2013 State Teacher Policy Yearbook

New York





Acknowledgments

STATES

State education agencies remain our most important partners in this effort, and their gracious cooperation has helped to ensure the factual accuracy of the final product. Every state formally received a draft of the *Yearbook* in July 2013 for comment and correction; states also received a final draft of their reports a month prior to release. All but two states responded to our inquiries. While states do not always agree with our recommendations, their willingness to engage in dialogue and often acknowledge the imperfections of their teacher policies is an important step forward.

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Executive Summary

The 2013 State Teacher Policy Yearbook includes the National Council on Teacher Quality's (NCTQ) full review of the state laws, rules and regulations that govern the teaching profession. This year's report measures state progress against a set of 31 policy goals focused on helping states put in place a comprehensive framework in support of preparing, retaining and rewarding effective teachers.

New York at a Glance



Overall 2013 Yearbook Grade

Overall 2011 Yearbook Grade: C

Area Grades	2013	2011
Area 1 Delivering Well-Prepared Teachers	B-	D+
Area 2 Expanding the Teaching Pool	C+	C+
Area 3 Identifying Effective Teachers	B-	C+
Area 4 Retaining Effective Teachers	C+	C ¹
Area 5 Exiting Ineffective Teachers	C-	C-

Goal Breakdown	2013
★ Best Practice	1
Fully Meets	8
Nearly Meets	6
Partially Meets	9
Meets Only a Small Part	1
O Does Not Meet	6

	Progress on Goals Since 2011	
•	Progress has increased	6
(2)	No change in progress	25
•	Progress has decreased	0

¹ State teacher pension policy is no longer included in the State Teacher Policy Yearbook. So that Area 4 grades can be compared, 2011 grades have been recalculated to exclude the pension goals. Overall 2011 grades were not recalculated, as the impact was negligible.

			Page 5				
Admission into Teacher Preparation		Secondary Teacher Preparation in Science					
Elementary Teacher Preparation		Special Education Teacher Preparation					
Teacher Preparation in Reading Instruction		Assessing Professional Knowledge					
Teacher Preparation in Mathematics		Student Teaching					
Middle School Teacher Preparation		Teacher Preparation Program Accountability					
Secondary Teacher Preparation							
Policy Strengths							
The state's elementary subject-matter to comprised of three subtests, and candida each subtest to pass the overall test.		Middle school teachers may not teach generalist license.The state does not offer a K-12 special					
Elementary teacher candidates must pas reading test to ensure knowledge of effec- instruction.		certification. All new teachers must pass a pedagogy					
■ The state's elementary content test incluindependently scored mathematics subto							
Policy Weaknesses							
 Teacher candidates are not required to p academic proficiency as a criterion for acteacher preparation programs. Some secondary social studies teachers a required to pass content tests for each d are licensed to teach. 	dmission to are not	 Requirements for teacher preparation do not ensure high-quality student teaching experience. The teacher preparation program approval process does not hold programs accountable for the quality the teachers they produce. 					
Area 2: Expanding the Pool	of Teach	ers P	age 51				
	of Teach		age 51				
Area 2: Expanding the Pool Alternate Route Eligibility	of Teach	Part-Time Teaching Licenses	Page 51				
Alternate Route Eligibility Alternate Route Preparation	of Teach		Page 51				
Alternate Route Eligibility Alternate Route Preparation Alternate Route Usage and Providers	of Teach	Part-Time Teaching Licenses	Page 51				
Alternate Route Eligibility Alternate Route Preparation Alternate Route Usage and Providers Policy Strengths	0	Part-Time Teaching Licenses Licensure Reciprocity	Page 51				
Alternate Route Eligibility Alternate Route Preparation Alternate Route Usage and Providers Policy Strengths There are no restrictions on alternate rou	0	Part-Time Teaching Licenses Licensure Reciprocity	Page 51				
Alternate Route Eligibility Alternate Route Preparation Alternate Route Usage and Providers Policy Strengths There are no restrictions on alternate route. Policy Weaknesses	ute usage or pro	Part-Time Teaching Licenses Licensure Reciprocity oviders.					
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Alternate Route Eligibility Alternate Route Preparation Alternate Route Usage and Providers Policy Strengths There are no restrictions on alternate routes Policy Weaknesses Admission criteria for all alternate routes	ute usage or pro	Part-Time Teaching Licenses Licensure Reciprocity oviders. Although out-of-state teachers are app	propriately quirements,				

How is **New York** Faring?

Page 73 **Area 3: Identifying Effective Teachers** State Data Systems Tenure **Evaluation of Effectiveness** Licensure Advancement Frequency of Evaluations **Equitable Distribution Policy Strengths** The state has established a data system with evaluations, it is a significant component, and the the capacity to provide evidence of teacher state has articulated other important evaluation effectiveness and has taken meaningful steps to requirements. maximize the system's efficiency and potential. All teachers must be evaluated annually. Although objective evidence of student learning School-level teacher effectiveness data are publicly is not the preponderant criterion of teacher reported. **Policy Weaknesses** Licensure advancement and renewal are not based Tenure decisions are connected to evidence of on teacher effectiveness. teacher effectiveness, but this evidence is not the preponderant criterion. **Area 4: Retaining Effective Teachers** Page 103 Compensation for Prior Work Experience Induction Professional Development Differential Pay Pay Scales Performance Pay **Policy Strengths** Teachers can receive additional compensation for working ■ Teachers who receive unsatisfactory evaluations are placed on structured improvement plans. in high-need schools or shortage subject areas, and teachers in some districts can receive performance pay. **Policy Weaknesses** All new teachers do not receive mentoring or other Districts are not discouraged from basing salary induction support. schedules solely on years of experience and advanced degrees. The state could do more to ensure that all teachers' The state does not support additional compensation professional development activities are aligned with for relevant prior work experience. findings from their evaluations. **Area 5: Exiting Ineffective Teachers** Page 127 Reductions in Force **Extended Emergency Licenses** Dismissal for Poor Performance **Policy Strengths** Ineffective classroom performance is grounds for dismissal. **Policy Weaknesses** ■ Teachers can teach for up to two years before Performance is not considered in determining which having to pass required subject-matter tests. teachers to lay off during reductions in force.

igure A	Overall State Grade 2013	Overall State Grade 2011	Overall State Grade 2009
Florida	B+	В	С
Louisiana	В	C-	C-
Rhode Island	В	B-	D
Tennessee	В	B-	C-
Arkansas	B-	С	C-
Connecticut	B-	C-	D+
Georgia	B-	С	C-
Indiana	B-	C+	D
Massachusetts	B-	С	D+
Michigan	B-	C+	D-
New Jersey	B-	D+	D+
NEW YORK	B-	С	D+
Ohio	B-	C+	D+
Oklahoma	B-	B-	D+
Colorado	C+	С	D+
Delaware	C+	С	D
Illinois	C+	С	D+
Virginia	C+	D+	D+
Kentucky	C	D+	D+
Mississippi	С	D+	D+
North Carolina	С	D+	D+
Utah	С	C-	D
Alabama	C-	C-	C-
Arizona	C-	D+	D+
Maine	C-	D+ D-	F
Minnesota	C-	C-	D-
Missouri	C-	D	D
Nevada	C-	C-	D-
Pennsylvania	C-	D+	D
South Carolina	C-	C-	C-
Texas	C-	C-	C-
Washington	C-	C-	D+
West Virginia	C-	D+	D+
California	D+	D+	D+
District of Columbia			
Hawaii	D+ D+	D D-	D- D-
Idaho	D+	D- D+	D-
Maryland	D+	D+ D+	D
New Mexico Wisconsin	D+ D+	D+ D	D+ D
Alaska	D+	D	D
lowa	D	D	D
Kansas Now Hampshire	D	D	D-
New Hampshire North Dakota	D	D-	D-
	D	D	D-
Oregon	D	D-	D-
Wyoming	D	D	D-
Nebraska South Dakata	D-	D-	D-
South Dakota	D-	D	D
Vermont	D-	D-	F
Montana	F	F	F

How to Read the Yearbook

GOAL SCORE

The extent to which each goal has been met:



Best Practice



Fully Meets



Nearly Meets



Partially Meets



Meets Only a Small Part



Does Not Meet

PROGRESS INDICATOR

Whether the state has advanced on the goal, policy has remained unchanged or the state has lost ground on that topic:



Goal progress has increased since 2011



Goal progress has decreased since 2011



Goal progress has remained the same since 2011

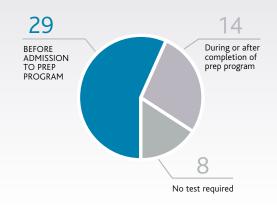
BAR RAISED FOR THIS GOAL



Indicates the criteria to meet the goal have been raised since the 2011 Yearbook.

READING CHARTS AND TABLES:

Strong practices or the ideal policy positions for the states are capitalized:

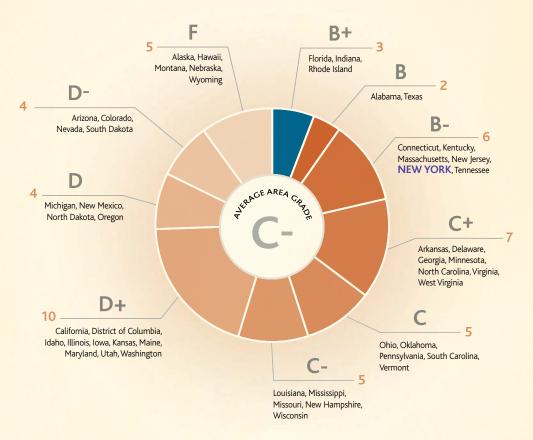


Area 1 Summary



How States are Faring on Delivering Well-Prepared Teachers

State Area Grades



Topics Included In This Area

- 1-A: Admission into Teacher Preparation
- 1-B: Elementary Teacher Preparation
- 1-C: Elementary Teacher Preparation in Reading Instruction
- 1-D: Elementary Teacher Preparation in Mathematics
- 1-E: Middle School Teacher Preparation

- 1-F: Secondary Teacher Preparation
- 1-G: Secondary Teacher Preparation in Science
- 1-H: Special Education Teacher Preparation
- 1-I: Assessing Professional Knowledge
- 1-J: Student Teaching
- 1-K: Teacher Preparation Program Accountability

Goal A – Admission into Teacher Preparation

The state should require teacher preparation programs to admit only candidates with strong academic records.

Goal Components

(The factors considered in determining the states' rating for the goal.)

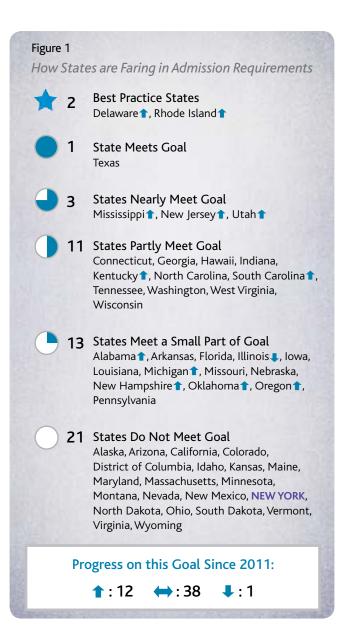
- The state should require teacher candidates to pass a test of academic proficiency that assesses reading, writing and mathematics skills as a criterion for admission to teacher preparation programs.
- 2. All preparation programs in a state should use a common admissions test to facilitate program comparison, and the test should allow comparison of applicants to the general college-going population. The selection of applicants should be limited to the top half of that population.



The components for this goal have changed since 2011. In light of state progress on this topic, the bar for this goal has been raised.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



1-A Analysis: New York







ANALYSIS

New York does not require aspiring teachers to pass a test of academic proficiency as a criterion for admission to teacher preparation programs, instead delaying its basic skills assessment until teacher candidates are ready to apply for licensure.

RECOMMENDATION

Require that teacher preparation programs screen candidates for academic proficiency prior to admission.

Teacher preparation programs that do not screen candidates invest considerable resources in individuals who may not be able to successfully complete the program and pass licensing tests. Candidates in need of additional support should complete remediation before entering the program to avoid the possibility of an unsuccessful investment of significant public tax dollars. New York should require candidates to pass a test of academic proficiency that assesses reading, mathematics and writing prior to program admission.

Require that programs use a common admissions test normed to the general college-bound population.

New York should require programs to use an assessment that demonstrates that candidates are academically competitive with all peers, regardless of their intended profession. Requiring a common test normed to the general college population would allow for the selection of applicants in the top half of their class while also facilitating program comparison.

Consider requiring candidates to pass subject-matter tests as a condition of admission into teacher programs.

