generally unannounced, should be at least 10 minutes, can occur after one full observation in an appraisal cycle, and do not replace required observations.

COMPONENT 2: CLASSROOM ENVIRONMENT

2a. Managing Classroom Procedures: Teacher has clearly defined procedures for managing learning time, transitions between learning events, and routines that maximize learning time.

Criterion 2a. Performance: □ Ineffective  □ Needs Improvement  □ Effective  □ Highly Effective  □ Not Observed

Evidence:

2b. Managing Student Behavior: Teacher establishes behavioral expectations and consequences and monitors student conduct. Teacher responds to student behavior in appropriate and effective ways to minimize disruptions.

Criterion 2b. Performance: □ Ineffective  □ Needs Improvement  □ Effective  □ Highly Effective  □ Not Observed

Evidence:

2c. Creating an Environment to Support Learning: Teacher creates an atmosphere in which learning is valued. Teacher-to-student and student-to-student interactions show rapport that is grounded in mutual respect.

Criterion 2c. Performance: □ Ineffective  □ Needs Improvement  □ Effective  □ Highly Effective  □ Not Observed

Evidence:
IV. DPAS II Forms for Teachers

2d. **Organizing Physical Space:** Teacher organizes, allocates, and manages physical space to create a safe learning environment. Teacher uses physical resources to contribute to effective instruction and makes resources accessible to all students.

**Criterion 2d. Performance:**
- Ineffective
- Needs Improvement
- Effective
- Highly Effective
- Not Observed

Evidence:

**COMPONENT 3: INSTRUCTION**

3a. **Engaging Students in Learning:** Content is appropriate, clear, and linked to student knowledge and experience. Content is aligned with the district or charter school's curricula. Activities and assignments engage all students. Instructional materials are suitable to the instructional goals. The instruction is coherent and paced appropriately for all students.

**Criterion 3a. Performance:**
- Ineffective
- Needs Improvement
- Effective
- Highly Effective
- Not Observed

Evidence:

3b. **Demonstrating Flexibility and Responsiveness:** Teacher has a repertoire of instructional strategies and makes use of them to make modifications to lessons as needed. Teacher differentiates instruction based on learner characteristics and achievement data.

**Criterion 3b. Performance:**
- Ineffective
- Needs Improvement
- Effective
- Highly Effective
- Not Observed

Evidence:

3c. **Communicating Clearly and Accurately:** Verbal and written communication is clear and appropriate to students’ ages, backgrounds, and levels of understanding.

**Criterion 3c. Performance:**
- Ineffective
- Needs Improvement
- Effective
- Highly Effective
- Not Observed

Evidence:

3d. **Using Questioning and Discussion Techniques:** Questions are appropriate to the content and level of students’ understanding. Teacher encourages students to pose their own questions and is responsive to student questions. Teacher facilitates student led discussions.

**Criterion 3d. Performance:**
- Ineffective
- Needs Improvement
- Effective
- Highly Effective
- Not Observed

Evidence:

3e. **Using Assessment in Instruction:** Teacher makes the criteria of the assessment known to the students, monitors the students’ progress, provides descriptive feedback, promotes student self-assessment, and uses data to plan future instruction.

**Criterion 3e. Performance:**
- Ineffective
- Needs Improvement
- Effective
- Highly Effective
- Not Observed

Evidence:
IV. DPAS II Forms for Teachers

PERFORMANCE SUMMARY

A “Short” should be followed by a brief conference within 10 days of the observation. One “Short” observation standing alone cannot lead to an Improvement Plan mid-year.

Summary of Commendations/Expectations/Recommended Area(s) of Growth:

Additional Evaluator Feedback:

SIGNATURES

The teacher and evaluator shall sign the form to indicate that the lesson has been reviewed and discussed, not that the teacher necessarily agrees with the performance appraisal.

Teacher’s
Signature: ___________________________________________ Date: ______________________

Evaluator’s
Signature: ___________________________________________ Date: ______________________

If the teacher disagrees with any feedback on this form, the teacher may provide information in writing to the evaluator within fifteen (15) working days of the receipt of this form. The teacher may request a second conference with the evaluator to discuss concerns. Any additional information will become part of the appraisal record.

A teacher may challenge the conclusions of a lesson observation if “Performance Requires an Improvement Plan” is written/noted on this form. This is accomplished by submitting additional information, specific to the point of disagreement, in writing within fifteen (15) working days of the teacher’s receipt of this form. The teacher submits the challenge and record to the supervisor of the evaluator unless the supervisor of the evaluator is also in the same building as the teacher. In this situation, the challenge, together with the record, is submitted to a designated district or charter school level evaluator. Any additional information will become part of the appraisal record.
**IV. DPAS II Forms for Teachers**

**DELAWARE PERFORMANCE APPRAISAL SYSTEM II**  
**PROFESSIONAL RESPONSIBILITIES REFLECTION SHEET FOR TEACHERS**

Teacher: ____________________________  Evaluator: ______________________________

Grade(s): ____________________________  Subject Area(s): __________________________

Date & Time of Summative Conference: ____________________________________________

---

**This form is optional**

The teacher may choose to complete this form, in whole or in part, and use it to complete the Professional Responsibilities form. The teacher may also bring this form to any evaluation conference. **The teacher has full discretion as to whether this form is completed and/or shared with the evaluator.**

Reflect and comment on the different aspects of your professional practice. To what extent are you effective? What are your professional practice goals? How will your goals support student learning? What opportunities or supports do you need to achieve your professional practice goals?

<table>
<thead>
<tr>
<th>Are the following aspects of your professional practice effective? Why or why not?</th>
<th>What are your professional practice goals? How will your goals support student learning?</th>
<th>What opportunities or supports do you need to achieve your professional practice goals?</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Family Communications /Engagement</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Record Keeping</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Professional Growth and Development</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Reflection on Professional Practice</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Other Reflections</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
SUMMATIVE FEEDBACK FORM
FOR TEACHERS

Teacher: ___________________________ Evaluator(s): ___________________________

School: ___________________________ Date of Conference: _______________________

Grade(s): __________________________ Subject Area(s) Observed: ___________________

Observation Date(s): __________________________

Novice Teacher ☐ OR Experienced Teacher ☐

COMPONENT 1: PLANNING AND PREPARATION

1a. Selecting Instructional Goals: Teacher selects instructional goals that are aligned with the Delaware content standards and the district or charter school's curricula. Goals are appropriate for the learners and reflect high expectations for all students, consistent with State Assessment levels of performance where applicable.

Criterion 1a. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

1b. Designing Coherent Instruction: Teacher plans for learning activities that align with the instructional goals and support student learning. Instructional planning shows a structure and selection of materials and activities that support student learning relative to the district or charter school's curricula.

Criterion 1b. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

1c. Demonstrating Knowledge of Content and Pedagogy: Teacher shows his or her knowledge of content and how to teach it to a variety of learners. The teacher's plans include natural connections among content areas that deepen student learning. The content that he or she teaches is aligned to the district or charter school's curricula.

Criterion 1c. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

1d. Demonstrating Knowledge of Students: Teacher shows his or her knowledge of student developmental characteristics; approaches to learning, knowledge, and skills; interests; cultural heritage; and, where applicable, State Assessment performance levels.

Criterion 1d. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective
IV. DPAS II Forms for Teachers
Summative Feedback Form

1e. Designing Student Assessments: Teacher creates and/or selects assessments that are congruent with instructional goals, criteria, and standards. The teacher plans for the use of formative and summative assessments of the teacher’s students.

Criterion 1e. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

Evidence:

COMPONENT 1 PERFORMANCE:
☐ Highly Effective ☐ Effective ☐ Needs Improvement ☐ Ineffective

COMPONENT 2: CLASSROOM ENVIRONMENT

2a. Managing Classroom Procedures: Teacher has clearly defined procedures for managing learning time, transitions between learning events, and routines that maximize learning time.

Criterion 2a. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

2b. Managing Student Behavior: Teacher establishes behavioral expectations and consequences and monitors student conduct. Teacher responds to student behavior in appropriate and effective ways to minimize disruptions.

Criterion 2b. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

2c. Creating an Environment to Support Learning: Teacher creates an atmosphere in which learning is valued. Teacher-to-student and student-to-student interactions show rapport that is grounded in mutual respect.

Criterion 2c. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

2d. Organizing Physical Space: Teacher organizes, allocates, and manages physical space to create a safe learning environment. Teacher uses physical resources to contribute to effective instruction and makes resources accessible to all students.

Criterion 2d. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

Evidence:
IV. DPAS II Forms for Teachers
Summative Feedback Form

COMPONENT 2 PERFORMANCE:

☑ Highly Effective      ☐ Effective      ☐ Needs Improvement      ☐ Ineffective

COMPONENT 3: INSTRUCTION

3a. Engaging Students in Learning: Content is appropriate, clear, and linked to student knowledge and experience. Content is aligned with the district or charter school’s curricula. Activities and assignments engage all students. Instructional materials are suitable to the instructional goals. The instruction is coherent and paced appropriately for all students.

Criterion 3a. Performance: ☐ Ineffective      ☐ Needs Improvement      ☐ Effective      ☐ Highly Effective

3b. Demonstrating Flexibility and Responsiveness: Teacher has a repertoire of instructional strategies and makes use of them to make modifications to lessons as needed. Teacher differentiates instruction based on learner characteristics and achievement data.

Criterion 3b. Performance: ☐ Ineffective      ☐ Needs Improvement      ☐ Effective      ☐ Highly Effective

3c. Communicating Clearly and Accurately: Verbal and written communication is clear and appropriate to students’ ages, backgrounds, and levels of understanding.

Criterion 3c. Performance: ☐ Ineffective      ☐ Needs Improvement      ☐ Effective      ☐ Highly Effective

3d. Using Questioning and Discussion Techniques: Questions are appropriate to the content and level of students’ understanding. Teacher encourages students to pose their own questions and is responsive to student questions. Teacher facilitates student led discussions.

Criterion 3d. Performance: ☐ Ineffective      ☐ Needs Improvement      ☐ Effective      ☐ Highly Effective

3e. Using Assessment in Instruction: Teacher makes the criteria of the assessment known to the students, monitors the students’ progress, provides descriptive feedback, promotes student self-assessment, and uses data to plan future instruction.

Criterion 3e. Performance: ☐ Ineffective      ☐ Needs Improvement      ☐ Effective      ☐ Highly Effective

Evidence:

COMPONENT 3 PERFORMANCE:

☑ Highly Effective      ☐ Effective      ☐ Needs Improvement      ☐ Ineffective
IV. DPAS II Forms for Teachers
Summative Feedback Form

COMPONENT 4: PROFESSIONAL RESPONSIBILITIES

4a. Communicating with Families: Teacher shares information about the school’s educational program and expectations for student performance. Teacher develops a mechanism for two-way communication with families about student progress, behavior, and personal needs or concerns.

Criterion 4a. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective

4b. Recording student data in a Student Record System: Teacher keeps records of attendance, disciplinary actions, emergency contact information, and personal information. Teacher shares relevant information with appropriate school personnel.