In addition to ensuring that programs require a measure of academic performance for admission, New York might also want to consider requiring content testing prior to program admission as opposed to at the point of program completion. Program candidates are likely to have completed coursework that covers related test content in the prerequisite classes required for program admission. Thus, it would be sensible to have candidates take content tests while this knowledge is fresh rather than wait two years to fulfill the requirement, and candidates lacking sufficient expertise would be able to remedy deficits prior to entering formal preparation.

NEW YORK RESPONSE TO ANALYSIS

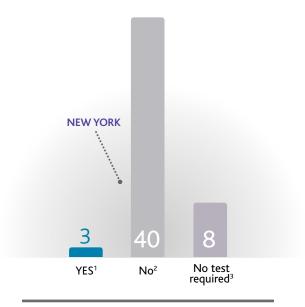
New York recognized the factual accuracy of this analysis. The state also noted that each institution establishes the criteria for program admission and that new, more rigorous examinations became operational in September 2013.



EXAMPLES OF BEST PRACTICE

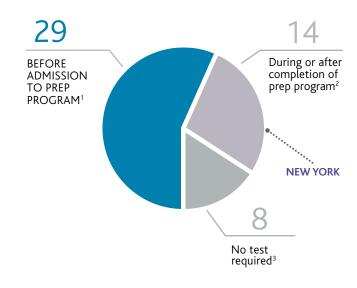
For admission to teacher preparation programs, Rhode Island and Delaware require a test of academic proficiency normed to the general collegebound population rather than a test that is normed just to prospective teachers. Delaware also requires teacher candidates to have a 3.0 GPA or be in the top 50th percentile for general education coursework completed. Rhode Island also requires an average cohort GPA of 3.0, and beginning in 2016, the cohort mean score on nationally-normed tests such as the ACT, SAT or GRE must be in the top 50th percentile. In 2020, the requirement for the mean test score will increase from the top half to the top third.

Figure 2 Do states require an assessment of academic proficiency that is normed to the general college-going population?



- 1. Strong Practice: Delaware, Rhode Island, Texas
- 2. Alabama, Alaska, Arkansas, California, Connecticut, District of Columbia, Florida, Georgia, Hawaii, Illinois, Indiana, Iowa, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Oklahoma, Oregon, Pennsylvania, South Carolina, Tennessee, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin
- 3. Arizona, Colorado, Idaho, Kansas, Montana, Ohio, South Dakota, Wyoming

Figure 3 When do states test teacher candidates' academic proficiency?



- 1. Strong Practice: Alabama, Arkansas, Connecticut, Delaware, Florida, Georgia, Hawaii, Indiana, Iowa, Kentucky, Louisiana, Michigan, Mississippi, Missouri, Nebraska, New Hampshire, New Jersey, North Carolina, Oklahoma, Oregon, Rhode Island, South Carolina, Tennessee, Texas, Utah, Virginia, Washington, West Virginia, Wisconsin
- 2. Alaska, California, District of Columbia, Illinois, Maine, Maryland, Massachusetts, Minnesota, Nevada, New Mexico, New York, North Dakota, Pennsylvania, Vermont
- 3. Arizona, Colorado, Idaho, Kansas, Montana, Ohio, South Dakota, Wyoming

Figure 4		Test nomed to test	San to prep program Gardides Completes	No test tequired
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teacher candidates?	Wa 6	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	to to be	of p
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	# 8 \$	Gan Can		No test required
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Delaware				
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Georgia				
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Louisiana				
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Massachusetts				
Michigan				
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New Hampshire				
New Jersey				
New Mexico				
NEW YORK				
North Carolina				
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South Carolina				
South Dakota				
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Utah				
Vermont				
Virginia				
Washington				
West Virginia				
Wisconsin				
		_		
Wyoming				

^{1.} Candidates in Oklahoma also have the option of gaining admission with a 3.0 GPA.

Figure 5

Do states require a minimum GPA for admission to teacher prep?



- 1. Strong Practice: Delaware, Mississippi⁶, New Jersey⁶, Oklahoma⁷, Pennsylvania⁸, Rhode Island⁶, Utah
- 2. Kentucky, Texas
- 3. Alabama, Arkansas, Connecticut⁹, Florida, Georgia, Michigan, South Carolina, South Dakota, Wisconsin¹⁰
- 4. Louisiana
- Alaska, Arizona, California, Colorado, District of Columbia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Maine, Maryland, Massachusetts, Minnesota, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, New York, North Carolina, North Dakota, Ohio, Oregon, Tennessee, Vermont, Virginia, Washington, West Virginia, Wyoming
- 6. The 3.0 GPA requirement is a cohort average; individual candidates must have a 2.75 GPA.
- 7. Candidates in Oklahoma also have the option of gaining admission by passing a basic skills test.
- 8. Students can also be admitted with a combination of a 2.8 GPA and qualifying scores on the basic skills test or SAT/ACT.
- 9. Connecticut requires a B- grade point average for all undergraduate courses.
- 10. The GPA admission requirement is 2.5 for undergraduate and 2.75 for graduate programs.

Goal B − Elementary Teacher Preparation

The state should ensure that its teacher preparation programs provide elementary teachers with a broad liberal arts education, providing the necessary foundation for teaching to the Common Core or similar state standards.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should require all elementary teacher candidates, including those who can teach elementary grades on an early childhood license, to pass a subject-matter test designed to ensure sufficient content knowledge of all core subjects.
- 2. The state should require that its approved teacher preparation programs deliver a comprehensive program of study in broad liberal arts coursework. An adequate curriculum is likely to require approximately 36 credit hours to ensure appropriate depth in the core subject areas of English, science, social studies and fine arts. (*Mathematics preparation for elementary teachers is discussed in Goal 1-D.*)
- 3. The state should require elementary teacher candidates to complete a content specialization in an academic subject area. In addition to enhancing content knowledge, this requirement ensures that prospective teachers have taken higher level academic coursework.



The components for this goal have changed since 2011. In light of state progress on this topic, the bar for this goal has been raised.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



1-B Analysis: New York



State Partly Meets Goal 🥋 Bar Raised for this Goal 🛖 Progress Since 2011





ANALYSIS

New York has adopted the Common Core State Standards, which represent an effort to significantly raise the standards for the knowledge and skills American students will need for college readiness and global competitiveness. However, there is room for improvement when it comes to the state ensuring that its elementary teacher candidates are adequately prepared to teach the rigorous content associated with these standards.

Elementary teacher candidates will soon have to pass the newly developed New York State Teacher Certification Examination (NYSTCE) multi-subject content specialty test, which, according to the draft framework, is now divided into three separately scored subtests. The first includes literacy and English language arts, the second focuses on math and the third combines arts and sciences. The state anticipates that candidates applying for certification on or after May 1, 2014, will be required to take the new

Early childhood education (B-2) candidates will have to pass an early childhood multi-subject test, which is also comprised of three subtests.

Elementary education candidates in New York must also complete a "content core" requirement consisting of a major, concentration or the equivalent in one or more of the liberal arts and sciences.

Supporting Research

New York State Teacher Certification Examination www.nystce.nesinc.com

RECOMMENDATION

Require a content test that ensures sufficient knowledge in all subjects.

New York should ensure that its subject-matter test for elementary teacher candidates is well aligned with the Common Core State Standards. Although the state is on the right track by administering a three-part licensing test, thus making it harder for teachers to pass if they fail some subject areas, the state is encouraged to further strengthen its policy and require separate, meaningful passing scores for each subject on its multiple-subject test.

Ensure that teacher preparation programs deliver a comprehensive program of study in broad liberal arts coursework.

New York should either articulate a more specific set of standards or establish more comprehensive coursework requirements for elementary teacher candidates that align with the Common Core State Standards to ensure that candidates will complete coursework relevant to the common topics in elementary grades. An adequate curriculum is likely to require approximately 36 credit hours in the core subject areas of English, science, social studies and fine arts. New York requires all candidates to complete a general education core in the liberal arts and sciences, including "artistic expression; communication; information retrieval; concepts in history and social sciences; humanities; a language other than English; scientific and mathematical processes; and written analysis and expression." Although these are sensible general requirements, the state's language is not specific enough to ensure that these courses will be relevant to the topics covered in the PK-6 classrooms.

New York also articulates standards within the draft framework of its new NYSTCE content test. These standards are better than those found in many states, alluding to important areas of academic knowledge. The standards make mention of life science, chemistry, physics and earth science, and one area of social studies requires teachers to understand major ideas, eras, themes, developments, and turning points in the history of New York State, the United States, and the world. However, important areas such as British and world literature and art history are missing.

Require elementary teacher candidates to complete a content specialization in an academic subject area.

Although New York's policy requires that elementary teacher candidates have at least an arts and sciences concentration, the state's language does not ensure that these teachers will earn a content specialization in an academic subject area.

NEW YORK RESPONSE TO ANALYSIS

New York noted that elementary teachers are required to pass a total of four exams to ensure that each candidate possesses the knowledge, skills and abilities to increase student learning, and that each exam is aligned with the Common Core Learning Standards (CCLS). In addition to a content exam, teacher candidates must pass the following:

- edTPA: a multiple-measure, performance-based assessment system aligned to state and national standards;
- Academic Literacy Skills Test (ALST): focused on Common Core academic literacy and writing skills;
 and
- Educating All Students (EAS) Test: designed to ensure understanding of characteristics, strengths and needs of all learners.

New York added that its content core requirement for teacher candidates must be "in accordance with the State learning standards for students." Further, the state contended that the current adoption of the CCLS as the state standards along with the shift educator preparation professional development program and the new content on the exams will require schools of education to review and revise as necessary courses, teaching assignments and field experiences.

Figure 7	EEMENTARY CONTENT SCORE FOR E. SPARTENT	Steinentay Content tees	Elementary content to	with /
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Alaska				1
Arizona				
Arkansas				
California	ī		$\overline{\Box}$	- i
Colorado				
Connecticut				
Delaware				
District of Columbia				
Florida				
Georgia				
Hawaii				
Idaho				
Illinois				
Indiana				
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Kansas				
Kentucky				
Louisiana				
Maine				
Maryland			2	
Massachusetts			3	
Michigan				
Minnesota				
Mississippi				
Missouri				
Montana				
Nebraska			2	
Nevada	Ц			
New Hampshire				
New Jersey				
New Mexico NEW YORK				
North Carolina			3	
North Dakota				
Ohio				4
Oklahoma				
Oregon				
Pennsylvania				
Rhode Island				
South Carolina				
South Dakota				
Tennessee				
Texas				
Utah				
Vermont				
Virginia				
Washington				
West Virginia				
Wisconsin Wyoming				



TEXAMPLE OF BEST PRACTICE

Indiana ensures that all candidates licensed to teach the elementary grades possess the requisite subjectmatter knowledge before entering the classroom. Not only are elementary teacher candidates required to pass a content test comprised of independently scored subtests, but the state also requires its early childhood education teachers—who are licensed to teach up through grade 3—to pass a content test comprised of four subtests. Elementary teacher candidates in Indiana must also earn either a major or minor in an academic content area.

1. Alaska does not require testing for initial licensure.

2. The required test is a questionable assessment of content knowledge, instead emphasizing methods and instructional strategies.

4. Only teachers of grades 4 and 5 are required to pass content test.

^{3.} Massachusetts and North Carolina require a general curriculum test that does not report scores for each elementary subject. A separate score is

hildhood teachers wh	O ST WIT	Content test with	Score Score Test with little	/_	
each elementary grad	es 73	[] [] [] [] [] [] [] [] [] []		Pulie	/
o pass a content	\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	rent Positi	t With	34 re	, apply
each elementary grad o pass a content nowledge test?	03.2	/ 5 &	res to no	Notest required	Not about
Alabama					
Alaska					
Arizona					
Arkansas					
California					
Colorado					
Connecticut					
Delaware					
District of Columbia					
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Georgia					
Hawaii					
Idaho					
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Louisiana					
Maine					
Maryland					
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Minnesota					
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Montana					
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New Hampshire New Jersey					
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These states do not offer a standalone early childhood certification that includes elementary grades or the state's early childhood certification is the de facto license to teach elementary grades.
 May pass either multiple subjects (subscores) or content knowledge (no subscores) test.

Figure 9				GLISH		/			NCE				OCIAL					/	FINE ARTS
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Alabama			*																
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Arizona			*				*	*	*	*	*	*				*		*	
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District of Columbia			4	П		2		4	<u> </u>	-	-	4	4		П				
Florida			-		*		4	-	*			-				<u>*</u>			
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Georgia																X			
Hawaii																			
Idaho			X			X		X	×	X	*	X	X						
Illinois			THE STATE OF THE S				A	THE STATE OF THE S	*			A				*			
Indiana			R			Ш	R	×	×			X	X	R		*		*	
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Kansas			文	*			*	*	*			文				*			
Kentucky																			
Louisiana																			
Maine																			
Maryland																			
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Figure 10
What subjects does New York expect elementary teachers to know?