Criterion 4b. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective

4c. Growing and Developing Professionally: Teacher chooses and participates in professional development that is aligned with his or her professional needs and aligned with the needs of the school, district or charter school, or students.

Criterion 4c. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective

4d. Reflecting on Professional Practice: Teacher engages in reflective thinking as an individual, as a team participant, or as a school community member with the goal of improving instruction and learning for all students.

Criterion 4d. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective

Evidence:

COMPONENT 4 PERFORMANCE:
[ ] Highly Effective [ ] Effective [ ] Needs Improvement [ ] Ineffective

COMPONENT 5: STUDENT IMPROVEMENT

Measure A Growth Rating (if applicable):
[ ] Exceeds [ ] Satisfactory [ ] Unsatisfactory/Admin Discretion [ ] Unsatisfactory

Measure B Growth Rating (if applicable):
IV. DPAS II Forms for Teachers
Summative Feedback Form

☐ Exceeds  ☐ Satisfactory  ☐ Unsatisfactory

Measure C Growth Rating (if applicable):

☐ Exceeds  ☐ Satisfactory  ☐ Unsatisfactory

COMPONENT 5 PERFORMANCE:

☐ Highly Effective  ☐ Effective  ☐ Needs Improvement  ☐ Ineffective

PERFORMANCE SUMMARY

Summative performance rating:

☐ Highly Effective  ☐ Effective  ☐ Needs Improvement  ☐ Ineffective

An Improvement Plan shall be developed for a teacher who receives an overall rating of "Needs Improvement" or "Ineffective" on the Summative Evaluation.

Summary of Commendations/Expectations/Recommended Area(s) of Growth:

Additional Evaluator Feedback:

Improvement Plan Required for

Component 1  Component 2  Component 3  Component 4  Component 5
IV. DPAS II Forms for Teachers

Summative Feedback Form

SIGNATURES

The teacher and evaluator shall sign the Summative Evaluation Form to indicate that it has been reviewed and discussed, not that the teacher necessarily agrees with comments on this form.

Teacher’s
Signature: ________________________________ Date: __________________

Evaluator’s
Signature: ________________________________ Date: __________________

If the teacher disagrees with any feedback on this form, the teacher may provide information in writing to the evaluator within fifteen (15) working days of the receipt of this form. The teacher may request a second conference with the evaluator to discuss concerns. Any additional information will become part of the appraisal record.

The teacher may challenge any rating on the Summative Evaluation by submitting additional information specific to the point of disagreement in writing within fifteen (15) working days of the date of the teacher’s receipt of this form. The teacher submits the challenge and record to the supervisor of the evaluator unless the supervisor of the evaluator is also in the same building as the teacher. In this situation, the challenge, together with the record, is submitted to a designated district or charter school level evaluator. Any additional information will become part of the appraisal record.
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
SUMMATIVE FEEDBACK FORM-TWO-YEAR CYCLE
FOR TEACHERS

Teacher: ___________________  Evaluator(s): ___________________

School: ___________________  Date of Conference: ________________

Grade(s): ________________  Subject Area(s) Observed: ________________

Observation Date(s): ___________________

Novice Teacher □  OR  Experienced Teacher □

COMPONENT 1: PLANNING AND PREPARATION

1a. Selecting Instructional Goals: Teacher selects instructional goals that are aligned with the Delaware content standards and the district or charter school's curricula. Goals are appropriate for the learners and reflect high expectations for all students, consistent with State Assessment levels of performance where applicable.

Criterion 1a. Performance: □ Ineffective  □ Needs Improvement  □ Effective  □ Highly Effective

1b. Designing Coherent Instruction: Teacher plans for learning activities that align with the instructional goals and support student learning. Instructional planning shows a structure and selection of materials and activities that support student learning relative to the district or charter school's curricula.

Criterion 1b. Performance: □ Ineffective  □ Needs Improvement  □ Effective  □ Highly Effective

1c. Demonstrating Knowledge of Content and Pedagogy: Teacher shows his or her knowledge of content and how to teach it to a variety of learners. The teacher's plans include natural connections among content areas that deepen student learning. The content that he or she teaches is aligned to the district or charter school's curricula.

Criterion 1c. Performance: □ Ineffective  □ Needs Improvement  □ Effective  □ Highly Effective

1e. Demonstrating Knowledge of Students: Teacher shows his or her knowledge of student developmental characteristics; approaches to learning, knowledge, and skills; interests; cultural heritage; and, where applicable, State Assessment performance levels.

Criterion 1d. Performance: □ Ineffective  □ Needs Improvement  □ Effective  □ Highly Effective

1e. Designing Student Assessments: Teacher creates and/or selects assessments that are congruent with instructional goals, criteria, and standards. The teacher plans for the use of formative and summative assessments of the teacher's students.

Criterion 1e. Performance: □ Ineffective  □ Needs Improvement  □ Effective  □ Highly Effective
IV. DPAS II Forms for Teachers

Evidence:

COMPONENT 1 PERFORMANCE:

☐ Highly Effective ☐ Effective ☐ Needs Improvement ☐ Ineffective

COMPONENT 2: CLASSROOM ENVIRONMENT

2a. Managing Classroom Procedures: Teacher has clearly defined procedures for managing learning time, transitions between learning events, and routines that maximize learning time.

Criterion 2a. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

2b. Managing Student Behavior: Teacher establishes behavioral expectations and consequences and monitors student conduct. Teacher responds to student behavior in appropriate and effective ways to minimize disruptions.

Criterion 2b. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

2c. Creating an Environment to Support Learning: Teacher creates an atmosphere in which learning is valued. Teacher-to-student and student-to-student interactions show rapport that is grounded in mutual respect.

Criterion 2c. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

2d. Organizing Physical Space: Teacher organizes, allocates, and manages physical space to create a safe learning environment. Teacher uses physical resources to contribute to effective instruction and makes resources accessible to all students.

Criterion 2d. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

Evidence:

COMPONENT 2 PERFORMANCE:

☐ Highly Effective ☐ Effective ☐ Needs Improvement ☐ Ineffective
IV. DPAS II Forms for Teachers

COMPONENT 3: INSTRUCTION

3a. Engaging Students in Learning: Content is appropriate, clear, and linked to student knowledge and experience. Content is aligned with the district or charter school’s curricula. Activities and assignments engage all students. Instructional materials are suitable to the instructional goals. The instruction is coherent and paced appropriately for all students.

Criterion 3a. Performance: ☐ Ineffective  ☐ Needs Improvement  ☐ Effective  ☐ Highly Effective

3b. Demonstrating Flexibility and Responsiveness: Teacher has a repertoire of instructional strategies and makes use of them to make modifications to lessons as needed. Teacher differentiates instruction based on learner characteristics and achievement data.

Criterion 3b. Performance: ☐ Ineffective  ☐ Needs Improvement  ☐ Effective  ☐ Highly Effective

3c. Communicating Clearly and Accurately: Verbal and written communication is clear and appropriate to students’ ages, backgrounds, and levels of understanding.

Criterion 3c. Performance: ☐ Ineffective  ☐ Needs Improvement  ☐ Effective  ☐ Highly Effective

3d. Using Questioning and Discussion Techniques: Questions are appropriate to the content and level of students’ understanding. Teacher encourages students to pose their own questions and is responsive to student questions. Teacher facilitates student led discussions.

Criterion 3d. Performance: ☐ Ineffective  ☐ Needs Improvement  ☐ Effective  ☐ Highly Effective

3e. Using Assessment in Instruction: Teacher makes the criteria of the assessment known to the students, monitors the students’ progress, provides descriptive feedback, promotes student self-assessment, and uses data to plan future instruction.

Criterion 3e. Performance: ☐ Ineffective  ☐ Needs Improvement  ☐ Effective  ☐ Highly Effective

Evidence:

COMPONENT 3 PERFORMANCE:

☐ Highly Effective  ☐ Effective  ☐ Needs Improvement  ☐ Ineffective

COMPONENT 4: PROFESSIONAL RESPONSIBILITIES
IV. DPAS II Forms for Teachers

4a. Communicating with Families: Teacher shares information about the school’s educational program and expectations for student performance. Teacher develops a mechanism for two-way communication with families about student progress, behavior, and personal needs or concerns.

**Criterion 4a. Performance:**
- [ ] Ineffective
- [ ] Needs Improvement
- [ ] Effective
- [ ] Highly Effective

4b. Recording student data in a Student Record System: Teacher keeps records of attendance, disciplinary actions, emergency contact information, and personal information. Teacher shares relevant information with appropriate school personnel.

**Criterion 4b. Performance:**
- [ ] Ineffective
- [ ] Needs Improvement
- [ ] Effective
- [ ] Highly Effective

4c. Growing and Developing Professionally: Teacher chooses and participates in professional development that is aligned with his or her professional needs and aligned with the needs of the school, district or charter school, or students.

**Criterion 4c. Performance:**
- [ ] Ineffective
- [ ] Needs Improvement
- [ ] Effective
- [ ] Highly Effective

4d. Reflecting on Professional Practice: Teacher engages in reflective thinking as an individual, as a team participant, or as a school community member with the goal of improving instruction and learning for all students.

**Criterion 4d. Performance:**
- [ ] Ineffective
- [ ] Needs Improvement
- [ ] Effective
- [ ] Highly Effective

**Evidence:**

---

**COMPONENT 4 PERFORMANCE:**

- [ ] Highly Effective
- [ ] Effective
- [ ] Needs Improvement
- [ ] Ineffective

**COMPONENT 5: STUDENT IMPROVEMENT**

**YEAR ONE RATINGS:**

Measure A Growth Rating (if applicable):
- [ ] Exceeds
- [ ] Satisfactory
- [ ] Unsatisfactory/Admin Discretion
- [ ] Unsatisfactory

Measure B Growth Rating (if applicable):
- [ ] Exceeds
- [ ] Satisfactory
- [ ] Unsatisfactory
IV. DPAS II Forms for Teachers

Measure C Growth Rating (if applicable):

☐ Exceeds  ☐ Satisfactory  ☐ Unsatisfactory

YEAR ONE OVERALL COMPONENT FIVE RATING:

☐ Highly Effective  ☐ Effective  ☐ Needs Improvement  ☐ Ineffective

YEAR TWO RATINGS:

Measure A Growth Rating (if applicable):

☐ Exceeds  ☐ Satisfactory  ☐ Unsatisfactory/Admin Discretion  ☐ Unsatisfactory

Measure B Growth Rating (if applicable):

☐ Exceeds  ☐ Satisfactory  ☐ Unsatisfactory

Measure C Growth Rating (if applicable):

☐ Exceeds  ☐ Satisfactory  ☐ Unsatisfactory

YEAR TWO OVERALL COMPONENT FIVE RATING:

☐ Highly Effective  ☐ Effective  ☐ Needs Improvement  ☐ Ineffective

COMPONENT 5 PERFORMANCE (OVERALL RATING YEAR ONE + OVERALL RATING YEAR TWO):

☐ Highly Effective  ☐ Effective  ☐ Needs Improvement  ☐ Ineffective
IV. DPAS II Forms for Teachers

PERFORMANCE SUMMARY

Summative performance rating:

☐ Highly Effective    ☐ Effective    ☐ Needs Improvement    ☐ Ineffective

An Improvement Plan shall be developed for a teacher who receives an overall rating of "Needs Improvement" or "Ineffective" on the Summative Evaluation.

Summary of Commendations/Expectations/Recommended Area(s) of Growth:

Additional Evaluator Feedback:

Improvement Plan Required for

Component 1☐    Component 2☐    Component 3☐    Component 4☐    Component 5☐
IV. DPAS II Forms for Teachers

SIGNATURES

The teacher and evaluator shall sign the Summative Evaluation Form to indicate that it has been reviewed and discussed, not that the teacher necessarily agrees with comments on this form.

Teacher’s Signature: ___________________________ Date: ______________________

Evaluator’s Signature: ___________________________ Date: ______________________

If the teacher disagrees with any feedback on this form, the teacher may provide information in writing to the evaluator within fifteen (15) working days of the receipt of this form. The teacher may request a second conference with the evaluator to discuss concerns. Any additional information will become part of the appraisal record.

The teacher may challenge any rating on the Summative Evaluation by submitting additional information specific to the point of disagreement in writing within fifteen (15) working days of the date of the teacher’s receipt of this form. The teacher submits the challenge and record to the supervisor of the evaluator unless the supervisor of the evaluator is also in the same building as the teacher. In this situation, the challenge, together with the record, is submitted to a designated district or charter school level evaluator. Any additional information will become part of the appraisal record.
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
IMPROVEMENT PLAN FOR TEACHERS

Teacher: ___________________________ Evaluator: ___________________________

School: ___________________________ Date of Conference: ______________________

Grade(s): __________________________ Subject Area(s): _________________________

Area(s) for Improvement: ______________________________________________________

The teacher and evaluator will share preliminary recommendations at an Improvement Plan Conference. If consensus between the teacher and evaluator is not reached, the evaluator shall develop the Improvement Plan.

Deficiencies
Describe specific deficiencies in teacher performance related to DPAS II Components, Criteria, and/or Elements.

Measurable Goals
List the specific measurable goals to improve performance to a satisfactory level. Indicate how progress will be measured for each goal.
IV. DPAS II Forms for Teachers

Professional Learning Activities, Interventions, and/or Resources
Describe professional learning activities, interventions, or resources the teacher is expected to engage in to meet the goals of the Improvement Plan.

Evidence and Timelines for Goal Completion
Indicate types of evidence and timelines for completion of the Improvement Plan goals including, but not limited to: observation follow-up timelines, target dates for activity completion, and target dates for evidence submission, data sets, and Improvement Plan completion date.

Plan Completion
Describe how satisfactory or unsatisfactory completion of the plan will be determined. Indicate potential consequences of unsatisfactory completion of the plan.

Plan Agreement:
My signature below means that I have received the Improvement Plan, understand what is expected of me, and will work on the plan as described.

Teacher’s
Signature: ___________________________ Date: ___________________________

My signature below means that I have carefully reviewed the Improvement Plan with the teacher and have clearly communicated what is expected of the teacher to complete this plan.

Evaluator’s
Signature: ___________________________ Date: ___________________________
IV. DPAS II Forms for Teachers

Amendments to the Plan:
Specify any changes to the Improvement Plan if it is amended during implementation.

Teacher’s Signature: ___________________________ Date: ___________________________
Evaluator’s Signature: ___________________________ Date: ___________________________

Improvement Plan Completion:
The teacher’s completion of the Improvement Plan is:

☐ Satisfactory ☐ Unsatisfactory

Teacher’s Signature: ___________________________ Date: ___________________________
Evaluator’s Signature: ___________________________ Date: ___________________________
IV. DPAS II Forms for Teachers

Improvement Plan – Student Improvement for Educators (Component V)

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
IMPROVEMENT PLAN
STUDENT IMPROVEMENT FOR EDUCATORS (COMPONENT V)

Educator: ___________________________  Evaluator: ___________________________

School: _____________________________  Date of Conference: _______________________

Grade(s): ____________________________  Subject Area(s): __________________________

Area(s) for Improvement: ______________________________________________________

The educator and evaluator will share preliminary recommendations at an Improvement Plan Conference. If consensus between the educator and evaluator is not reached, the evaluator shall develop the Improvement Plan.

Deficiencies and Student Achievement Analysis
Describe specific deficiencies in educator performance related to DPAS-II, Component V. As applicable, the educator should review state assessment benchmark reports, Measure B/Measure C assessments, and other state/district/school common assessments to identify trends or patterns related to specific weaknesses in student and educator performance. The educator should present this data/evidence in the Improvement Plan Conference (to be held following the Spring Conference and/or as part of the Fall Conference in the following year).

Linkage to other Components
The educator and evaluator will work to identify other DPAS-II Component areas that have the greatest potential to impact student achievement in the educator’s classroom. The identification of specific components and/or appraisal criteria will allow the evaluator and educator to develop strategies to link student outcomes to improved practices within the other performance areas.

Measurable Goals
List the specific measurable goals to improve performance to a satisfactory level. Indicate how progress will be measured for each goal at various points throughout the upcoming school year.
Professional Learning Activities, Interventions, and/or Resources
Describe professional learning activities, interventions, or resources the educator is expected to access in order to help meet the goals of the Improvement Plan.

Evidence and Timelines for Goal Completion
Indicate types of evidence and timelines for completion of the Improvement Plan including, but not limited to: observation follow-up timelines, target dates for activity completion, target dates for evidence submission, student data sets, and Improvement Plan completion date (which should linked to the next time the educator/evaluator will conduct a Spring Conference/C-V Conference).

Plan Completion
Describe how satisfactory or unsatisfactory completion of the plan will be determined. Indicate potential consequences of unsatisfactory completion of the plan.

Plan Agreement:
My signature below means that I have received the Improvement Plan, understand what is expected of me, and will work on the plan as described.

Educator’s
Signature: ___________________________ Date: ___________________________

My signature below means that I have carefully reviewed the Improvement Plan with the educator and have clearly communicated what is expected of the educator to complete this plan.

Evaluator’s
Signature: ___________________________ Date: ___________________________
IV. DPAS II Forms for Teachers

Improvement Plan – Student Improvement for Educators (Component V)

Amendments to the Plan:  
Specify any changes to the Improvement Plan if it is amended during implementation.

Educator’s Signature:  
Evaluator’s Signature:  

Improvement Plan Completion:  
The educator’s completion of the Improvement Plan (based upon student achievement/performance data) is:

☐ Satisfactory  ☐ Unsatisfactory

Educator’s Signature:  Date:  
Evaluator’s Signature:  

IV. DPAS II Forms for Teachers

Expectations Follow Up Form - OPTIONAL

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
EXPECTATIONS FOLLOW-UP FORM
(OPTIONAL)

Teacher: ___________________________ Evaluator: ________________________________

School: ___________________________ Date: ________________________________

TYPE OF EXPECTATIONS FOLLOW-UP (check and date one)

☐ Follow up for Formative Feedback Expectations
   Date of original Formative Documentation________________________

☐ Follow up for Summative Evaluation Expectations
   Date of original Summative Documentation________________________

EXPECTATIONS FOLLOW-UP DETAIL

Expectation: [Number expectations if more than one.]

Method of data collection: How was evidence of expectations progress collected?

Evidence collected: What specific evidence was collected on what dates? Attach copies of any documents or artifacts collected. [If more than one Expectation, number evidence collected by Expectation number.]
IV. DPAS II Forms for Teachers

Expectations Follow Up Form - OPTIONAL

EXPECTATIONS PERFORMANCE (check one)

☐ Evidence collected meets all Expectations. No further course of action is required.

☐ Evidence collected meets the following Expectations: [Number expectations if more than one.]

☐ Evidence collected does not meet the following Expectations: [Number expectations if more than one.]

Course of action: Complete this section if one or more Expectations were not met in the prescribed timeline.

Additional Notes:

SIGNATURES
The teacher and evaluator shall sign the Expectations Follow-Up Form to indicate that it has been reviewed and discussed, not that the teacher necessarily agrees with comments on this form.

Teacher’s Signature: ___________________________________ Date: ____________________

Evaluator’s Signature: ___________________________________ Date: ____________________

If the teacher disagrees with any feedback on this form, the teacher may provide information in writing to the evaluator within fifteen (15) working days of the receipt of this form. The teacher may request a second conference with the evaluator to discuss concerns. Any additional information will become part of the appraisal record.

The teacher may challenge any rating on the Summative Evaluation by submitting additional information specific to the point of disagreement in writing within fifteen (15) working days of the date of the teacher’s receipt of this form. The teacher submits the challenge and record to the supervisor of the evaluator unless the supervisor of the evaluator is also in the same building as the teacher. In this situation, the challenge, together with the record, is submitted to a designated district or charter school level evaluator. Any additional information will become part of the appraisal record.
## Appendix A: DPAS II Criterion Rubric