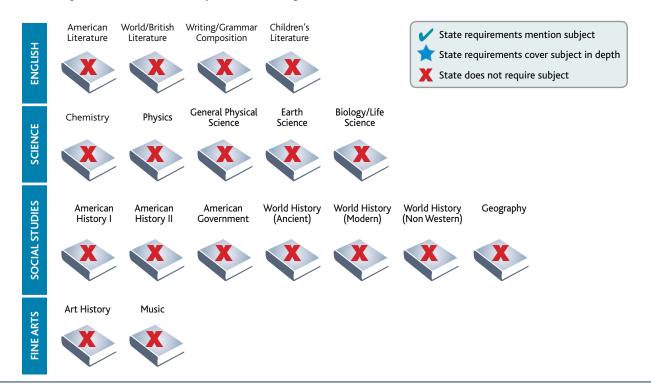
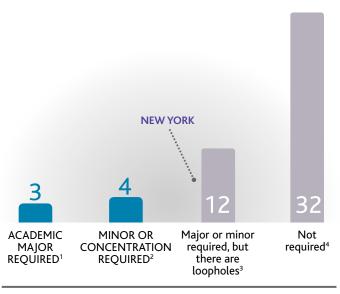


Figure 11

Do states expect elementary teachers to complete an academic concentration?



- 1. Strong Practice: Colorado, Massachusetts, New Mexico
- 2. Strong Practice: Indiana, Mississippi, New Hampshire, Oklahoma
- 3. California, Connecticut, Iowa, Maryland, Michigan, Missouri, New Jersey, New York, Tennessee, Texas, Vermont, Virginia
 - These states require a major, minor or concentration but there is no assurance it will be in an academic subject area.
- 4. Alabama, Alaska, Arizona, Arkansas, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Kansas, Kentucky, Louisiana, Maine, Minnesota, Montana, Nebraska, Nevada, North Carolina, North Dakota, Ohio, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Utah, Washington, West Virginia, Wisconsin, Wyoming

Goal C – Elementary Teacher Preparation in Reading Instruction

The state should ensure that new elementary teachers know the science of reading instruction.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that new elementary teachers, including those who can teach elementary grades on an early childhood license, pass a rigorous test of reading instruction in order to attain licensure. The design of the test should ensure that prospective teachers cannot pass without knowing the five instructional components shown by scientifically based reading research to be essential to teaching children to read.
- 2. The state should require that teacher preparation programs prepare candidates in the science of reading instruction.



The components for this goal have changed since 2011. In light of state progress on this topic, the bar for this goal has been raised.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



1-C Analysis: New York





State Meets Goal 🥋 Bar Raised for this Goal 👚 Progress Since 2011



ANALYSIS

By May 1, 2014, all elementary teacher candidates in New York will be required to pass the newly designed NYSTCE multisubject elementary exam as a condition of initial licensure. This test includes a separately scored English language arts/literacy section. It addresses all five instructional components of scientifically based reading instruction: phonemic awareness, phonics, fluency, vocabulary and comprehension, and it amounts to a stand-alone reading test.

New York also requires all early childhood education candidates to pass a content test with a separately scored English language arts/literacy section. It, too, amounts to a stand-alone reading test.

Supporting Research

NYSTCE Test Requirements

http://www.nystce.nesinc.com/PDFs/NY221_222_245_OBJ_DRAFT.pdf

RECOMMENDATION

Ensure that the science of reading test is meaningful.

To ensure that its science of reading test is meaningful, New York should evaluate its passing score to make certain it reflects a high standard of performance.

NEW YORK RESPONSE TO ANALYSIS

New York pointed out that as the first administration of the new tests becomes available beginning fall 2013, the state will initiate the process of standard setting. Like all educational assessments, these new tests will be used to make decisions that categorize test takers based on their performance. This involves classifying the test takers as "pass" or "fail." Standard setting is the process where achievement level descriptions are established in order to define what candidates should know and be able to do to achieve a passing score (i.e., cut-scores). For New York State Teacher Certification Examinations, standard setting panels are made up of a diverse group of certified teachers and higher educators.

New York added that these cut-scores will present a new and transparent baseline from which it can measure the progress and preparedness of its new educators. While the state can anticipate that the number of individuals with passing scores will be lower on these new, more rigorous tests, it understands that this is a new starting point. These tests will give a clearer picture of where future educators stand in terms of their ability to fully implement the Regents Reform Agenda and the New York State P-12 Common Core Learning Standards as they prepare students for college and career.

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TOTAL SOLUTION EXAMPLES OF BEST PRACTICE

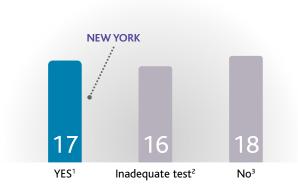
Fifteen states meet this goal by requiring that all candidates licensed to teach the elementary grades pass comprehensive assessments that specifically test the five elements of scientifically based reading instruction: phonemic awareness, phonics, fluency, vocabulary and comprehension. Independent reviews of the assessments used by Connecticut and Massachusetts, confirm that these tests are rigorous measures of teacher candidates' knowledge of scientifically based reading instruction.

^{1.} Alabama's reading test spans the K-12 spectrum.

^{2.} Teachers have until their second year to pass the reading test.

Figure 14

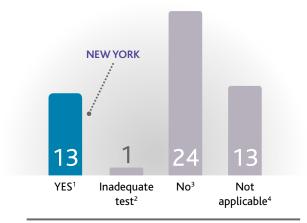
Do states measure new elementary teachers' knowledge of the science of reading?



- Strong Practice: Alabama⁴, California, Connecticut, Florida, Indiana, Massachusetts, Minnesota, New Hampshire, New Mexico, New York, North Carolina⁵, Ohio, Oklahoma, Tennessee, Virginia, West Virginia, Wisconsin
- 2. Arizona, Arkansas, Delaware, District of Columbia, Georgia, Idaho, Kentucky, Maine, New Jersey, Oregon, Pennsylvania, Rhode Island, South Carolina, Texas, Utah, Vermont
- Alaska, Colorado, Hawaii, Illinois, Iowa, Kansas, Louisiana, Maryland, Michigan, Mississippi, Missouri, Montana, Nebraska, Nevada, North Dakota, South Dakota, Washington, Wyoming
- 4. Alabama's reading test spans the K-12 spectrum.
- $5. \, \text{Teachers}$ have until their second year to pass the reading test.

Figure 15

Do states measure knowledge of the science of reading for early childhood teachers who can teach elementary grades?



- Strong Practice: Alabama^s, Connecticut, Florida, Indiana, Massachusetts, Minnesota, New Hampshire, New York, Oklahoma, Tennessee, Virginia, West Virginia, Wisconsin
- Idaho
- Arizona, Colorado, Delaware, District of Columbia, Hawaii, Illinois, lowa, Kansas, Louisiana, Maine, Maryland, Missouri, Nebraska, Nevada, New Jersey, New Mexico, North Dakota, Rhode Island, South Carolina, South Dakota, Utah, Vermont, Washington, Wyoming
- 4. Alaska, Arkansas, California, Georgia, Kentucky, Michigan, Mississippi, Montana, North Carolina, Ohio, Oregon, Pennsylvania, Texas These states do not offer a standalone early childhood certification that includes elementary grades or the state's early childhood certification is the de facto license to teach elementary grades.
- 5. Alabama's reading test spans the K-12 spectrum

Goal D – Elementary Teacher Preparation in Mathematics

The state should ensure that new elementary teachers have sufficient knowledge of the mathematics content taught in elementary grades.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should require teacher preparation programs to deliver mathematics content of appropriate breadth and depth to elementary teacher candidates. This content should be specific to the needs of the elementary teacher (i.e., foundations, algebra and geometry with some statistics).
- 2. The state should require elementary teacher candidates, including those who can teach elementary grades on an early childhood license, to pass a rigorous test of mathematics content in order to attain licensure.
- Such test can also be used to test out of course requirements and should be designed to ensure that prospective teachers cannot pass without sufficient knowledge of mathematics.



The components for this goal have changed since 2011. In light of state progress on this topic, the bar for this goal has been raised.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



1-D Analysis: New York





State Meets Goal 🕟 Bar Raised for this Goal 🕜 Progress Since 2011



ANALYSIS

New York requires all elementary teacher candidates to pass the New York State Teacher Certification Examination (NYSTCE), which includes a multisubject content specialty test. The newly designed draft framework indicates that this assessment will now include a separately scored math subtest.

New York's early childhood education candidates are required to pass an early childhood multisubject test, and it also reports an individual math subscore.

Supporting Research

NYSTCE Test Requirement www.nystce.nesinc.com

NEW YORK RESPONSE TO ANALYSIS

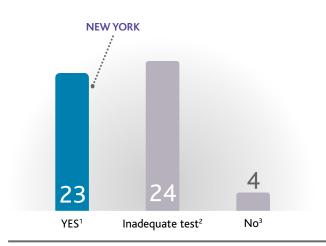
New York recognized the factual accuracy of this analysis.



** EXAMPLES OF BEST PRACTICE

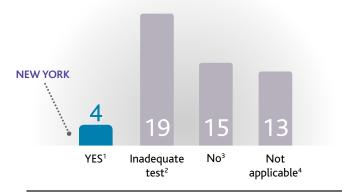
Eight states meet this goal by requiring that all candidates licensed to teach the elementary grades earn a passing score on an independently scored mathematics subtest. **Massachusetts's** MTEL mathematics subtest continues to set the standard in this area by evaluating mathematics knowledge beyond an elementary school level and challenging candidates' understanding of underlying mathematics concepts.

Figure 17
Do states measure new elementary teachers' knowledge of math?



- 1. Strong Practice: Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Idaho, Indiana, Kentucky, Maine, Massachusetts, Minnesota, New Hampshire, New Jersey, New York, North Carolina, Rhode Island, South Carolina, Texas⁴, Utah, Vermont, Virginia, West Virginia
- Arizona, California, Colorado, Georgia, Illinois, Iowa, Kansas, Louisiana, Maryland, Michigan, Mississippi, Missouri, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Washington, Wisconsin, Wyoming
- 3. Alaska⁵, Hawaii, Montana, Ohio⁶
- 4. Test is not yet available for review.
- 5. Testing is not required for initial licensure.
- 6. Only teachers of grades 4 and 5 are required to pass an adequate content test.

Figure 18
Do states measure knowledge of math of early childhood teachers who can teach elementary grades?



- 1. Strong Practice: Florida, Indiana, New York, Virginia
- Alabama, Colorado, Connecticut, District of Columbia, Idaho, Iowa, Louisiana, Maryland, Massachusetts, Minnesota, Nevada, New Hampshire, New Jersey, North Dakota, Rhode Island, Tennessee, Utah, Washington, Wisconsin
- 3. Arizona, Delaware, Hawaii, Illinois, Kansas, Maine, Missouri, Nebraska, New Mexico, Oklahoma, South Carolina, South Dakota, Vermont, West Virginia, Wyoming
- 4. Alaska, Arkansas, California, Georgia, Kentucky, Michigan, Mississippi, Montana, North Carolina, Ohio, Oregon, Pennsylvania, Texas These states do not offer a standalone early childhood certification that includes elementary grades or the state's early childhood certification is the de facto license to teach elementary grades.

→ Goal E — Middle School Teacher Preparation

The state should ensure that middle school teachers are sufficiently prepared to teach appropriate grade-level content.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should require that new middle school teachers pass a licensing test in every core academic area that they are licensed to teach.
- The state should not permit middle school teachers to teach on a generalist license that does not differentiate between the preparation of middle school teachers and that of elementary teachers.
- 3. The state should encourage middle school candidates who are licensed to teach multiple subjects to earn minors in two core academic areas rather than earn a single major. Middle school candidates licensed to teach a single subject area should earn a major in that area.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



1-E Analysis: New York



State Nearly Meets Goal



Progress Since 2011

ANALYSIS

For K-8 schools that offer nondepartmentalized middle grades, New York requires a Generalist in Middle Childhood Education (grades 5-9) certificate. These candidates will be required to pass the newly designed middle-childhood assessment, which consists of three subtests. According to the draft framework, the first subtest includes literacy and English language arts, the second includes math, and the third includes arts and sciences.

New York also offers single-subject certifications for the middle school grades. These candidates must pass a single-subject content test.

Commendably, New York does not offer a K-8 generalist license.