### Component 1: Planning and Preparation

<table>
<thead>
<tr>
<th>CRITERION</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a: Selecting Instructional Goals</td>
<td>Teacher’s goals represent trivial learning, are unsuitable for students, or are stated only as instructional activities, and they do not permit viable methods of assessment.</td>
<td>Teacher’s goals are of moderate value or suitability for students in the class consisting of a combination of goals and activities, some of which permit viable methods of assessment.</td>
<td>Teacher’s goals represent valuable learning and are suitable for most students in the class; they reflect opportunities for integration and permit viable methods of assessment.</td>
<td>Teacher’s goals reflect high-level learning relating to curriculum frameworks and standards; they are adapted, where necessary, to the needs of individual students and permit viable methods of assessment.</td>
</tr>
<tr>
<td>1b: Designing Coherent Instruction</td>
<td>The various elements of the instructional design do not support the stated instructional goals or engage students in meaningful learning and the lesson or unit has no defined structure.</td>
<td>Some of the elements of the instructional design support the stated instructional goals and engage students in meaningful learning, while others do not. Teacher’s lesson or unit has a recognizable structure.</td>
<td>Most of the elements of the instructional design support the stated instructional goals and engage students in meaningful learning and the lesson or unit has a clearly defined structure.</td>
<td>All of the elements of the instructional design support the stated instructional goals, engage students in meaningful learning, and show evidence of student input. Teacher’s lesson or unit is highly coherent and has a clear structure.</td>
</tr>
<tr>
<td>1c: Demonstrating Knowledge of Content and Pedagogy</td>
<td>Teacher displays little understanding of the subject, or structure of the discipline, or of content-related pedagogy.</td>
<td>Teacher’s content and pedagogical knowledge represents basic understanding but does not extend to connections with other disciplines or to possible student misconceptions.</td>
<td>Teacher demonstrates solid understanding of the content and its prerequisite relationships and connections with other disciplines. Teacher’s instructional practices reflect current pedagogical knowledge.</td>
<td>Teacher’s knowledge of the content and pedagogy is extensive, showing evidence of a continuing search for improved practice. Teacher actively builds on knowledge of prerequisites and misconceptions when describing instruction or seeking causes for student misunderstanding.</td>
</tr>
<tr>
<td>1d: Demonstrating Knowledge of Students</td>
<td>Teacher makes little or no attempt to acquire knowledge of students’ backgrounds, skills, or interests and does not use such information in planning.</td>
<td>Teacher demonstrates partial knowledge of students’ backgrounds, skills, and interests and attempts to use this knowledge in planning for the class as a whole.</td>
<td>Teacher demonstrates thorough knowledge of students’ backgrounds, skills, and interests and uses this knowledge to plan for groups of students.</td>
<td>Teacher demonstrates thorough knowledge of students’ backgrounds, skills, and interests and uses this knowledge to plan for individual student learning.</td>
</tr>
<tr>
<td>1e: Designing Student Assessments</td>
<td>Teacher’s plan for assessing student learning contains no clear criteria or standards, is poorly aligned with the instructional outcomes, or is inappropriate to many students. The results of assessment have minimal impact on the design of future instruction.</td>
<td>Teacher’s plan for student assessment is partially aligned with the instructional outcomes, without clear criteria, and inappropriate for at least some students. Teacher intends to use assessment results to plan for future instruction for the class as a whole.</td>
<td>Teacher’s plan for student assessment is aligned with the instructional outcomes, uses clear criteria, and is appropriate to the needs of the students. Teacher intends to use assessment results to plan for future instruction for groups of students.</td>
<td>Teacher’s plan for student assessment is fully aligned with the instructional outcomes and uses clear criteria and standards that show evidence of student contribution to their development. Assessment methodologies may have been adapted for individuals, and the teacher intends to use assessment results to plan future instruction for individual students.</td>
</tr>
</tbody>
</table>

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# Component 2: The Classroom Environment

<table>
<thead>
<tr>
<th>CRITERION</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a:</td>
<td>Classroom routines and procedures are either nonexistent or inefficient,</td>
<td>Classroom routines and procedures have been established but function unevenly or inconsistently</td>
<td>Classroom routines and procedures have been established and function smoothly for the most part,</td>
<td>Classroom routines and procedures are seamless in their operation, and students</td>
</tr>
<tr>
<td></td>
<td>resulting in the loss of much instruction time.</td>
<td>with some loss of instruction time.</td>
<td>with little loss of instruction time.</td>
<td>assume considerable responsibility for their smooth functioning.</td>
</tr>
<tr>
<td></td>
<td>2b: Student behavior is poor, with no clear expectations, no monitoring of</td>
<td>Teacher makes an effort to establish standards of conduct for students, monitor student behavior,</td>
<td>Teacher is aware of student behavior, has established clear standards of conduct, and responds</td>
<td>Student behavior is entirely appropriate, with evidence of student participation</td>
</tr>
<tr>
<td></td>
<td>student behavior, and inappropriate responses to student misbehavior.</td>
<td>and responds to student misbehavior, but these efforts are not always successful.</td>
<td>to student misbehavior in ways that are appropriate and respectful of the students.</td>
<td>in setting expectations and monitoring behavior. Teacher’s monitoring of student</td>
</tr>
<tr>
<td></td>
<td>2c: The classroom does not represent a culture for learning and is</td>
<td>The classroom environment reflects only a minimal culture for learning, with only modest or</td>
<td>The classroom environment represents a genuine culture for learning, with commitment to the</td>
<td>behavior is subtle and preventive, and teacher’s response to student misbehavior</td>
</tr>
<tr>
<td></td>
<td>characterized by low teacher commitment to the subject, low expectations for</td>
<td>inconsistent expectations for student achievement,</td>
<td>subject on the part of the teacher and students, high expectations for student achievement,</td>
<td>is sensitive to individual student needs.</td>
</tr>
<tr>
<td></td>
<td>student achievement, and little student pride in work.</td>
<td>little teacher commitment to the subject, and little</td>
<td>and student pride in work. Both teacher and students are performing at the minimal level to</td>
<td></td>
</tr>
<tr>
<td></td>
<td>2d: Teacher makes poor use of the physical environment, resulting in</td>
<td>student pride in work.</td>
<td>“get by.”</td>
<td></td>
</tr>
<tr>
<td></td>
<td>unsafe or inaccessible conditions for some students or a serious mismatch</td>
<td>Teacher’s classroom is safe and essential learning</td>
<td>Teacher’s classroom is safe and learning is accessible to all students; teacher uses physical</td>
<td></td>
</tr>
<tr>
<td></td>
<td>between the furniture arrangement and the lesson activities.</td>
<td>is accessible to all students, but the furniture</td>
<td>resources well and ensures that the arrangement of furniture supports the learning activities.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>arrangement only partially supports the learning</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>activities.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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## Component 3: Instruction

<table>
<thead>
<tr>
<th>CRITERION</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>3a:</td>
<td>Students are not at all intellectually engaged in significant learning as a</td>
<td>Students are intellectually engaged only partially, resulting from activities or</td>
<td>Students are intellectually engaged throughout the lesson with</td>
<td>Students are highly engaged throughout the lesson and make material contributions to the representation of content, the activities, and the materials. The structure and pacing of the lesson allow for student reflection and closure.</td>
</tr>
<tr>
<td>Engaging</td>
<td>result of inappropriate activities or materials, poor representations of</td>
<td>materials of uneven quality, inconsistent representations of content, or uneven</td>
<td>appropriate activities and materials, instructive representations of</td>
<td></td>
</tr>
<tr>
<td>Students</td>
<td>content, or lack of lesson structure.</td>
<td>structure or pacing.</td>
<td>content and suitable structure, and pacing of the lesson.</td>
<td></td>
</tr>
<tr>
<td>Learning</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3b:</td>
<td>Teacher adheres to the instruction plan in spite of evidence of poor</td>
<td>Teacher demonstrates moderate flexibility and responsiveness to students’ needs</td>
<td>Teacher seeks ways to ensure successful learning for all students,</td>
<td>Teacher is highly responsive to students’ interests and questions, making major lesson adjustments if necessary, and persists in ensuring the success of all students.</td>
</tr>
<tr>
<td>Demonstrating Flexibility and Responsiveness</td>
<td>student understanding or of students’ lack of interest and fails to respond to students’ questions; teacher assumes no responsibility for students’ failure to understand.</td>
<td>and interests during a lesson and seeks to ensure the success of all students.</td>
<td>making adjustments as needed to instruction plans and responding to student interests and questions.</td>
<td></td>
</tr>
<tr>
<td>3c:</td>
<td>Teacher’s oral and written communication contains errors or is unclear or inappropriate to students.</td>
<td>Teacher’s oral and written communication contains no errors but may not be completely appropriate or may require further explanations to avoid confusion.</td>
<td>Teacher communicates clearly and accurately to students, both orally and in writing.</td>
<td>Teacher’s oral and written communication is clear and expressive, anticipating possible student misconceptions.</td>
</tr>
<tr>
<td>Communicating Clearly and Accurately</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3d:</td>
<td>Teacher makes poor use of questioning and discussion techniques with low-level questions, limited student participation, and little true discussion.</td>
<td>Teacher’s use of questioning and discussion techniques is uneven with some high-level questions, attempts at true discussion, and moderate student participation.</td>
<td>Teacher’s use of questioning and discussion techniques reflects high-level questions, true discussion, and full participation by most students.</td>
<td>Students formulate many of the high-level questions and assume responsibility for the participation of all students in the discussion. Teacher employs cognitive coaching in questioning.</td>
</tr>
<tr>
<td>Using Questioning and Discussion Techniques</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3e:</td>
<td>Assessment is used for the purpose of grading rather than informing instruction. Students are not aware of the assessment criteria; the teacher does not monitor progress of students, nor provide feedback to them. Students are not engaged in self-assessment.</td>
<td>Assessment is occasionally used to support instruction through some monitoring of progress of learning by teacher and/or students. Feedback to students is uneven, and students are aware of only some of the assessment criteria used to evaluate their work. Assessment is primarily summative, although formative and informal assessments are used occasionally.</td>
<td>Assessment is regularly used during instruction through monitoring of progress of learning by teacher and/or students and through high quality feedback to students. Occasional formative assessment is used and students are aware of most summative assessment criteria.</td>
<td>Assessment is used in a sophisticated manner in instruction through student involvement in establishing the assessment criteria, self-assessment by students and monitoring of progress by both students and teachers, and high quality feedback to students from a variety of sources. Formative assessment is used regularly and students are aware of summative assessment criteria.</td>
</tr>
<tr>
<td>Using Assessment in Instruction</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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# Appendix A: DPAS II Criterion Rubrics

## Component 4: Professional Responsibilities

<table>
<thead>
<tr>
<th>CRITERION</th>
<th>LEVEL OF PERFORMANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>INEFFECTIVE</td>
</tr>
<tr>
<td>4a: Communicating with Family</td>
<td>The teacher provides little or no information to families and makes no effort to engage families in the instructional program.</td>
</tr>
<tr>
<td>4b: Recording Data in a Student Record System</td>
<td>The teacher does not maintain and record accurate data which results in errors and confusion.</td>
</tr>
<tr>
<td>4c: Growing and Developing Professionally</td>
<td>The teacher does not participate in professional development activities even when such activities are clearly needed for the development of teaching skills.</td>
</tr>
<tr>
<td>4d: Reflecting on Professional Practice</td>
<td>The teacher does not accurately reflect on the lesson or propose ideas on how the lesson could be improved.</td>
</tr>
</tbody>
</table>

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# Component 1: Planning and Preparation

## Criterion 1a: Selecting Instructional Goals

**Elements:** Value, sequence and alignment  ●  Clarity  ●  Balance  ●  Suitability for diverse learners

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Value, sequence, and alignment</td>
<td>Outcomes represent low expectations for students and lack of rigor. They do not reflect important learning in the discipline or a connection to a sequence of learning.</td>
<td>Outcomes represent moderately high expectations and rigor. Some reflect important learning in the discipline and at least some connection to a sequence of learning.</td>
<td>Most outcomes represent high expectations and rigor and important learning in the discipline. They are connected to a sequence of learning.</td>
<td>All outcomes represent high expectation and rigor and important learning in the discipline. They are connected to a sequence of learning both in the discipline and in related disciplines.</td>
</tr>
<tr>
<td>Clarity</td>
<td>Outcomes are either not clear or are stated as activities, not as student learning. Outcomes do not permit viable methods of assessment.</td>
<td>Outcomes are only moderately clear or consist of a combination of outcomes and activities. Some outcomes do not permit viable methods of assessment.</td>
<td>All the instructional outcomes are clear, written in the form of student learning. Most suggest viable methods of assessment.</td>
<td>All the outcomes are clear, written in the form of student learning, and permit viable methods of assessment.</td>
</tr>
<tr>
<td>Balance</td>
<td>Outcomes reflect only one type of learning and only one discipline or strand.</td>
<td>Outcomes reflect several types of learning, but teacher has made no attempt at coordination or integration.</td>
<td>Outcomes reflect several different types of learning and opportunities for coordination.</td>
<td>Where appropriate, outcomes reflect several different types of learning and opportunities for both coordination and integration.</td>
</tr>
<tr>
<td>Suitability for diverse learners</td>
<td>Outcomes are not suitable for the class or are not based on any assessment of student needs.</td>
<td>Most of the outcomes are suitable for most of the students in the class based on global assessments of student learning.</td>
<td>Most of the outcomes are suitable for all students in the class and are based on evidence of student proficiency. However, the needs of some individual students may not be accommodated.</td>
<td>Outcomes are based on a comprehensive assessment of student learning and take into account the varying needs of individual students or groups.</td>
</tr>
</tbody>
</table>