Supporting Research

Test Requirement www.nystce.nesinc.com

Regulations of the Commissioner of Education, Part 52.21

Certification Requirements

http://eservices.nysed.gov/teach/certhelp/CertRequirementHelp.do

RECOMMENDATION

Require content testing in all core areas.

As a condition of initial licensure, all candidates teaching multiple subjects in the middle grades in New York should have to pass a subject-matter test in every core academic area they intend to teach. Although New York is on the right track by administering a three-part licensing test, thus making it harder for teachers to pass if they fail some subject areas, the state is encouraged to further strengthen its policy and require separate passing scores for each subject on its multiple-subject test. To ensure meaningful middle school content tests, the state should set its passing scores to reflect high levels of performance.

Encourage middle school teachers licensed to teach multiple subjects to earn two subjectmatter minors.

This would allow candidates to gain sufficient knowledge to pass state licensing tests, and it would increase schools' staffing flexibility. However, middle school candidates in New York who intend to teach a single subject should earn a major in that area.

NEW YORK RESPONSE TO ANALYSIS

New York recognized the factual accuracy of this analysis. The state referenced its response to Goal 1-C, and added that a separate passing score is required on each section of the test: literacy and English language arts, math, and arts and science.

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T EXAMPLES OF BEST PRACTICE

Georgia, Mississippi, New Jersey and South Carolina ensure that all middle school teacher candidates are adequately prepared to teach middle school-level content. None of these states offers a K-8 generalist license and all require passing scores on subject-specific content tests. Georgia, Mississippi and South Carolina explicitly require at least two content-area minors, and New Jersey requires a content major along with a minor for each additional area of certification.

^{1.} Offers 1-8 license.

^{2.} California offers a K-12 generalist license for all self-contained classrooms.

^{3.} With the exception of mathematics.

^{4.} Oregon offers 3-8 license.

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- Alaska does not require content tests for initial licensure.
 Candidates teaching multiple subjects only have to pass the elementary test. Single-subject credential does not
- require test.
 3. For K-8 license, Idaho also requires a single-subject test.
- 4. Maryland allows elementary teachers to teach in departmentalized middle schools if not less than 50 percent of the teaching assignment is within the elementary education grades.
- 5. For nondepartmentalized classrooms, generalist in middle childhood education candidates must pass new assessment with three subtests.
- 6. Teachers may have until second year to pass tests, if they attempt to pass them during their first year.
- 7. Candidates opting for middle-level endorsement may either complete a major or pass a content test.

Goal F – Secondary Teacher Preparation

The state should ensure that secondary teachers are sufficiently prepared to teach appropriate grade-level content.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that secondary teachers pass a licensing test in every subject they are licensed to teach.
- 2. The state should require secondary social studies teachers to pass a subject-matter test of each social studies discipline they are licensed to teach.
- 3. The state should require that secondary teachers pass a content test when adding subject-area endorsements to an existing license.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



1-F Analysis: New York



State Nearly Meets Goal



Progress Since 2011

ANALYSIS

New York requires that its secondary teacher candidates pass a content test to teach any core secondary subjects.

Unfortunately, New York permits a significant loophole to this important policy by allowing a general social studies license, without requiring subject-matter testing for each subject area. General social studies candidates must pass the NYSTCE Social Studies test. Teachers with this license are not limited to teaching general social studies but rather can teach any of the topical areas.

Further, to add an additional field to a secondary license, teachers must also pass a content test. However, as stated above, New York cannot guarantee content knowledge in each specific subject for secondary teachers who add social studies endorsements.

Supporting Research

New York State Teacher Certification Examinations www.nystce.nesinc.com Regulations of the Commissioner of Education Part 52.21

RECOMMENDATION

- Require subject-matter testing for all secondary teacher candidates.
 - New York wisely requires subject-matter tests for most secondary teachers but should address any loopholes that undermine this policy. This applies to the addition of endorsements as well.
- Require secondary social studies teachers to pass a content test for each discipline they are licensed to teach.

By allowing a general social studies certification—and only requiring a general knowledge social studies exam—New York is not ensuring that its secondary teachers possess adequate subjectspecific content knowledge. The state's required assessment combines all subject areas (e.g., history, geography, economics) and does not report separate scores for each subject area.

NEW YORK RESPONSE TO ANALYSIS

New York was helpful in providing NCTQ with facts that enhanced this analysis. The state added that its social studies certificate requires coursework in U.S. history, world history and geography. Candidates are tested on these areas as part of the content specialty exam.

LAST WORD

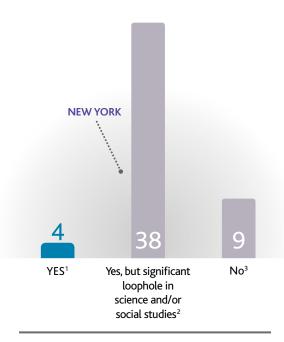
By only requiring a general content social studies assessment that does not report individual scores, New York cannot ensure that its secondary social studies candidates possess the requisite knowledge in all areas they are allowed to teach.



** EXAMPLES OF BEST PRACTICE

Georgia, Indiana and Tennessee require that all secondary teacher candidates pass a content test to teach any core secondary subject—both as a condition of licensure and to add an additional field to a secondary license. Further, none of these states offers secondary certification in general social studies; all teachers must be certified in a specific discipline. Also worthy of mention is **Missouri**, which now requires its general social studies teachers to pass a multi-content test with six independently scored subtests.

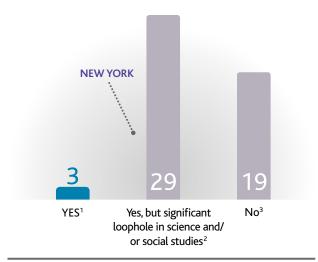
Figure 23 Does a secondary teacher have to pass a content test in every subject area for licensure?



- 1. Strong Practice: Indiana, Minnesota, Missouri, Tennessee
- 2. Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Mississippi, Nebraska, Nevada, New Jersey, New Mexico, New York, North Carolina⁴, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, West Virginia, Wisconsin [For more on loopholes, see Goal 1-G (science) and Figure 25 (social studies).}
- 3. Alaska, Arizona⁵, California, Colorado, Hawaii, Montana, New Hampshire⁵, Washington, Wyoming⁶
- 4. Teachers may also have until second year to pass tests, if they attempt to pass them during their first year.
- 5. Candidates with a master's degree in the subject area do not have to pass a content test.
- 6. Only secondary comprehensive social studies teachers must pass a content test.

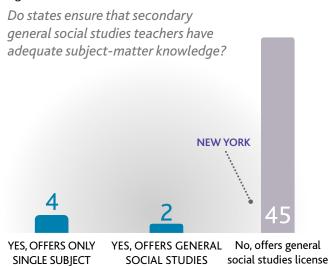
Figure 24

Does a secondary teacher have to pass a content test in every subject area to add an endorsement?



- 1. Strong Practice: Indiana, Minnesota, Tennessee
- 2. Alabama, Arkansas, Connecticut, Delaware, Florida, Georgia, Idaho, Illinois, Kansas, Kentucky, Maine, Massachusetts, Michigan, New Jersey, New York, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, West Virginia, Wisconsin (Science is discussed in Goal 1-G.)
- 3. Alaska, Arizona, California, Colorado, District of Columbia, Hawaii, Iowa, Louisiana, Maryland, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, North Carolina, Washington, Wyoming

Figure 25



- 1. Strong Practice: Georgia, Indiana, South Dakota, Tennessee
- 2. Strong Practice: Minnesota⁴, Missouri

SOCIAL

STUDIES LICENSES¹

3. Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware District of Columbia, Florida, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Mississippi, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma⁵, Oregon, Pennsylvania, Rhode Island, South Carolina, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming

LICENSE WITH

ADEQUATE TESTING²

without adequate

testing3

- 4. Minnesota's test for general social studies is divided into two individually scored subtests.
- 5. Oklahoma offers combination licenses.

Goal G − Secondary Teacher Preparation in Science The state should ensure that secondary science teachers know all the subject matter they are licensed to teach.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require secondary science teachers to pass a subject-matter test in each science discipline they are licensed to teach.
- If a general science or combination science certification is offered, the state should require teachers to pass a subject-matter test in each science discipline they are licensed to teach under those certifications.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



1-G Analysis: New York



State Meets Goal



Progress Since 2011

ANALYSIS

Commendably, New York does not offer certification in general science for secondary teachers. Teachers must be certified in a specific discipline within the subject area of science.

NEW YORK RESPONSE TO ANALYSIS

New York recognized the factual accuracy of this analysis.

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Virginia				
Washington				
West Virginia		1		
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Wyoming				



EXAMPLE OF BEST PRACTICE

Missouri ensures that its secondary science teachers know the content they teach by taking a dual approach to general secondary science certification. The state offers general science certification but only allows these candidates to teach general science courses. Missouri also offers an umbrella certification—called unified science that requires candidates to pass individual subtests in biology, chemistry, earth science and physics. These certifications are offered in addition to single-subject licenses.

^{1.} Teachers with the general science license may only teach general science courses.

^{2.} Georgia's science test consists of two subtests.

Area 1: Delivering Well-Prepared Teachers

Goal H − Special Education Teacher Preparation

The state should ensure that special education teachers know the subject matter they are licensed to teach.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should not permit special education teachers to teach on a K-12 license that does not differentiate between the preparation of elementary teachers and that of secondary teachers.
- All elementary special education candidates should be required to pass a subjectmatter test for licensure that is no less rigorous than what is required of general education candidates.
- 3. The state should ensure that secondary special education teachers possess adequate content knowledge.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



1-H Analysis: New York



State Nearly Meets Goal



Progress Since 2011

ANALYSIS

New York does not offer a K-12 special education certification.

Candidates applying for the 1-6 generalist certificate must pass the elementary multisubjects content test, which is the same test required of the state's general education elementary teachers. The new elementary test consists of three subtests: literacy and English language arts, math, and arts and sciences.

Candidates applying for the 7-12 generalist certificate will be required to pass the newly developed multisubject content test for secondary special education teachers. The test's framework consists of three separately scored sections: literacy and English language arts, math, and arts and sciences. New York predicts that the new test will be fully operational by spring 2014.

Supporting Research

Regulations of the Commissioner of Education, Part 52.21, 52.21(b)(3)(vi) Multiple Subjects Framework http://www.nystce.nesinc.com/PDFs/NY241_242_245_OBJ_DRAFT.pdf

RECOMMENDATION

Ensure that secondary special education teachers possess adequate content knowledge.

Secondary special education teachers are frequently generalists who teach many core subject areas. While New York is on the right track in requiring content testing with separately scored subtests, the state should monitor the rigor of this new test to ensure that it guarantees requisite knowledge needed in the secondary classroom. New York may also want to consider a customized HOUSSE route for new secondary special education teachers and look to the flexibility offered by the Individuals with Disabilities Education Act (IDEA), which allows for a combination of testing and coursework to demonstrate requisite content knowledge in the classroom.

NEW YORK RESPONSE TO ANALYSIS

New York asserted that all special education teachers must also pass a content specialty exam for students with disabilities. The state added that secondary special education teachers can also be certified in a content area, which requires 18 semester hours in the content area and a content specialty examination. If the teacher does not hold certification in the content area, he/she must either co-teach or collaborate with a teacher certified in the content area. Teachers may also use the HOUSSE route as defined by the state if they are not appropriately certified.

Figure 29		Office K-12 and Bade-specific and	(5)41
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and secondary special	SNO.	5 K- 7	Sonly
education teachers?	20 22 y	Offe.	
Alabama		/ ~ / 	Offers only a K-72
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Arizona	ī		
Arkansas			
California			
Colorado			
Connecticut			
Delaware			
District of Columbia			
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Georgia			
Hawaii			
Idaho			
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Utah			
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Virginia			
Washington			
West Virginia			
Wisconsin			
Wyoming			
	16	7	28



EXAMPLES OF BEST PRACTICE

Unfortunately, NCTQ cannot award "best practice" honors to any state's policy in the area of special education. However, two states—New York and Rhode Island—are worthy of mention for taking steps in the right direction in ensuring that all special education teachers know the subject matter they are required to teach. Both states require that elementary special education candidates pass the same elementary content tests, which are comprised of individual subtests, as general education elementary teachers. Secondary special education teachers in New York must pass a newly developed multisubject content test for special education teachers comprised of three separately scored sections. Rhode Island requires its secondary special education teachers to hold certification in another secondary area.