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# Component 1: Planning and Preparation

## Criterion 1b: Designing Coherent Instruction

### Elements:
- Learning activities
- Instructional materials and resources
- Instructional groups
- Lesson and unit structure

<table>
<thead>
<tr>
<th>ELEMENT</th>
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<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Learning activities</td>
<td>Learning activities are not suitable to students or to instructional outcomes and are not designed to engage students in active intellectual activity.</td>
<td>Only some of the learning activities are suitable to students or to the instructional outcomes. Some represent a moderate cognitive challenge, but with no differentiation for different students.</td>
<td>All of the learning activities are suitable to students or to the instructional outcomes, and most represent significant cognitive challenge, with some differentiation for different groups of students.</td>
<td>Learning activities are highly suitable to diverse learners and support the instructional outcomes. They are all designed to engage students in high-level cognitive activity and are differentiated, as appropriate, for individual learners.</td>
</tr>
<tr>
<td>Instructional materials and resources</td>
<td>Materials and resources are not suitable for students and do not support the instructional outcomes or engage students in meaningful learning.</td>
<td>Some of the materials and resources are suitable to students, support the instructional outcomes, and engage students in meaningful learning.</td>
<td>All of the materials and resources are suitable to students, support the instructional outcomes, and are designed to engage students in meaningful learning.</td>
<td>All of the materials and resources are suitable to students, support the instructional outcomes, and are designed to engage students in meaningful learning. There is evidence of appropriate use of technology and of student participation in selecting or adapting materials.</td>
</tr>
<tr>
<td>Instructional groups</td>
<td>Instructional groups do not support the instructional outcomes and offer no variety.</td>
<td>Instructional groups partially support the instructional outcomes, with an effort at providing some variety.</td>
<td>Instructional groups are varied as appropriate to the students and the different instructional outcomes.</td>
<td>Instructional groups are varied as appropriate to the students and the different instructional outcomes. There is evidence of student choice in selecting the different patterns of instructional groups.</td>
</tr>
<tr>
<td>Lesson and unit structure</td>
<td>The lesson or unit has no clearly defined structure, or the structure is chaotic. Activities do not follow an organized progression, and time allocations are unrealistic.</td>
<td>The lesson or unit has a recognizable structure, although the structure is not uniformly maintained throughout. Progression of activities is uneven, with most time allocations reasonable.</td>
<td>The lesson or unit has a clearly defined structure around which activities are organized. Progression of activities is even, with reasonable time allocations.</td>
<td>The lesson’s or unit’s structure is clear and allows for different pathways according to diverse student needs. The progression of activities is highly coherent.</td>
</tr>
</tbody>
</table>

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## Component 1: Planning and Preparation

**Criterion 1c: Demonstrating Knowledge of Content and Pedagogy**

Elements: Knowledge of content and the structure of the discipline • Knowledge of prerequisite relationships • Knowledge of content-related pedagogy

<table>
<thead>
<tr>
<th>ELEMENT</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Knowledge of content and the structure of the discipline</td>
<td>In planning and practice, teacher makes content errors or does not correct errors made by students.</td>
<td>Teacher is familiar with the important concepts in the discipline but may display lack of awareness of how these concepts relate to one another.</td>
<td>Teacher displays solid knowledge of the important concepts in the discipline and how these relate to one another.</td>
<td>Teacher displays extensive knowledge of the important concepts in the discipline and how these relate both to one another and to other disciplines.</td>
</tr>
<tr>
<td>Knowledge of prerequisite relationships</td>
<td>Teacher’s plans and practice display little understanding of prerequisite relationships important to student learning of the content.</td>
<td>Teacher’s plans and practice indicate some awareness of prerequisite relationships, although such knowledge may be inaccurate or incomplete.</td>
<td>Teacher’s plans and practice reflect accurate understanding of prerequisite relationships among topics and concepts.</td>
<td>Teacher’s plans and practices reflect understanding of prerequisite relationships among topics and concepts and a link to necessary cognitive structures by students to ensure understanding.</td>
</tr>
<tr>
<td>Knowledge of content-related pedagogy</td>
<td>Teacher displays little or no understanding of the range of pedagogical approaches suitable to student learning of the content.</td>
<td>Teacher’s plans and practice reflect a limited range of pedagogical approaches or some approaches that are not suitable to the discipline or to the students.</td>
<td>Teacher’s plans and practice reflect familiarity with a wide range of effective pedagogical approaches in the discipline.</td>
<td>Teacher’s plans and practice reflect familiarity with a wide range of effective pedagogical approaches in the discipline, anticipating student misconceptions.</td>
</tr>
</tbody>
</table>

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## Component 1: Planning and Preparation

### Criterion 1d: Demonstrating Knowledge of Students

**Elements:** Knowledge of child and adolescent development • Knowledge of the learning process • Knowledge of students’ skills, knowledge, and language proficiency • Knowledge of students’ interests and cultural heritage • Knowledge of students’ special needs

<table>
<thead>
<tr>
<th>ELEMENT</th>
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<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Knowledge of child and adolescent development</td>
<td>Teacher displays little or no knowledge of the developmental characteristics of the age group.</td>
<td>Teacher displays partial knowledge of the developmental characteristics of the age group.</td>
<td>Teacher displays accurate understanding of the typical developmental characteristics of the age group as well as exceptions to the general patterns.</td>
<td>In addition to accurate knowledge of the typical developmental characteristics of the age group and exceptions to the general patterns, teacher displays knowledge of the extent to which individual students follow the general pattern.</td>
</tr>
<tr>
<td>Knowledge of the learning process</td>
<td>Teacher sees no value in understanding how students learn and does not seek such information.</td>
<td>Teacher recognizes the value of knowing how students learn, but this knowledge is limited or outdated.</td>
<td>Teacher’s knowledge of how students learn is accurate and current. Teacher applies this knowledge to the class as a whole and to groups of students.</td>
<td>Teacher displays extensive and subtle understanding of how students learn and applies this knowledge to individual students.</td>
</tr>
<tr>
<td>Knowledge of students’ skills, knowledge, and language proficiency</td>
<td>Teacher displays little or no knowledge of students’ skills, knowledge, and language proficiency and does not indicate that such knowledge is valuable.</td>
<td>Teacher recognizes the value of understanding students’ skills, knowledge, and language proficiency but displays this knowledge only for the class as a whole.</td>
<td>Teacher recognizes the value of understanding students’ skills, knowledge, and language proficiency and displays this knowledge for groups of students.</td>
<td>Teacher displays understanding of individual students’ skills, knowledge, and language proficiency and has a strategy for maintaining such information.</td>
</tr>
<tr>
<td>Knowledge of students’ interests and cultural heritage</td>
<td>Teacher displays little or no knowledge of students’ interests or cultural heritage and does not indicate that such knowledge is valuable.</td>
<td>Teacher recognizes the value of understanding students’ interests and cultural heritage but displays this knowledge only for the class as a whole.</td>
<td>Teacher recognizes the value of understanding students’ interests and cultural heritage and displays this knowledge for groups of students.</td>
<td>Teacher recognizes the value of understanding students’ interests and cultural heritage and displays this knowledge for individual students.</td>
</tr>
<tr>
<td>Knowledge of students’ special needs</td>
<td>Teacher displays little or no understanding of students’ special learning or medical needs or why such knowledge is important.</td>
<td>Teacher displays awareness of the importance of knowing students’ special learning or medical needs but such knowledge may be incomplete or inaccurate.</td>
<td>Teacher is aware of students’ special learning and medical needs.</td>
<td>Teacher possesses information about each student’s learning and medical needs, collecting such information from a variety of sources.</td>
</tr>
</tbody>
</table>
## Appendix B: DPAS II Element Rubric

### Component 1: Planning and Preparation

**Criterion 1e: Designing Student Assessments**

Elements: Congruence with instructional outcome ♦ Criteria and standards ♦ Design of formative assessments ♦ Use for planning

<table>
<thead>
<tr>
<th>ELEMENT</th>
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<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Congruence with instructional outcome</td>
<td>Assessment procedures are not congruent with instructional outcomes.</td>
<td>Some of the instructional outcomes are assessed through the proposed approach, but many are not.</td>
<td>All the instructional outcomes are assessed through the approach to assessment; assessment methodologies may have been adapted for groups of students.</td>
<td>Proposed approach to assessment is fully aligned with the instructional outcomes in both content and process. Assessment methodologies have been adapted for individual students as needed.</td>
</tr>
<tr>
<td>Criteria and standards</td>
<td>Proposed approach contains no criteria or standards.</td>
<td>Assessment criteria and standards have been developed, but they are not clear.</td>
<td>Assessment criteria and standards are clear.</td>
<td>Assessment criteria and standards are clear; there is evidence that the students contributed to their development.</td>
</tr>
<tr>
<td>Design of formative assessments</td>
<td>Teacher has no plan to incorporate formative assessment in the lesson or unit.</td>
<td>Approach to the use of formative assessment is rudimentary, including only some of the instructional outcomes.</td>
<td>Teacher has a well-developed strategy to using formative assessment and has designed particular approaches to be used.</td>
<td>Approach to using formative assessment is well designed and includes student as well as teacher use of the assessment information.</td>
</tr>
<tr>
<td>Use for planning</td>
<td>Teacher has no plans to use assessment results in designing future instruction.</td>
<td>Teacher plans to use assessment results to plan for future instruction for the class as a whole.</td>
<td>Teacher plans to use assessment results to plan for future instruction for groups of students.</td>
<td>Teacher plans to use assessment results to plan for future instruction for individual students.</td>
</tr>
</tbody>
</table>

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## Appendix B: DPAS II Element Rubric

### Component 2: Classroom Environment

**Criterion 2a: Managing Classroom Procedures**

**Elements:** Management of instructional groups • Management of transitions • Management of materials and supplies • Performance of non-instructional duties

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Management of instructional groups</td>
<td>Students not working with the teacher are not productively engaged in learning.</td>
<td>Students in only some groups are productively engaged in learning while unsupervised by the teacher.</td>
<td>Small-group work is well organized, and most students are productively engaged in learning while unsupervised by the teacher.</td>
<td>Small-group work is well organized, and students are productively engaged at all times, with students assuming responsibility for productivity.</td>
</tr>
<tr>
<td>Management of transitions</td>
<td>Transitions are chaotic, with much time lost between activities or lesson segments.</td>
<td>Only some transitions are efficient, resulting in some loss of instructional time.</td>
<td>Transitions occur smoothly, with little loss of instructional time.</td>
<td>Transitions are seamless, with students assuming responsibility in ensuring their efficient operation.</td>
</tr>
<tr>
<td>Management of materials and supplies</td>
<td>Materials and supplies are handled inefficiently, resulting in significant loss of instructional time.</td>
<td>Routines for handling materials and supplies function moderately well, but with some loss of instructional time.</td>
<td>Routines for handling materials and supplies occur smoothly with little loss of instructional time.</td>
<td>Routines for handling materials and supplies are seamless, with students assuming some responsibility for smooth operation.</td>
</tr>
<tr>
<td>Performance of non-instructional duties</td>
<td>Considerable instructional time is lost in performing non-instructional duties.</td>
<td>Systems for performing non-instructional duties are only fairly efficient, resulting in some loss of instructional time.</td>
<td>Efficient systems for performing non-instructional duties are in place, resulting in minimal loss of instructional time.</td>
<td>Systems for performing non-instructional duties are well established, with students assuming considerable responsibility for efficient operation.</td>
</tr>
</tbody>
</table>

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Component 2: Classroom Environment

Criterion 2b: Managing Student Behavior

Elements: Expectations • Monitoring of student behavior • Response to student misbehavior

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Expectations</td>
<td>No standards of conduct appear to have been established, or students are confused as to what the standards are.</td>
<td>Standards of conduct appear to have been established, and most students seem to understand them.</td>
<td>Standards of conduct are clear to all students.</td>
<td>Standards of conduct are clear to all students and appear to have been developed with student participation.</td>
</tr>
<tr>
<td>Monitoring of student behavior</td>
<td>Student behavior is not monitored, and teacher is unaware of what the students are doing.</td>
<td>Teacher is generally aware of student behavior but may miss the activities of some students.</td>
<td>Teacher is alert to student behavior at all times.</td>
<td>Monitoring by teacher is subtle and preventive. Students monitor their own and their peers' behavior, correcting one another respectfully.</td>
</tr>
<tr>
<td>Response to student misbehavior</td>
<td>Teacher does not respond to misbehavior or the response is inconsistent, is overly repressive, or does not respect the student's dignity.</td>
<td>Teacher attempts to respond to student misbehavior but with uneven results, or there are no major infractions of the rules.</td>
<td>Teacher response to misbehavior is appropriate and successful and respects the student's dignity, or student behavior is generally appropriate.</td>
<td>Teacher response to misbehavior is highly effective and sensitive to students' individual needs, or student behavior is entirely appropriate.</td>
</tr>
</tbody>
</table>

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# Component 2: Classroom Environment

## Criterion 2c: Creating an Environment to Support Learning

Elements: Teacher interaction with students ● Student interaction with others ● Importance of the content ● Expectations for learning and achievement ● Student pride in work

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Teacher interaction with students</td>
<td>Teacher interaction with at least some students is negative, demeaning, sarcastic, or inappropriate to the age or culture of the students. Students exhibit disrespect for the teacher.</td>
<td>Teacher-student interactions are generally appropriate but may reflect occasional inconsistencies, favoritism, or disregard for students’ cultures. Students exhibit only minimal respect for the teacher.</td>
<td>Teacher-student interactions are friendly and demonstrate general caring and respect. Such interactions are appropriate to the age and cultures of the students. Students exhibit respect for the teacher.</td>
<td>Teacher interactions with students reflect genuine respect and caring for individuals as well as groups of students. Students appear to trust the teacher with sensitive information.</td>
</tr>
<tr>
<td>Student interactions with other students</td>
<td>Student interactions are characterized by conflict, sarcasm, or put-downs.</td>
<td>Students do not demonstrate disrespect for one another.</td>
<td>Student interactions are generally polite and respectful.</td>
<td>Students demonstrate genuine caring for one another and monitor one another’s treatment of peers, correcting classmates respectfully when needed.</td>
</tr>
<tr>
<td>Importance of the content</td>
<td>Teacher or students convey a negative attitude toward the content, suggesting that it is not important or has been mandated by others.</td>
<td>Teacher communicates importance of the work but with little conviction and only minimal apparent buy-in by the students.</td>
<td>Teacher conveys genuine enthusiasm for the content, and students demonstrate consistent commitment to its value.</td>
<td>Students demonstrate, through their active participation, curiosity and taking initiative that they value the importance of the content.</td>
</tr>
<tr>
<td>Expectations for learning and achievement</td>
<td>Instructional outcomes, activities and assignments, and classroom interactions convey low expectations for at least some students.</td>
<td>Instructional outcomes, activities and assignments, and classroom interactions convey only modest expectations for student learning and achievement.</td>
<td>Instructional outcomes, activities, assignments, and classroom interactions convey high expectations for most students.</td>
<td>Instructional outcomes, activities, assignments, and classroom interactions convey high expectations for all students. Students appear to have internalized these expectations.</td>
</tr>
<tr>
<td>Student pride in work</td>
<td>Students demonstrate little or no pride in their work. They seem to be motivated by the desire to complete a task rather than to do high-quality work.</td>
<td>Students minimally accept the responsibility to do good work but invest little of their energy into its quality.</td>
<td>Students accept the teacher’s insistence on work of high quality and demonstrate pride in that work.</td>
<td>Students demonstrate attention to detail and take obvious pride in their work, initiating improvements in it by, for example, revising drafts on their own or helping peers.</td>
</tr>
</tbody>
</table>

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## Component 2: Classroom Environment

**Criterion 2d: Organizing Physical Space**

*Elements: Safety and accessibility ‣ Arrangement of furniture and use of physical resources*

<table>
<thead>
<tr>
<th>ELEMENT</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Safety and accessibility</td>
<td>The classroom is unsafe, or learning is not accessible to some students.</td>
<td>The classroom is safe and at least essential learning is accessible to most students.</td>
<td>The classroom is safe and learning is equally accessible to all students.</td>
<td>The classroom is safe, and students themselves ensure that all learning is equally accessible to all students.</td>
</tr>
<tr>
<td>Arrangement of furniture and use of physical resources</td>
<td>The furniture arrangement hinders the learning activities, or the teacher makes poor use of physical resources.</td>
<td>Teacher uses physical resources adequately. The furniture may be adjusted for a lesson but with limited effectiveness.</td>
<td>Teacher uses physical resources skillfully, and the furniture arrangement is a resource for learning activities.</td>
<td>Both teacher and students use physical resources easily and skillfully, and students adjust the furniture to advance their learning.</td>
</tr>
</tbody>
</table>

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## Component 3: Instruction

### Criterion 3a: Engaging Students in Learning

**Elements:** Activities and assignments  
Grouping of students  
Instructional materials and resources  
Structure and pacing of the lesson

<table>
<thead>
<tr>
<th>ELEMENT</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Activities and assignments</td>
<td>Activities and assignments are inappropriate for students’ age or background. Students are not mentally engaged in them.</td>
<td>Activities and assignments are appropriate to some students and engage them mentally, but others are not engaged.</td>
<td>Most activities and assignments are appropriate to students, and almost all students are cognitively engaged in exploring content.</td>
<td>All students are cognitively engaged in the activities and assignments in their exploration of content. Students initiate or adapt activities and projects to enhance their understanding.</td>
</tr>
<tr>
<td>Grouping of students</td>
<td>Instructional groups are inappropriate to the students or to the instructional outcomes.</td>
<td>Instructional groups are only partially appropriate to the students or only moderately successful in advancing the instructional outcomes of the lesson.</td>
<td>Instructional groups are productive and fully appropriate to the students or to the instructional purposes of the lesson.</td>
<td>Instructional groups are productive and fully appropriate to the students or to the instructional purposes of the lesson. Students take the initiative to influence the formation or adjustment of instructional groups.</td>
</tr>
<tr>
<td>Instructional materials and resources</td>
<td>Instructional materials and resources are unsuitable to the instructional purposes or do not engage students mentally.</td>
<td>Instructional materials and resources are only partially suitable to the instructional purposes, or students are only partially mentally engaged with them.</td>
<td>Instructional materials and resources are suitable to the instructional purposes and engage students mentally.</td>
<td>Instructional materials and resources are suitable to the instructional purposes and engage students mentally. Students initiate the choice, adaptation, or creation of materials to enhance their learning.</td>
</tr>
<tr>
<td>Structure and pacing of the lesson</td>
<td>The lesson has no clearly defined structure, or the pace of the lesson is too slow or rushed, or both.</td>
<td>The lesson has a recognizable structure, although it is not uniformly maintained throughout the lesson. Pacing of the lesson is inconsistent.</td>
<td>The lesson has a clearly defined structure around which the activities are organized. Pacing of the lesson is generally appropriate.</td>
<td>The lesson’s structure is highly coherent, allowing for reflection and closure. Pacing of the lesson is appropriate for all students.</td>
</tr>
</tbody>
</table>

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## Component 3: Instruction

**Criterion 3b: Demonstrating Flexibility and Responsiveness**

**Elements:** Lesson adjustment • Response to students • Persistence

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lesson adjustment</td>
<td>Teacher adheres rigidly to an instructional plan, even when a change is clearly needed.</td>
<td>Teacher attempts to adjust a lesson when needed, with only partially successful results.</td>
<td>Teacher makes a minor adjustment to a lesson, and the adjustment occurs smoothly.</td>
<td>Teacher successfully makes a major adjustment to a lesson when needed.</td>
</tr>
<tr>
<td>Response to students</td>
<td>Teacher ignores or brushes aside students’ questions or interests.</td>
<td>Teacher attempts to accommodate students’ questions or interests, although the pacing of the lesson is disrupted.</td>
<td>Teacher successfully accommodates students’ questions or interests.</td>
<td>Teacher seizes a major opportunity to enhance learning, building on student interests or a spontaneous event.</td>
</tr>
<tr>
<td>Persistence</td>
<td>When a student has difficulty learning, the teacher either gives up or blames the student or the student’s home environment.</td>
<td>Teacher accepts responsibility for the success of all students but has only a limited repertoire of instructional strategies to draw on.</td>
<td>Teacher persists in seeking approaches for students who have difficulty learning, drawing on a broad repertoire of strategies.</td>
<td>Teacher persists in seeking effective approaches for students who need help, using an extensive repertoire of strategies and soliciting additional resources from the school.</td>
</tr>
</tbody>
</table>

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### Component 3: Instruction

**Criterion 3c: Communicating Clearly and Accurately**

Elements: Expectations for learning ◆ Directions and procedures ◆ Explanations of content ◆ Use of oral and written language