Figure 30

Which states require subject-matter testing for special education teachers?

jor special education leachers?						
ry Subject-Matter Test						
Alabama, Iowa, Louisiana, Massachusetts, New Jersey, NEW YORK, Pennsylvania ¹ , Rhode Island, Texas, West Virginia ² , Wisconsin						
Colorado, Idaho, North Carolina						
Secondary Subject-Matter Test(s)						
NEW YORK ³						
Louisiana, New Jersey, Pennsylvania ¹ , Rhode Island, West Virginia ²						
None						

- In Pennsylvania, a candidate who opts for dual certification in elementary or secondary special education and as a reading specialist does not have to take a content test.
- 2. West Virginia also allows elementary special education candidates to earn dual certification in early childhood, which would not require a content test. Secondary special education candidates earning a dual certification as a reading specialist are similarly exempted.
- 3. New York requires a multi-subject content test specifically geared to secondary special education candidates. It is divided into three subtests.

Figure 29:

Although New Jersey does issue a K-12 certificate, candidates must meet discrete elementary and/or secondary requirements.

Area 1: Delivering Well-Prepared Teachers

Goal I – Assessing Professional Knowledge

The state should use a licensing test to verify that all new teachers meet its professional standards.

Goal Component

(The factor considered in determining the states' rating for the goal.)

 The state should assess new teachers' knowledge of teaching and learning by means of a pedagogy test aligned to the state's professional standards.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



1-I Analysis: New York





State Meets Goal (+) Progress Since 2011

ANALYSIS

New York requires all new teachers to pass a pedagogy test.

Candidates applying for initial certification in New York on or after May 1, 2014, will be required to take and pass the edTPA.

Supporting Research

http://www.nystce.nesinc.com/

RECOMMENDATION

■ Ensure that performance assessments provide a meaningful measure of new teachers' knowledge and skills.

While New York is commended for requiring the use of a performance-based assessment, the state should proceed with caution until additional data are available on the Teacher Performance Assessment. Additional research is needed to determine how the edTPA compares to other teacher tests as well as whether the test's scores are predictive of student achievement. The track record on similar assessments is mixed at best. The two states that currently require the Praxis III performancebased assessment report pass rates of about 99 percent. Given that it takes significant resources to administer a performance-based assessment, a test that nearly every teacher passes is of questionable value.

NEW YORK RESPONSE TO ANALYSIS

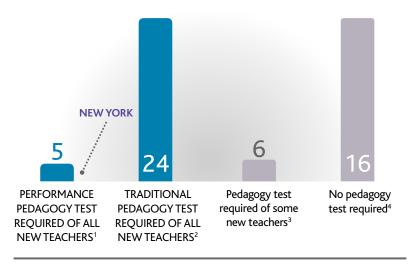
New York had no comment on this goal.



Although NCTQ has not singled out one state's policies for "best practice" honors, it commends the many states that require a pedagogy assessment to verify that all new teachers meet professional standards.

Figure 32

Do states measure new teachers' knowledge of teaching and learning?



- 1. Strong Practice: California, Illinois⁵, New York, Tennessee⁶, Washington
- Strong Practice: Alabama, Arizona, Arkansas, District of Columbia, Florida, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Minnesota, Mississippi, Nevada, New Mexico, North Carolina⁷, North Dakota, Ohio, Oklahoma, Rhode Island, South Carolina, South Dakota, Texas, West Virginia
- 3. Connecticut, Maryland, Missouri, Pennsylvania, Utah⁸, Wyoming
- 4. Alaska, Colorado, Delaware, Georgia, Hawaii, Idaho, Massachusetts, Michigan, Montana, Nebraska, New Hampshire, New Jersey, Oregon, Vermont, Virginia, Wisconsin
- 5. Beginning in 2015.
- 6. Teachers may pass either the edTPA or a Praxis pedagogy test.
- 7. Teachers have until their second year to pass if they attempt to pass during their first year.
- 8. Not required until teacher advances from a Level One to a Level Two license.

Area 1: Delivering Well-Prepared Teachers

Goal J − Student Teaching

The state should ensure that teacher preparation programs provide teacher candidates with a high quality clinical experience.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should require that student teachers only be placed with cooperating teachers for whom there is evidence of their effectiveness as measured by consistent gains in student learning.
- 2. The state should require that teacher candidates spend at least 10 weeks student teaching.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



1-J Analysis: New York



State Does Not Meet Goal



Progress Since 2011

ANALYSIS

New York requires candidates to complete at least two college-supervised student teaching experiences of at least 20 school days each, or at least two college-supervised practica with individual students or groups of students of at least 20 school days each. The state does not articulate any requirements for cooperating teachers.

Supporting Research

Regulations of the Commissioner of Education Part 52.21

RECOMMENDATION

Ensure that cooperating teachers have demonstrated evidence of effectiveness as measured by student learning.

In addition to the ability to mentor an adult, cooperating teachers in New York should also be carefully screened for their capacity to further student achievement. Research indicates that the only aspect of a student teaching arrangement that has been shown to have an impact on student achievement is the positive effect of selection of the cooperating teacher by the preparation program, rather than by the student teacher or school district staff.

- Use evidence from the state's teacher evaluation system to select cooperating teachers.
 - New York requires objective measures of student growth to be a significant criterion of its teacher evaluations. The state should therefore utilize its evaluation results, which provide evidence of effectiveness in the classroom, in the selection of effective cooperating teachers.
- Require teacher candidates to spend at least 10 weeks student teaching.
 - New York should require a more extensive summative clinical experience for all prospective teachers. Student teaching should be a full-time commitment, as requiring coursework and student teaching simultaneously does a disservice to both. Alignment with a school calendar for at least 10 weeks ensures both adequate classroom experience and exposure to a variety of ancillary professional activities.
- Explicitly require that student teaching be completed locally, thus prohibiting candidates from completing this requirement abroad.

Unless preparation programs can establish true satellite campuses to closely supervise student teaching arrangements, placement in foreign or otherwise novel locales should be supplementary to a standard student teaching arrangement. Outsourcing the arrangements for student teaching makes it impossible to ensure the selection of the best cooperating teacher and adequate supervision of the student teacher and may prevent training of the teacher on relevant state instructional frameworks.

NEW YORK RESPONSE TO ANALYSIS

New York recognized the factual accuracy of this analysis. The state added that it offers a waiver process for programs that wish to implement a different approach to student teaching that fosters clinically rich experiences, and that it continues to gather input on the waiver process and criteria for review from the field.

New York also noted that while it does not currently stipulate the requirements of cooperating teachers, the teacher preparation programs do have selection and placement criteria to ensure that candidates have field experiences that prepare them for teaching. Further, student teacher and field supervision is cited in the Governor's Education Reform Commission Report, and the state is currently gathering input from its Professional Standards and Practices Board and higher education institutions regarding current regulations and research. The Commission will issue final recommendations in Fall 2013.

Supporting Research

http://www.governor.ny.gov/puttingstudentsfirst

Figure 34		/
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Do states ensure a	9 A 9 A	AG 12
high-quality student	84 73 845 7655	
teaching experience?	O O O O O O O O O O O O O O O O O O O	700E 575 A
		~ 3
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Arizona		
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California		
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Utah		
Vermont		
Virginia		
Washington		
West Virginia		1
Wisconsin		
Wyoming		

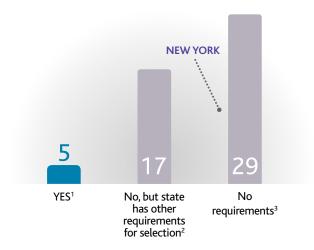


EXAMPLES OF BEST PRACTICE

Florida, Rhode Island and Tennessee not only require teacher candidates to complete at least 10 weeks of full-time student teaching, but they also all require that cooperating teachers have demonstrated evidence of effectiveness as measured by student learning.

^{1.} West Virginia allows candidates to student teach for less than 12 weeks if determined to be proficient.

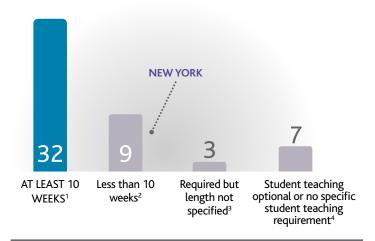
Figure 35
Is the selection of the cooperating teacher based on some measure of effectiveness?



- 1. Strong Practice: Florida, Illinois, Massachusetts, Rhode Island, Tennessee
- Alabama, Arkansas, Connecticut, Delaware, Indiana, Kentucky, Missouri, Nebraska, New Hampshire, New Jersey, North Dakota, Oklahoma, Pennsylvania, Texas, Vermont, Washington, Wisconsin
- Alaska, Arizona, California, Colorado, District of Columbia, Georgia, Hawaii, Idaho, Iowa, Kansas, Louisiana, Maine, Maryland, Michigan, Minnesota, Mississippi, Montana, Nevada, New Mexico, New York, North Carolina, Ohio, Oregon, South Carolina, South Dakota, Utah, Virginia, West Virginia, Wyoming

Figure 36

Is the student teaching experience of sufficient length?



- Strong Practice: Alabama, Arkansas, Connecticut, Delaware, Florida, Georgia, Hawaii, Iowa, Kansas, Kentucky, Maine, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nebraska, New Jersey, North Carolina, North Dakota, Ohio, Oklahoma, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Vermont, Washington, West Virginia^s, Wisconsin
- 2. Idaho, Indiana, Louisiana, Nevada, New Mexico, New York, Oregon, Virginia, Wyoming
- 3. Illinois, New Hampshire, Utah
- ${\bf 4.\ Alaska, Arizona,\ California,\ Colorado,\ District\ of\ Columbia,\ Maryland,\ Montana}$
- West Virginia allows candidates to student teach for less than 12 weeks if determined to be proficient.

Area 1: Delivering Well-Prepared Teachers

▶Goal K – Teacher Preparation Program Accountability

The state's approval process for teacher preparation programs should hold programs accountable for the quality of the teachers they produce.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should collect data that connects student achievement gains to teacher preparation programs.
 Such data can include value added or growth analyses conducted specifically for this purpose or evaluation ratings that incorporate objective measures of student learning to a significant extent.
- 2. The state should collect other meaningful data that reflect program performance, including some or all of the following:
 - a. Average raw scores of teacher candidates on licensing tests, including academic proficiency, subject-matter and professional-knowledge tests;
 - b. Number of times, on average, it takes teacher candidates to pass licensing tests;
 - c. Satisfaction ratings by school principals and teacher supervisors of programs' student teachers, using a standardized form to permit program comparison and
 - d. Five-year retention rates of graduates in the teaching profession.
- 3. The state should establish the minimum standard of performance for each category of data. Programs should be held accountable for meeting these standards, with articulated consequences for failing to do so, including loss of program approval.
- 4. The state should produce and publish on its website an annual report card that shows all the data the state collects on individual teacher preparation programs.
- 5. The state should retain full authority over its process for approving teacher preparation programs.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy

Figure 37 How States are Faring in Teacher Preparation Program Accountability **Best Practice States** State Meets Goal Louisiana 10 States Nearly Meet Goal Alabama, Colorado, Delaware 1, Florida, Rhode Island 1, Tennessee, Texas States Partly Meet Goal Indiana 1, Kentucky, Massachusetts 1, Michigan, Nevada, South Carolina, Washington 1, Wisconsin 1 18 States Meet a Small Part of Goal Arizona, California 1, Illinois, Iowa, Kansas 1, Maine 1, Maryland, Mississippi, Missouri, Montana, New Hampshire 1, New Jersey, Oklahoma, Oregon 1, Pennsylvania, Vermont, Virginia, West Virginia 14 States Do Not Meet Goal Alaska, Arkansas, Connecticut, District of Columbia, Hawaii, Idaho, Minnesota, Nebraska, New Mexico, NEW YORK, North Dakota, South Dakota, Utah, Wyoming Progress on this Goal Since 2011: **1**:13 **:** 38

1-K Analysis: New York



State Does Not Meet Goal



Progress Since 2011

ANALYSIS

New York's approval process for teacher preparation programs does not hold programs accountable for the quality of the teachers they produce.

Most importantly, New York does not collect or report data that connect student achievement gains to teacher preparation programs.

The state also fails to collect other objective, meaningful data to measure the performance of teacher preparation programs, and it does not apply any transparent, measurable criteria for conferring program approval. New York gathers programs' annual summary licensure test pass rates (80 percent of program completers must pass their licensure exams). However, the 80 percent pass-rate standard, while common among many states, sets the bar quite low and is not a meaningful measure of program performance.

The state's website does not include a report card that allows the public to review and compare program performance.

As part of Race to the Top, the state has articulated plans to link student achievement and growth data to preparation programs and use these data as part of its program approval criteria. It appears that the state plans to publish initial reports with student growth results tied to candidates in fall 2013.

In New York, there is some overlap of accreditation and state approval. Review teams are comprised solely of NCATE/CAEP members, and the state has delegated its program review process to NCATE/CAEP. State regulations articulate that national accreditation is required but can also be satisfied with either a regent's accreditation process or an acceptable professional education accreditation association using equivalent standards.

Supporting Research

Regulations of the Commissioner of Education 52.21
Race to the Top
http://www.highered.nysed.gov/pdf/feebackrpt072012.pdf
www.ncate.org

RECOMMENDATION

Collect data that connect student achievement gains to teacher preparation programs.

As one way to measure whether programs are producing effective classroom teachers, New York should consider the academic achievement gains of students taught by programs' graduates, averaged over the first three years of teaching. Data that are aggregated to the institution (e.g., combining elementary and secondary programs) rather than disaggregated to the specific preparation program are not useful for accountability purposes. Such aggregation can mask significant differences in performance among programs. While New York has outlined its intentions to collect this data as part of Race to the Top, the state should codify these requirements.

■ Gather other meaningful data that reflect program performance.

Although measures of student growth are an important indicator of program effectiveness, they cannot be the sole measure of program quality for several reasons, including the fact that many programs may have graduates whose students do not take standardized tests. The accountability system must therefore include other objective measures that show how well programs are preparing teachers for the classroom, such as:

- Evaluation results from the first and/or second year of teaching;
- Satisfaction ratings by school principals and teacher supervisors of programs' student teachers, using a standardized form to permit program comparison;
- Average raw scores of teacher candidates on licensing tests, including academic proficiency, subject matter and professional knowledge tests;
- Number of times, on average, it takes teacher candidates to pass licensing tests; and
- Five-year retention rates of graduates in the teaching profession.

■ Establish the minimum standard of performance for each category of data.

Merely collecting the types of data described above is insufficient for accountability purposes. The next and perhaps more critical step is for the state to establish precise minimum standards for teacher preparation program performance for each category of data. New York should be mindful of setting rigorous standards for program performance, as its current requirement that 80 percent of program completers must pass their licensing exams is too low a bar. Programs should be held accountable for meeting rigorous standards, and there should be consequences for failing to do so, including loss of program approval.

Publish an annual report card on the state's website.

New York should produce an annual report card that shows all the data the state collects on individual teacher preparation programs, which should be published on the state's website at the program level for the sake of public transparency. Data should be presented in a manner that clearly conveys whether programs have met performance standards.

Maintain full authority over teacher preparation program approval.

New York should ensure that it is the state that considers the evidence of program performance and makes the decision about whether programs should continue to be authorized to prepare teachers.

NEW YORK RESPONSE TO ANALYSIS

New York asserted that all state educator programs leading to teacher/leadership certification must be reviewed and approved for registration by the state's Office of College and University Evaluation. All programs must meet accreditation requirements within seven years of the date of their first registration. They also must be continuously accredited by a nationally recognized accrediting association with standards equivalent to those in the Commissioner's Regulations, or by the Board of Regents, through the Regents Accreditation of Teacher Education (RATE) process. Currently, accreditation of educator programs occurs through NCATE/TEAC/CAEP. On-site accreditation review teams are comprised of NCATE/TEAC/CAEP members. In addition, state staff are actively involved in the accreditation process and conduct a paper review of programs and reports during off-site and on-site visits and post-visit reporting via written and conference call correspondence. Though still allowable under current regulations, up until December 31, 2013, RATE accreditation can no longer be satisfied through the Regents Accreditation review process, as the Board of Regents acted to discontinue RATE in 2010.

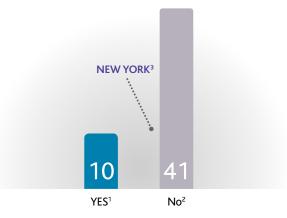
Figure 38	OBJECTIVE PROGRAM.		DATA PUBLICAY AVALLABLE ON WESSITE
Do states hold teacher	\$ 5 \$ 5 \$ 5	7	A DI
preparation programs	PAT PAT	1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	PUBL
accountable?	SPECIFIC.	MINIMUM STANDARD FOR PERFORMANCE CO.	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
Alabama		1	
Alaska			
Arizona			
Arkansas			
California			
Colorado			
Connecticut Delaware	_		
District of Columbia			
Florida			2
Georgia			
Hawaii			
Idaho			
Illinois			
Indiana			
lowa			
Kansas			
Kentucky			2
Louisiana			2
Maine	1		
Maryland	3		
Massachusetts			
Michigan		1	
Minnesota	Ē	ī	
Mississippi	1		
Missouri			
Montana	1		
Nebraska			
Nevada ¹			
New Hampshire			
New Jersey	1		
New Mexico			
NEW YORK			
North Carolina			2
North Dakota			
Ohio ¹			
Oklahoma			
Oregon			
Pennsylvania	1		
Rhode Island			
South Carolina ¹			
South Dakota			
Tennessee			
Texas			
Utah			
Vermont			
Virginia	■¹		Ц
Washington			
West Virginia	1		
Wisconsin			
Wyoming			
	36	4	19



EXAMPLES OF BEST PRACTICE

NCTQ is not awarding "best practice" honors to any state's policy in the area of teacher preparation program accountability. However, the following states should be commended for collecting data that connect student achievement gains to teacher preparation programs: Colorado, Delaware, Florida, Georgia, Louisiana, North Carolina, Ohio, Rhode Island, Tennessee and Texas.

Figure 39 Do states connect student achievement data to teacher preparation programs?



- 1. Strong Practice: Colorado, Delaware, Florida, Georgia, Louisiana, North Carolina, Ohio, Rhode Island, Tennessee, Texas
- 2. Alabama, Alaska, Arizona, Arkansas, California, Connecticut, District of Columbia^a, Hawaii^a, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Maryland^a, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York³, North Dakota, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 3. Included in state's Race to the Top plan, but not in policy or yet implemented.

- $1. \ For \ traditional \ preparation \ programs \ only.$
- 2. State does not distinguish between alternate route programs and traditional preparation programs in public reporting.
- 3. For alternate routes only.

Figure 40

Which states collect meaningful data?

STUDENT LEARNING GAINS

Colorado, Delaware, Florida, Georgia, Louisiana, North Carolina, Ohio, Rhode Island, Tennessee, Texas

EVALUATION RESULTS FOR PROGRAM GRADUATES

Alabama, Arizona, Florida, Illinois, Massachusetts, North Carolina, South Carolina, Tennessee, Texas

AVERAGE RAW SCORES ON LICENSING TESTS

Alabama, Indiana, Kentucky, Louisiana, Michigan, New Jersey, Ohio, Tennessee, Texas, Washington, West Virginia

SATISFACTION RATINGS FROM SCHOOLS

Alabama, Arizona, Florida, Iowa, Kentucky, Maryland¹, Massachusetts, Michigan, Mississippi, Missouri, Nevada, New Jersey, Tennessee, Texas, Vermont, Virginia, Washington, West Virginia

TEACHER RETENTION RATES

Arizona, Colorado, Florida, Indiana, Maine, Missouri, New Hampshire, New Jersey, Tennessee, Texas

1. For alternate route only

Figure 41

What is the relationship between state program

approval and national

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accreditation?

Alabama Alaska

Arizona

Arkansas

California

Colorado

Delaware

Florida

Georgia

Hawaii

Idaho

Illinois

Indiana

Kansas

Kentucky

Louisiana Maine

Maryland

Michigan

Minnesota

Mississippi

Missouri

Montana

Nebraska

New Hampshire New Jersey

New Mexico

North Dakota

Ohio

NEW YORK North Carolina

Nevada

Massachusetts

Iowa

Connecticut

District of Columbia

National accreditation is required for program approval

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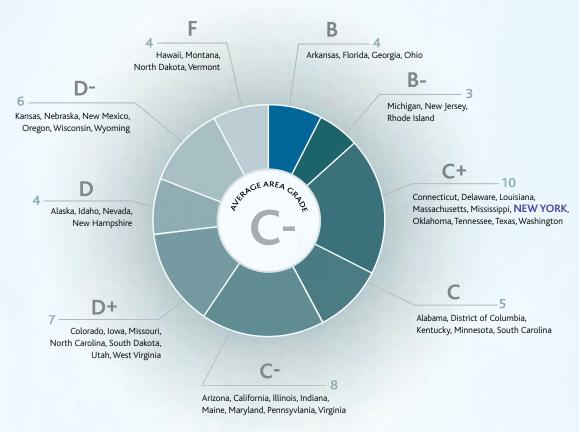
Oklahoma П Oregon Pennsylvania Rhode Island South Carolina South Dakota Tennessee Texas Utah П П Vermont Virginia Washington West Virginia Wisconsin Wyoming 1. National accreditation can be substituted for state approval. 2. For institutions with 2,000 or more full-time equivalent students 7 31

Area 2 Summary



How States are Faring in Expanding the Pool of Teachers

State Area Grades



Topics Included In This Area

- 2-A: Alternate Route Eligibility
- 2-B: Alternate Route Preparation
- 2-C: Alternate Route Usage and Providers
- 2-D: Part-Time Teaching Licenses
- 2-E: Licensure Reciprocity

Area 2: Expanding the Teaching Pool

Goal A − Alternate Route Eligibility

The state should require alternate route programs to exceed the admission requirements of traditional preparation programs while also being flexible to the needs of nontraditional candidates.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- With some accommodation for work experience, alternate route programs should set a rigorous bar for program entry by requiring that candidates take a rigorous test to demonstrate academic ability, such as the GRE.
- All alternate route candidates, including elementary candidates and those having a major in their intended subject area, should be required to pass the state's subject-matter licensing test.
- 3. Alternate route candidates lacking a major in the intended subject area should be able to demonstrate subject-matter knowledge by passing a test of sufficient rigor.



The components for this goal have changed since 2011. In light of state progress on this topic, the bar for this goal has been raised.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy

Figure 42 How States are Faring in Alternate Route Eligibility **Best Practice States** District of Columbia, Michigan State Meets Goal Minnesota 13 States Nearly Meet Goal Arizona, Arkansas, Connecticut, Florida, Louisiana, Maine, Massachusetts, Mississippi, New Jersey 1, Ohio, Oklahoma, Rhode Island, Washington 11 States Partly Meet Goal Alabama, Delaware, Illinois, Indiana, Iowa, Kentucky, NEW YORK, Pennsylvania, Tennessee, Texas 1, Virginia 15 States Meet a Small Part of Goal California, Colorado, Georgia, Idaho, Kansas, Maryland, Missouri, Nevada, New Hampshire, North Carolina, Oregon, South Carolina, South Dakota, Vermont, West Virginia States Do Not Meet Goal Alaska, Hawaii, Montana, Nebraska, New Mexico, North Dakota, Utah, Wisconsin, Wyoming Progress on this Goal Since 2011: **1**:2 • : 49

2-A Analysis: New York



State Partly Meets Goal



Bar Raised for this Goal



Progress Since 2011

ANALYSIS

New York offers three alternate routes: The Alternative Teacher Preparation (ATP) program-Transitional B, the Intensive Program-Transitional C and the Transitional G. The ATP program-Transitional B requires applicants to show evidence of above-average academic performance with a minimum 3.0 GPA requirement. Transitional B candidates planning to teach at the secondary level must have a major, or 30 semester hours of coursework, in the subject they plan to teach. Elementary level candidates must have a liberal arts degree. Transitional G is limited to college professors with advanced degrees in science, technology, engineering and mathematics (STEM) areas. To qualify, an applicant must have a graduate degree in the specific subject to be taught, two years of teaching experience at a post-secondary institution, and pass the Liberal Arts and Sciences test.

Applicants with an advanced academic or professional degree may apply for the Intensive Program-Transitional C Certificate. There is no minimum GPA requirement for candidates in this route; however, applicants must complete two hours of coursework on the identification and reporting of child abuse and two hours in school violence prevention and intervention.

All applicants must pass a basic skills test and a content specialty test prior to entering the classroom. The subject-matter test cannot be used to test out of the coursework requirements.

Supporting Research

Alternative Teacher Preparation http://www.highered.nysed.gov/ocue/spr/AlternativeTeacherPreparationPrograms.htm Transitional G Certificate http://www.highered.nysed.gov/tcert/certificate/stem.html

RECOMMENDATION

Screen all candidates for academic ability.

Although New York requires Transitional B applicants to demonstrate prior academic performance, the state should require that all candidates provide some evidence of good academic performance. As is the case for Transitional B candidates, the standard should be higher than what is required of traditional teacher candidates, such as a GPA of 3.0 or higher. A rigorous test appropriate for candidates who have already completed a bachelor's degree, such as the GRE, would be ideal.