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Expectations for learning</td>
<td>Teacher’s purpose in a lesson or unit is unclear to students.</td>
<td>Teacher attempts to explain the instructional purpose with limited success.</td>
<td>Teacher’s purpose for the lesson or unit is clear, including where it is situated within broader learning.</td>
<td>Teacher makes the purpose of the lesson or unit clear, including where it is situated within broader learning, linking that purpose to student interests.</td>
</tr>
<tr>
<td>Directions and procedures</td>
<td>Teacher’s directions and procedures are confusing to students.</td>
<td>Teacher’s directions and procedures are clarified after initial student confusion.</td>
<td>Teacher’s directions and procedures are clear to students.</td>
<td>Teacher’s directions and procedures are clear to students and anticipate possible student misunderstanding.</td>
</tr>
<tr>
<td>Explanations of content</td>
<td>Teacher’s explanation of the content is unclear or confusing or uses inappropriate language.</td>
<td>Teacher’s explanation of the content is uneven; some is done skillfully, but other portions are difficult to follow.</td>
<td>Teacher’s explanation of content is appropriate and connects with students’ knowledge and experience.</td>
<td>Teacher’s explanation of content is imaginative and connects with students’ knowledge and experience. Students contribute to explaining concepts to their peers.</td>
</tr>
</tbody>
</table>

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## Component 3: Instruction

**3d: Using Questioning and Discussion Techniques**

**Elements:** Quality of questions  ●  Discussion techniques  ●  Student participation

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Quality of questions</td>
<td>Teacher’s questions are virtually all of poor quality, with low cognitive challenge and single correct responses, and they are asked in rapid succession.</td>
<td>Teacher’s questions are a combination of low and high quality, posed in rapid succession. Only some invite a thoughtful response.</td>
<td>Most of the teacher’s questions are of high quality. Adequate time is provided for students to respond.</td>
<td>Teacher’s questions are of uniformly high quality, with adequate time for students to respond. Students formulate many questions. Teacher employs cognitive coaching skills.</td>
</tr>
<tr>
<td>Discussion techniques</td>
<td>Interaction between teacher and students is predominantly recitation style, with the teacher mediating all questions and answers.</td>
<td>Teacher makes some attempt to engage students in genuine discussion rather than recitation, with uneven results.</td>
<td>Teacher creates a genuine discussion among students, stepping aside when appropriate.</td>
<td>Students assume considerable responsibility for the success of the discussion, initiating topics and making unsolicited contributions.</td>
</tr>
<tr>
<td>Student participation</td>
<td>A few students dominate the discussion.</td>
<td>Teacher attempts to engage all students in the discussion, but with only limited success.</td>
<td>Teacher successfully engages all students in the discussion.</td>
<td>Students themselves ensure that all voices are heard in the discussion.</td>
</tr>
</tbody>
</table>

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[99] DPAS-II Guide Revised for Teachers, Updated September 2018
## Component 3: Instruction

3e: Using Assessment in Instruction

Elements: Assessment criteria • Monitoring of student learning • Feedback to students • Student self-assessment and monitoring of progress

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assessment criteria</td>
<td>Students are not aware of the criteria and performance standards by which their work will be evaluated.</td>
<td>Students know some of the criteria and performance standards by which their work will be evaluated.</td>
<td>Students are fully aware of the criteria and performance standards by which their work will be evaluated.</td>
<td>Students are fully aware of the criteria and performance standards by which their work will be evaluated and have contributed to the development of the criteria.</td>
</tr>
<tr>
<td>Monitoring of student learning</td>
<td>Teacher does not monitor student learning in the curriculum.</td>
<td>Teacher monitors the progress of the class as a whole but elicits no diagnostic information.</td>
<td>Teacher monitors the progress of groups of students in the curriculum, making limited use of diagnostic prompts to elicit information.</td>
<td>Teacher actively and systematically elicits diagnostic information from individual students regarding their understanding and monitors the progress of individual students.</td>
</tr>
<tr>
<td>Feedback to students</td>
<td>Teacher’s feedback to students is of poor quality and not provided in a timely manner.</td>
<td>Teacher’s feedback to students is uneven, and its timeliness is inconsistent.</td>
<td>Teacher’s feedback to students is timely and of consistently high quality.</td>
<td>Teacher’s feedback to students is timely and of consistently high quality, and students make use of the feedback in their learning.</td>
</tr>
<tr>
<td>Student self-assessment and monitoring of progress</td>
<td>Students do not engage in self-assessment or monitoring of progress.</td>
<td>Students occasionally assess the quality of their own work against the assessment criteria and performance standards.</td>
<td>Students frequently assess and monitor the quality of their own work against the assessment criteria and performance standards.</td>
<td>Students not only frequently assess and monitor the quality of their own work against the assessment criteria and performance standards but also make active use of that information in their learning.</td>
</tr>
</tbody>
</table>

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### Component 4: Professional Responsibilities

#### 4a: Communicating with Families

Elements: Information about the instructional program • Information about individual students • Engagement of families in the instructional program

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Information about the instructional program</td>
<td>The teacher provides families little or no information about the instructional program.</td>
<td>The teacher participates in the school’s activities for family communication but offers little additional information.</td>
<td>The teacher provides frequent information to families, as appropriate, about the instructional program.</td>
<td>The teacher provides frequent information to families, as appropriate, about the instructional program. Students participate in preparing materials for their families.</td>
</tr>
<tr>
<td>Information about individual students</td>
<td>The teacher provides minimal information about individual students to families, or the communication is inappropriate to the cultures of the families. The teacher does not respond, or responds insensitively, to family concerns about students.</td>
<td>The teacher adheres to the school’s required procedures for communicating with families. Responses to family concerns are minimal or may reflect occasional insensitivity to cultural norms.</td>
<td>The teacher communicates with families about students’ progress on a regular basis, respecting cultural norms, and is available as needed to respond to family concerns.</td>
<td>The teacher provides information to families frequently on student progress, with students contributing to the design of the system. Response to family concerns is handled with great professional and cultural sensitivity.</td>
</tr>
<tr>
<td>Engagement of families in the instructional program</td>
<td>The teacher makes no attempt to engage families in the instructional program, or such efforts are inappropriate.</td>
<td>The teacher makes modest and partially successful attempts to engage families in the instructional program.</td>
<td>The teacher’s efforts to engage families in the instructional program are frequent and successful.</td>
<td>The teacher’s efforts to engage families in the instructional program are frequent and successful. Students contribute ideas for projects that could be enhanced by family participation.</td>
</tr>
</tbody>
</table>

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## Component 4: Professional Responsibilities

### 4b: Recording data in a Student Record System

Elements: Student completion of assignments, Student progress in learning, Non-instructional records

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student completion of assignments</td>
<td>The teacher maintains inaccurate or incomplete student assignment records.</td>
<td>The teacher maintains accurate student assignment records but record keeping is inefficient or confusing.</td>
<td>The teacher accurately and efficiently maintains student assignment records.</td>
<td>The teacher accurately and efficiently maintains student assignment records. Data are always recorded in a timely manner and readily accessible for those who have permission to access them.</td>
</tr>
<tr>
<td>Student progress in learning</td>
<td>The teacher maintains inaccurate or incomplete records of student progress</td>
<td>The teacher maintains accurate records of student progress but record keeping is inefficient or confusing.</td>
<td>The teacher accurately and efficiently maintains records of student progress and records are accessible to students, when appropriate.</td>
<td>The teacher accurately and efficiently maintains records of student progress Students participate in maintaining these records, when appropriate.</td>
</tr>
<tr>
<td>Non-instructional records</td>
<td>The teacher maintains inaccurate or incomplete non-instructional records.</td>
<td>The teacher maintains accurate non-instructional records but record keeping is inefficient or confusing.</td>
<td>The teacher accurately and efficiently maintains non-instructional records.</td>
<td>The teacher accurately and efficiently maintains non-instructional records. Data are always recorded in a timely manner and readily accessible for those who have permission to access them.</td>
</tr>
</tbody>
</table>

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## Component 4: Professional Responsibilities

### 4c: Growing and Developing Professionally

**Elements:** Student completion of assignments  
Student progress in learning  
Non-instructional records

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enhancement of content knowledge and pedagogical skills</td>
<td>The teacher engages in no professional development activities to enhance knowledge or skill.</td>
<td>The teacher has limited participation or involvement in professional development activities to enhance knowledge or skill.</td>
<td>The teacher actively participates in professional development activities to enhance content knowledge and pedagogical skill.</td>
<td>The teacher seeks out opportunities for professional development to enhance content knowledge and pedagogical skill and makes a systematic effort to conduct action research.</td>
</tr>
<tr>
<td>Receptivity to feedback from colleagues</td>
<td>The teacher resists feedback on teaching performance from either supervisors or more experienced colleagues.</td>
<td>The teacher accepts, with some reluctance, feedback on teaching performance from both supervisors and professional colleagues.</td>
<td>The teacher welcomes feedback from colleagues when made by supervisors or when opportunities arise through professional collaboration.</td>
<td>The teacher seeks out feedback on teaching from both supervisors and colleagues.</td>
</tr>
<tr>
<td>Service to the profession</td>
<td>The teacher makes no effort to share knowledge with others or to assume professional responsibilities.</td>
<td>The teacher finds limited ways to contribute to the profession.</td>
<td>The teacher actively participates in assisting other educators.</td>
<td>The teacher initiates important activities to contribute to the profession.</td>
</tr>
</tbody>
</table>

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# Component 4: Professional Responsibilities

## 4d: Reflecting on Professional Practice

**Elements:** Accuracy ♦ Use in future teaching

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Accuracy</strong></td>
<td>The teacher does not know whether a lesson was effective or achieved its instructional outcomes, or teacher profoundly misjudges the success of a lesson.</td>
<td>The teacher has a generally accurate impression of a lesson’s effectiveness and the extent to which instructional outcomes were met.</td>
<td>The teacher has an accurate assessment of a lesson’s effectiveness and the extent to which instructional outcomes were met. Teacher can cite general references to support the judgment.</td>
<td>The teacher makes a thoughtful and accurate assessment of a lesson’s effectiveness and the extent to which instructional outcomes were met. The teacher cites many specific examples from the lesson and weighs the relative strengths of each example.</td>
</tr>
<tr>
<td><strong>Use in future teaching</strong></td>
<td>The teacher has no suggestions for improving a lesson in the future.</td>
<td>The teacher makes general suggestions about how a lesson could be improved in the future.</td>
<td>The teacher makes a few specific suggestions of how the lesson could be improved in the future</td>
<td>The teacher draws on an extensive repertoire and provides specific suggestions for improving the lesson and explains the probable success of each suggestion.</td>
</tr>
</tbody>
</table>

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Although Summative Rating Calculations can be completed by the state-approved online platform, overall Summative Evaluation ratings can be determined using the following sequence:

1. **Ratings are determined for Components 1-4** by the observer using a preponderance of evidence collected during the summative evaluation cycle. Ratings for each Component are either Highly Effective, Effective, Needs Improvement, or Ineffective.