Offer flexibility in fulfilling coursework requirements.

New York should allow any candidate who already has the requisite knowledge and skills to demonstrate such by passing a rigorous test. Exacting coursework requirements could dissuade talented individuals who lack precisely the right courses from pursuing a career in teaching.

Eliminate basic skills test requirement.

New York's requirement that alternate route candidates pass a basic skills test is impractical and ineffectual. Basic skills tests measure minimum competency—essentially those skills that a person should have acquired in middle school—and are inappropriate for candidates who have already earned a bachelor's degree. Passage of a basic skills test provides no assurance that the candidate has the appropriate subject-matter knowledge needed for the classroom. A test designed for individuals who already have a bachelor's degree, such as the GRE, would be a much more appropriate measure of academic standing. At a minimum, the state should eliminate the basic skills test requirement or accept the equivalent in SAT or ACT scores.

NEW YORK RESPONSE TO ANALYSIS

New York was helpful in providing NCTQ with facts that enhanced this analysis.

New York added that all applicants must pass the NYSTCE Liberal Arts and Sciences test and the NYSTCE Content Speciality Test prior to entering the classroom. The NYSTCE Liberal Arts and Sciences test was designed to test for the knowledge of mathematics, science, technology, history, art and literature to ensure that the teacher recognizes fundamental connections among diverse disciplines and is prepared to teach effectively in New York State schools. This is not an examination designed to test for skills acquired in middle school, rather it is a complex assessment of general knowledge necessary for effective instruction in a P-12 classroom.

The new and revised certification tests will replace what is referred to as the "basic skills test" requirement. The state also referenced its response to Goal 1-B regarding the rigor of the new certification examinations.

Supporting Research

Commissioner's Regulations 52.21(xvii)(b)(1)(ii)

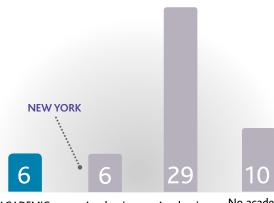
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** EXAMPLES OF BEST PRACTICE

The District of Columbia and Michigan require candidates to demonstrate aboveaverage academic performance as a condition of admission to an alternate route program, with both requiring applicants to have a minimum 3.0 GPA. In addition, neither requires a content-specific major; subjectarea knowledge is demonstrated by passing a test, making their alternate routes flexible to the needs of nontraditional candidates.

Figure 44 Do states require alternate routes to be selective?



ACADEMIC STANDARD **EXCEEDS THAT** OF TRADITIONAL PROGRAMS FOR ALL ROUTES/ MAIN ROUTE1

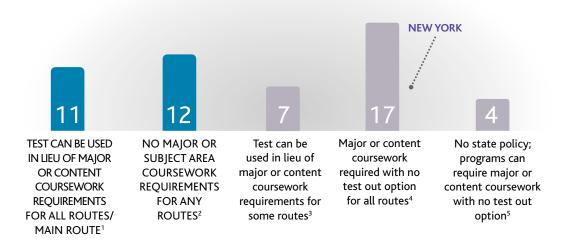
Academic standard exceeds that of traditional programs for some routes² Academic standard too low for all routes³

No academic standard for any route4

- 1. Strong Practice: Connecticut, District of Columbia, Michigan, Minnesota, New Jersey, Rhode Island
- 2. Alabama, Illinois⁵, Indiana, Kentucky⁶, New York, Pennsylvania
- 3. Alaska, Arkansas, California, Colorado, Delaware, Florida, Georgia, Iowa, Kansas, Louisiana, Maryland, Massachusetts, Mississippi, Missouri, Nebraska, Nevada, New Hampshire, North Carolina, Ohio, Oklahoma, South Dakota, Tennessee, Texas, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 4. Arizona, Hawaii, Idaho, Maine, Montana, New Mexico, North Dakota, Oregon, South Carolina, Utah
- 5. Illinois' routes are in the process of converting to a single new license.
- 6. Only one of Kentucky's eight alternate routes has a 3.0 GPA requirement.

Figure 45

Do states accommodate the nontraditional background of alternate route candidates?



- Strong Practice: Alabama, California, Colorado, Florida, Georgia, Maine, North Carolina, Oklahoma, Rhode Island, Tennessee, Texas
- 2. Strong Practice: Arizona, Arkansas, District of Columbia, Illinois, Iowa, Louisiana, Massachusetts, Michigan, Minnesota, Mississippi, Ohio, Washington
- 3. Connecticut, Delaware, Kentucky, Maryland, Oregon, Pennsylvania, Virginia
- 4. Alaska, Indiana, Kansas, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New York, South Carolina, South Dakota, Utah, Vermont, West Virginia, Wisconsin, Wyoming
- 5. Hawaii, Idaho, New Mexico, North Dakota

Area 2: Expanding the Teaching Pool

➤ Goal B – Alternate Route Preparation

The state should ensure that its alternate routes provide efficient preparation that is relevant to the immediate needs of new teachers, as well as adequate mentoring and support.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should ensure that the amount of coursework it either requires or allows is manageable for a novice teacher. Anything exceeding 12 credit hours of coursework in the first year may be counterproductive, placing too great a burden on the teacher. This calculation is premised on no more than 6 credit hours in the summer, three in the fall and three in the spring.
- 2. The state should ensure that alternate route programs offer accelerated study not to exceed six (three credit) courses for secondary teachers and eight (three credit) courses for elementary teachers (exclusive of any credit for practice teaching or mentoring) over the duration of the program. Programs should be limited to two years, at which time the new teacher should be eligible for a standard certificate.
- 3. All coursework requirements should target the immediate needs of the new teacher (e.g., seminars with other grade-level teachers, training in a particular curriculum, reading instruction, classroom management techniques).
- 4. The state should require intensive induction support, beginning with a trained mentor assigned full time to the new teacher for the first critical weeks of school and then gradually reduced over the course of the entire first year. The state should support only induction strategies that can be effective even in a poorly managed school: intensive mentoring, seminars appropriate to grade level or subject area, a reduced teaching load and frequent release time to observe effective teachers. Ideally, candidates would also have an opportunity to practice teach in a summer training program.



The components for this goal have changed since 2011. In light of state progress on this topic, the bar for this goal has been raised.



Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy

2-B Analysis: New York



State Partly Meets Goal



Bar Raised for this Goal (Progress Since 2011



ANALYSIS

Candidates in New York's Transition B route must complete 200 clock hours of coursework, including 40 clock hours of field experiences. At least six of the field-based hours must be focused on meeting the needs of students with disabilities. The state has set a wide range of coursework for Transition B candidates to complete. In addition to learning about child development, instructional planning and classroom management, new teachers must also be instructed in such coursework as the historical, social and legal foundations of education and instructing students in the prevention of child abduction.

New York provides no specific guidelines about the nature or quantity of coursework for its Transition C alternate route. There is no limit on the amount of coursework that can be required overall, nor on the amount of coursework a candidate can be required to take while also teaching.

Both Transition B and C routes allow colleges to set the time frame for completion of their alternate route programs. Most programs are intended to be completed in two years, but this may vary, and some may require up to three years.

Transition C teachers must teach for three years to be eligible for standard certification. Transition B alternate route candidates are eligible to receive full certification within two years.

Transition B candidates receive intensive mentoring during their first eight weeks and receive continued support during the remainder of the time the candidate is enrolled in the program and teaching. Program faculty, the school principal, the mentor and the candidate are required to meet at least once every three months during the first year of mentored teaching and periodically thereafter.

Transition C candidates receive mentoring for two years. The state requires that daily mentoring occur for at least the first 20 days of teaching.

Transitional G candidates must participate in workshops, and the district must provide mentoring and appropriate professional development in the areas of pedagogy. After two years, the Transitional G candidate is eligible for an initial certificate.

Supporting Research

New York Commissioner's Regulations Part 52.21(b)(3)

Transitional G Certificate

http://www.highered.nysed.gov/tcert/certificate/stem.html

RECOMMENDATION

Establish coursework guidelines for all alternate route preparation programs.

The state should articulate guidelines regarding the nature and amount of coursework required of candidates. Requirements should be manageable and contribute to the immediate needs of new teachers. Appropriate coursework should include grade-level or subject-level seminars, methodology in the content area, classroom management, assessment and scientifically based early reading instruction.

Ensure that coursework is relevant to the immediate needs of new teachers.

The nature of coursework outlined for Transition B candidates seems to reflect the preparation typical of a traditional program, not a streamlined one designed to meet the immediate needs of new teachers. However constructive, any course that is not fundamentally practical and immediately necessary should be eliminated as a requirement.

Ensure program completion in fewer than two years.

New York should consider shortening the length of time it takes an alternate route teacher to earn standard certification. The route should allow candidates to earn full certification no later than the end of the second year of teaching.

NEW YORK RESPONSE TO ANALYSIS

New York noted that the introductory component for the Transitional-B route is completed prior to the candidate becoming the teacher of record. According to the Commissioner's Regulations the introductory component "shall include pedagogical core study of at least 200 hours, including field experience.

The state also indicated that in addition to the mentoring requirements defined by regulations to support a Transitional-B teacher once employed as the teacher of record in the classroom, current regulations also require that the classwork and seminars being offered to the Transitional-B candidate is designed in a manner that successfully links educational theory with classroom experience.

Both the Transitional-B and the Transitional-C programs permit candidates to meet part of the course-work requirements using assessment methods to ensure that the candidates possess the knowledge, understanding and skills that would be acquired through this coursework. Examples of methods of assessment include testing, portfolio reviews and demonstration of the required knowledge and skills.

Supporting Research

Commissioner's Regulations 52.21(b)(3)(xvii)(b)(2); (b)(3)(xvi)(b)(1); (b)(3)(xvii)(a)(3)

Figure 47		RELEVANT COURCE	REASONABLE PROGRAM LES	PRACTICE TEACHING	MIENSIVESUPPORT
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EXAMPLES OF BEST PRACTICE

Delaware and **New Jersey** ensure that alternate routes provide efficient preparation that meets the needs of new teachers. Both states require a manageable number of credit hours, relevant coursework, a field placement and intensive mentoring.

Area 2: Expanding the Teaching Pool

➤ Goal C – Alternate Route Usage and Providers

The state should provide an alternate route that is free from limitations on its usage and allows a diversity of providers.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should not treat the alternate route as a program of last resort or restrict the availability of alternate routes to certain subjects, grades or geographic areas.
- The state should allow districts and nonprofit organizations other than institutions of higher education to operate alternate route programs.
- 3. The state should ensure that its alternate route has no requirements that would be difficult to meet for a provider that is not an institution of higher education (e.g., an approval process based on institutional accreditation).

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



2-C Analysis: New York





State Meets Goal (Progress Since 2011

ANALYSIS

New York does not place restrictions on the usage or providers of its alternate routes.

New York is commended for having no restrictions on the usage of its alternate routes with regard to subject, grade or geographic areas. The Transitional G certificate, available only to college professors with advance degrees, is an exception; it is limited to Science, Technology, Engineering and Mathematics (STEM) at the secondary level.

New York has broadened the providers of its alternate routes to include nonprofit organizations, ending its limitation that alternate route programs can only be offered by colleges and universities in partnership with local school districts. The state is commended for structuring its programs to allow a diversity of providers. A good diversity of providers helps all programs, both university- and nonuniversity-based, to improve.

Supporting Research

Alternative Teacher Preparation Program http://www.highered.nysed.gov/tcert/certificate/teachalt.html Transitional G Certificate http://www.highered.nysed.gov/tcert/certificate/stem.html Amended Regulations of the Commissioner of Education, Part 52.21 (b)(3)

NEW YORK RESPONSE TO ANALYSIS

New York recognized the factual accuracy of this analysis.

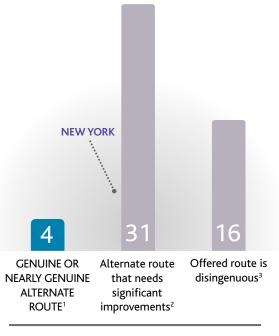
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** EXAMPLES OF BEST PRACTICE

Twenty-three states meet this goal, and although NCTQ has not singled out one state's policies for "best practice" honors, it commends all states that pemit both broad usage and a diversity of providers for their alternate routes.

Figure 50 Do states provide real alternative pathways to certification?