2. **A rating for the Student Improvement Component (Component 5) is determined** and is based on the roster, measure, and target selection process outlined in the Guide and discussed at the Fall and Spring conferences.

First, each of the two measures is given a rating of Exceeds, Satisfactory, or Unsatisfactory. Then, an overall Component rating is determined using the chart below. An educator is given a Student Improvement Component rating each year whether or not it is not the final year of the educator’s Summative Evaluation cycle.

<table>
<thead>
<tr>
<th>Possible Rating</th>
<th>Overall Component V</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exceeds</td>
<td>Exceeds</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Unsatisfactory</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Unsatisfactory</td>
</tr>
<tr>
<td>Unsatisfactory</td>
<td>Unsatisfactory</td>
</tr>
</tbody>
</table>

*If an Experienced Educator receives a Summative Evaluation every two years, an overall Component 5 rating is identified using the chart below. Year 1 and Year 2 ratings should be calculated each year, prior to determining an overall Summative Evaluation rating for Component 5.*

<table>
<thead>
<tr>
<th>Year 1 and Year 2 Possible Rating Combinations</th>
<th>Summative Evaluation Rating for Component Five</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highly Effective Highly Effective</td>
<td>Highly Effective</td>
</tr>
<tr>
<td>Highly Effective</td>
<td>Effective</td>
</tr>
<tr>
<td>Highly Effective Highly Effective Needs Improvement</td>
<td>Effective</td>
</tr>
<tr>
<td>Highly Effective Highly Effective Ineffective</td>
<td>Effective</td>
</tr>
<tr>
<td>Effective</td>
<td>Effective</td>
</tr>
<tr>
<td>Effective Needs Improvement</td>
<td>Effective</td>
</tr>
<tr>
<td>Effective</td>
<td>Effective</td>
</tr>
</tbody>
</table>

DPAS-II Guide Revised for Teachers, Updated September 2018
Appendix C: Summative Evaluation Calculations

<table>
<thead>
<tr>
<th>Effective</th>
<th>Ineffective</th>
<th>Needs Improvement</th>
</tr>
</thead>
<tbody>
<tr>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>Ineffective</td>
<td>Ineffective</td>
</tr>
<tr>
<td>Ineffective</td>
<td>Ineffective</td>
<td>Ineffective</td>
</tr>
</tbody>
</table>

3. Each of the five (5) Component ratings are given numerical values indicated in the chart below:

<table>
<thead>
<tr>
<th>Component Rating</th>
<th>Point Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highly Effective</td>
<td>4 points</td>
</tr>
<tr>
<td>Effective</td>
<td>3 points</td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>2 points</td>
</tr>
<tr>
<td>Ineffective</td>
<td>1 point</td>
</tr>
</tbody>
</table>

4. The sum of all Components is calculated.

5. An overall Summative Evaluation rating is determined in accordance with the chart below, using the sum of all Components:

<table>
<thead>
<tr>
<th>Sum of Component Points Earned</th>
<th>Summative Evaluation Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>19 or 20 points</td>
<td>Highly Effective</td>
</tr>
<tr>
<td>14-18 points</td>
<td>Effective</td>
</tr>
<tr>
<td>9-13 points</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>5-8 points</td>
<td>Ineffective</td>
</tr>
</tbody>
</table>
Student Improvement Component
Guidance for Unique Circumstances

(Component Five Educator Group, Measures, and/or Targets may not be changed once set, unless a unique circumstance exists. If a circumstance exists that is not listed in the chart below or does not cleanly fall into one of the categories listed below, contact the Education Associate over the DPAS II Teacher/Specialist System)

<table>
<thead>
<tr>
<th>How do I handle the student improvement component if...</th>
<th>Options...</th>
</tr>
</thead>
</table>
| an educator who has advanced notice that they will miss time due to FMLA or because of other approved extended leave? | The educator and administrator **MUST** schedule a conference before the leave begins.  
The following options exist...  
• For Group 1 Educators...  
  o **Educator remains as a group 1 educator** – In this situation, it may be appropriate for an educator to remain Group 1 and a good place for an administrator to exercise discretion. Once the Measure A results are returned, the administrator may exercise discretion if between 35% and 49% of the students meet their growth targets (and designate a rating of “satisfactory” as opposed to “unsatisfactory”). **This option may not be appropriate if the leave extends beyond 3 months.**  
  OR  
  o **Change the educator to a group 2 educator** – Page 12 of the DPAS II for Teachers Guide states that “Educators who have unique circumstances should work with their administrator to determine the most appropriate educator group for them to participate in Component... |
### Appendix D: Student Improvement Component Unique Situations Guidance

<table>
<thead>
<tr>
<th>Situations</th>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td>In this case, new goals would need to be determined (and the educator(s) would need to sign off).</td>
<td></td>
</tr>
<tr>
<td><strong>For Group 2/3 Educators...</strong></td>
<td></td>
</tr>
<tr>
<td>o Adjust the current Measure B/C measure and/or targets to reflect the time the educator has provided instruction.</td>
<td></td>
</tr>
<tr>
<td><strong>For Group 1 Educators...</strong></td>
<td></td>
</tr>
<tr>
<td>o Educator remains as a group 1 educator – In this case, the administrator would complete RVS on his or her behalf (if the educator is absent for RVS). Note that this situation may be a good place for an administrator to exercise discretion. Once the Measure A results are returned, the administrator may exercise discretion if between 35% and 49% of the students meet their growth targets (and designate a rating of “satisfactory” as opposed to “unsatisfactory”). This option may not be if the leave extends beyond 3 months.</td>
<td></td>
</tr>
<tr>
<td>OR</td>
<td></td>
</tr>
<tr>
<td>o Upon return to work, change the educator to a group 2 educator – Page 12 of the DPAS II for Teachers Guide states that “Educators who have unique circumstances should work with their administrator to determine the most appropriate educator group for them to participate in Component V.” In this case, new goals would need to be determined (and the educator(s) would need to sign off). For this, the following options exist...</td>
<td></td>
</tr>
<tr>
<td>i. Split the roster into two cohorts for the existing Measure B/C goal to create 2 goals: Assuming that the educator has already set a Measure B/C goal, and that...</td>
<td></td>
</tr>
</tbody>
</table>

an educator who **does not have** advanced notice that they will miss time due to FMLA or because of other approved extended leave? The following the options exist...
she or he teaches a cohort of at least 20 student (effectively creating two goals using the same assessment, but with at least 10 students on each roster).

OR

ii. Create a new Measure B/C goal for the remainder of the year: If the educator instructs a cohort of less than 20 students, but returns prior to May 1, you may set a new Measure B/C goal for the remainder of the school year. The minimum amount of time we recommend is 4 weeks from pre- to post-assessment.

• For Group 2/3 Educators...
  o Adjust the current Measure B/C measure and/or targets to reflect the time the educator has or will provided instruction.

    OR

  o Split the roster into two cohorts for the existing Measure B/C goal to create 2 goals: Assuming that the educator has already set a Measure B/C goal, and that she or he teaches a cohort of at least 20 student (effectively creating two goals using the same assessment, but with at least 10 students on each roster).

    OR

  o Create a new Measure B/C goal for the remainder of the year: If the educator instructs a cohort of less than 20 students, but returns prior to May 1, you may set a new Measure B/C goal for the remainder of the school year. The minimum amount of time we recommend is 4 weeks from pre- to post-assessments.
### Appendix D: Student Improvement Component Unique Situations Guidance

**an Educator transfers between districts during the school year?**

<table>
<thead>
<tr>
<th>Action</th>
<th>Guidance</th>
</tr>
</thead>
</table>
| If **within** the **first three quarters** of the school year...       | - Restart the goal-setting process to reflect the most appropriate educator group, measures and/or targets. In this situation, it may not be appropriate to designate an educator as Group 1 after the 1st MP.                                                                 |}

<table>
<thead>
<tr>
<th>Action</th>
<th>Guidance</th>
</tr>
</thead>
</table>
| If **after** the **first three quarters** of the school year...         | - And is a **novice educator**, then the educator is not able to receive a summative evaluation that year.  
- And is an **experienced educator**, then the educator must use the other year's Student Improvement Component rating as their overall Student Improvement Component (SIC) rating (in order for this to be calculated, the LEA will need to contact DOE). For example, if this occurs in the first year of the cycle, the second year's SIC rating will be the overall SIC rating in the educator's summative evaluation. Likewise, if this occurs in the second year of the cycle, then the first year's SIC rating will be the overall SIC rating in the educator's evaluation. **If the educator is in their second year of the cycle and does not have any Student Improvement data, then a Summative Evaluation may not be completed.** |}

**an Educator transfers within the school and/or district during the school year?**

<table>
<thead>
<tr>
<th>Action</th>
<th>Guidance</th>
</tr>
</thead>
</table>
| If **within** the **first three quarters** of the school year...       | - Complete the Student Improvement Component before the educator transfers, if possible.  
- Restart the goal-setting process to reflect the most appropriate educator group, measures and/or targets. In this situation, it may not be appropriate to designate an educator as Group 1 after the 1st MP.                                                                 |}

<table>
<thead>
<tr>
<th>Action</th>
<th>Guidance</th>
</tr>
</thead>
</table>
| If **after** the **first three quarters** of the school year...         | - And is a **novice educator**, then the educator is not able to receive a summative evaluation that year.  
- And is an **experienced educator**, then the educator must use the other year's Student Improvement Component rating as their overall Student Improvement Component rating as their overall Student Improvement Component |}
<table>
<thead>
<tr>
<th><strong>Appendix D: Student Improvement Component Unique Situations Guidance</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>rating (in order for this to be calculated, the LEA will need to contact DOE). For example, if this occurs in the first year of the cycle, the second year's SIC rating will be the overall SIC rating in the educator's summative evaluation. Likewise, if this occurs in the second year of the cycle, then the first year's SIC rating will be the overall SIC rating in the educator's evaluation. If the educator is in their second year of the cycle and does not have any Student Improvement data, then a Summative Evaluation may not be completed.</strong></td>
</tr>
</tbody>
</table>
| **an Educator is hired after the start of school year?** | • If within the first three quarters of the school year...  
  o start the goal-setting process to reflect the most appropriate educator group, measures and/or targets.  
  Page 12 of the DPAS II for Teachers Guide states that “Educators who have unique circumstances should work with their administrator to determine the most appropriate educator group for them to participate in Component V.” In this situation, it would not be advisable to designate an educator as Group 1 after the 1st MP.  
  • If after the first three quarters of the school year...  
  o do not begin the Summative Evaluation cycle. However, informal observations and feedback are encouraged and can be completed in addition to the required observations. |
| **an Educator transfers before the Measure A rating is available?** | • Administrators of the educator's current placement are expected to complete all aspects of the Summative Evaluation and/or Spring Conference, exclusive of the Measure A rating prior to the end of the school year.  
  o The current LEA is still responsible for meeting with and completing the Summative Evaluation and/or Spring Conference with the educator before September 30. |