- 1. Strong Practice: Connecticut, Florida, New Jersey, Rhode Island
- 2. Alabama, Arizona, Arkansas, California, Colorado, Delaware, District of Columbia, Georgia, Illinois, Indiana, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nevada, New York, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Virginia, Washington, West Virginia
- 3. Alaska, Hawaii, Idaho, Iowa, Kansas, Montana, Nebraska, New Hampshire, New Mexico, North Carolina, North Dakota, Oregon, Utah, Vermont, Wisconsin, Wyoming

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Area 2: Expanding the Teaching Pool

➤ Goal D – Part-Time Teaching Licenses

The state should offer a license with minimal requirements that allows content experts to teach part time.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- Either through a discrete license or by waiving most licensure requirements, the state should license individuals with content expertise as part-time instructors.
- All candidates for a part-time teaching license should be required to pass a subjectmatter test.
- 3. Other requirements for this license should be limited to those addressing public safety (e.g., background screening) and those of immediate use to the novice instructor (e.g., classroom management training).

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



2-D Analysis: New York



State Meets a Small Part of Goal



Progress Since 2011

ANALYSIS

New York offers a Visiting Lecturer license with minimal requirements, although it is unclear whether the license was designed to be used part time.

According to state requirements, "at the request of a superintendent of schools, a license may be issued to an individual who has unusual qualifications in a specific subject." The Visiting Lecturer License is designed to supplement the regular program of instruction.

The state does not provide additional guidelines for obtaining a Visiting Lecturer License.

Supporting Research

New York Visiting Lecturer

http://www.highered.nysed.gov/tcert/certificate/typesofcerts.html#lecturer

RECOMMENDATION

Offer a license that allows content experts to serve as part-time instructors.

It is unclear whether the Visiting Lecturer License serves as a vehicle for individuals with deep subject-area knowledge to teach a limited number of courses without fulfilling a complete set of certification requirements. It appears that this may be the intent of the license; however, state policy does not describe the conditions of employment, whether it is for part-time or full-time teaching or requirements that candidates must fulfill.

Require applicants to pass a subject-matter test.

Although this license is designed to enable distinguished individuals to teach, New York should still require a subject-matter test. While documentation provided by the applicant may show evidence of expertise in a particular field, only a subject-matter test ensures that Visiting Lecturer teachers know the specific content they will need to teach.

NEW YORK RESPONSE TO ANALYSIS

New York commented that the Visiting Lecturer permit is intended to be for a part-time person with exceptional qualifications to assist the teacher in a certain aspect of their program. The permit is valid for one year. A visiting lecturer must have exceptional qualifications in an area that a normal classroom teacher would not; therefore, the state would not have a subject-matter test established.

Figure 53 Do states offer a license with minimal requirements that allows content experts to teach part-time? YES Š Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho П Illinois Indiana Iowa Kansas Kentucky Louisiana Maine П П Maryland Massachusetts П Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire New Jersey П П New Mexico **NEW YORK** North Carolina North Dakota Ohio Oklahoma Oregon П П Pennsylvania Rhode Island South Carolina South Dakota Tennessee Texas Utah П П Vermont Virginia Washington West Virginia Wisconsin Wyoming 10 12 29



TEXAMPLE OF BEST PRACTICE

Georgia offers a license with minimal requirements that allows content experts to teach part time. Individuals seeking this license must pass a subject-matter test and will be assigned a mentor.

Area 2: Expanding the Teaching Pool

➤ Goal E – Licensure Reciprocity

The state should help to make licenses fully portable among states, with appropriate safeguards.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should offer a standard license to fully certified teachers moving from other states, without relying on transcript analysis or recency requirements as a means of judging eligibility. The state can and should require evidence of effective teaching in previous employment.
- 2. The state should uphold its standards for all teachers by insisting that certified teachers coming from other states meet its own testing requirements.
- The state should accord the same license to teachers from other states who completed an approved alternate route program as it accords teachers prepared in a traditional preparation program.
- 4. Consistent with these principles of portability, state requirements for online teachers based in other states should protect student interests without creating unnecessary obstacles for teachers.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



2-E Analysis: New York



State Partly Meets Goal



Progress Since 2011

ANALYSIS

Commendably, New York provides testing waivers only to teachers who have attained National Board Certification. All other out-of-state teachers, no matter how many years of experience they have, must meet New York's passing scores on licensing tests. The state also allows out-of-state teachers to teach on its Conditional Initial Certificate for two years to satisfy the examination requirements.

However, other aspects of the state's policy create obstacles for teachers from other states seeking licensure in New York. Teachers with comparable out-of-state certificates are eligible for New York's standard license. Applicants are required to complete an approved teacher education program; alternate route teachers must have three years of experience within the last seven years. Those who lack three years of experience must submit transcripts for review.

Although New York requires all online teachers to be certificated, it is not clear whether teachers outside New York must meet the state's certification requirements.

Supporting Research

Interstate Reciprocity
www.highered.nysed.gov/tcert/certificate/teachrecother.html
Part 100 Regulations, 100.5

RECOMMENDATION

■ Require out-of-state teachers to pass licensing tests within one year.

Two years in the classroom without meeting the state's testing requirements is too long. New York should ensure that all out-of-state teachers meet its testing standards in their first year of teaching in the state.

Offer a standard license to certified out-of-state teachers, absent unnecessary requirements.

New York's policy regarding submission of transcripts would appear to imply that, lacking a clear match with New York's own professional requirements, the teacher would have to begin anew, repeating some, most or all of a preparation program in New York. State policies that discriminate against teachers who were prepared in an alternate route are not supported by evidence. In fact, a substantial body of research has failed to discern differences in effectiveness between alternate and traditional route teachers.

Require evidence of effective teaching when determining eligibility for full certification.

Rather than rely on transcripts to assess credentials, New York should instead require that evidence of teacher effectiveness be considered for all out-of-state candidates. Such evidence is especially important for candidates who come from states that make student growth at least a significant factor of a teacher evaluation (see Goal 3-B).

Accord the same license to out-of-state alternate route teachers as would be accorded to traditionally prepared teachers.

New York should reconsider its recency requirement regarding experience for alternate route teachers, as it may deter talented teachers from applying for certification. New York should also ensure that its experience requirement does not preclude fully certified alternate route teachers who have completed their preparation from obtaining reciprocal licensure. For example, certified Teach For America teachers who have fulfilled their two-year commitment in other states should be eligible for licensure in New York.

■ Ensure that requirements for online teachers are as rigorous as those for in-state teachers.

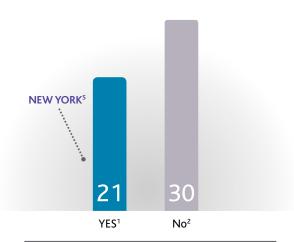
New York should ensure that online teachers based in other states are at least equally as qualified as those who teach in the state. However, New York should balance the interests of its students in having qualified online instructors with making certain that these requirements do not create unnecessary obstacles for out-of-state teachers.

NEW YORK RESPONSE TO ANALYSIS

New York asserted that any teacher of state public school students must be certified by New York regardless of the medium of instruction. The state added that it ensures that all teachers have the skills and abilities necessary to teach in the state, and that their preparation is equivalent to a New York-registered teacher education program. New York is looking at a possible regulation change for out-of-state candidates.

Figure 55

Do states require all out-of-state teachers to pass their licensure tests?



- Strong Practice: Alabama, Alaska³, Idaho, Illinois, Indiana, Iowa, Maine⁴, Massachusetts³, Minnesota, New York⁵, North Carolina, North Dakota, Ohio, Oklahoma, Pennsylvania, Rhode Island, South Dakota, Texas³, Utah, Washington⁶, Wisconsin
- Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Kansas, Kentucky, Louisiana, Maryland, Michigan, Mississippi, Missouri, Montana', Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, Oregon, South Carolina, Tennessee, Vermont, Virginia, West Virginia, Wyoming
- 3. Allows one year to meet testing requirements.
- 4. Maine grants waiver for basic skills and pedagogy tests.
- 5. Waiver for teachers with National Board Certification; all others given two years to meet testing requirements.
- 6. Waiver for teachers with National Board Certification.
- 7. No subject-matter testing for any teacher certification.

What do states require of teachers transferring from other states? Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia П Hawaii Idaho П Illinois Indiana Iowa Kansas Kentucky Louisiana Maine Maryland Massachusetts Michigan Minnesota Mississippi Missouri Г Montana Nebraska Nevada New Hampshire New Jersey New Mexico **NEW YORK** North Carolina North Dakota П Ohio Oklahoma Oregon Pennsylvania Rhode Island П South Carolina South Dakota Tennessee Texas П П Utah П Vermont П Virginia Washington West Virginia Wisconsin Wyoming 6 44 11

Figure 56

4. Teachers with less than 3 years' experience are subject to transcript review.

^{1.} State conducts transcript reviews.

 $^{{\}it 2. Recency requirement is for alternate route.}\\$

^{3.} For traditionally prepared teachers only.

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TEXAMPLES OF BEST PRACTICE

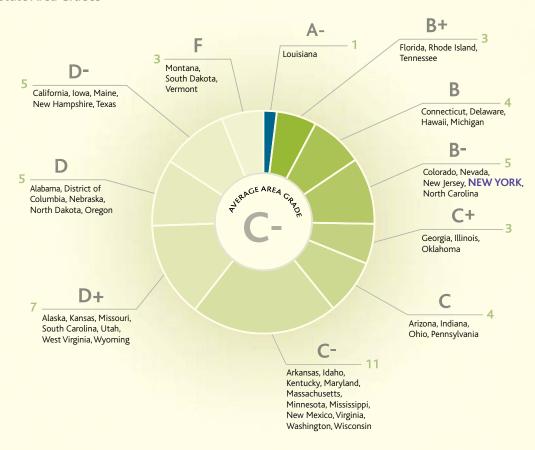
Alabama and Texas appropriately support licensure reciprocity by requiring that certified teachers from other states meet Alabama's and Texas's own testing requirements, and by not specifying any additional coursework or recency requirements to determine eligibility for either traditional or alternate route teachers. Also worthy of mention is **Delaware** for its reciprocity policy that limits the evidence of "successful" experience it will accept to evaluation results from states with rigorous requirements similar to its own.

Area 3 Summary



How States are Faring in Identifying Effective Teachers

State Area Grades



Topics Included In This Area

- 3-A: State Data Systems 3-D: Tenure
- 3-B: Evaluation of Effectiveness 3-E: Licensure Advancement
- 3-C: Frequency of Evaluations 3-F: Equitable Distribution

Goal A – State Data Systems

The state should have a data system that contributes some of the evidence needed to assess teacher effectiveness.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should establish a longitudinal data system with at least the following key components:
 - a. A unique statewide student identifier number that connects student data across key databases across years;
 - b. A unique teacher identifier system that can match individual teacher records with individual student records and
 - c. An assessment system that can match individual student test records from year to year in order to measure academic growth.
- 2. Student growth or value-added data provided through the state's longitudinal data system should be considered among the criteria used to determine teachers' effectiveness.
- To ensure that data provided through the state data system is actionable and reliable, the state should have a clear definition of "teacher of record" and require its consistent use statewide.
- 4. Data provided through the state's longitudinal data system should be used to publicly report information on teacher production.



The components for this goal have changed since 2011. In light of state progress on this topic, the bar for this goal has been raised.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



3-A Analysis: New York



Best Practice State



Bar Raised for this Goal



Progress Since 2011

ANALYSIS

New York has a data system with the capacity to provide evidence of teacher effectiveness.

New York has all three necessary elements of a student- and teacher-level longitudinal data system. The state has assigned unique student identifiers that connect student data across key databases across years and has assigned unique teacher identifiers that enable it to match individual teacher records with individual student records. It also has the capacity to match student test records from year to year in order to measure student academic growth.

Commendably, New York defines teacher of record as an individual who has been assigned responsibility for a student's learning in a subject/course with aligned performance measures. Further, the state's teacher-student data link can connect more than one educator to a particular student in a given course, and it does have in place a process for teacher roster verification.

New York publishes an annual "Teacher Supply and Demand" report, which includes data on the total number of new teacher hires for a particular year. Data also show the number of new teacher hires broken down by both region and endorsement, along with the number of initial certificates issued.

Supporting Research

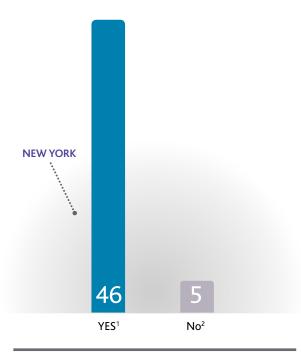
Data Quality Campaign www.dataqualitycampaign.org Teacher Supply and Demand http://www.highered.nysed.gov/oris/stats/tsd.htm

NEW YORK RESPONSE TO ANALYSIS

New York was helpful in providing NCTQ with the facts necessary for this analysis. The state also noted that in its Race to the Top application, it has committed to the production of teacher and principal preparation profiles. Higher Education Data Profiles will include this information as well. These reports will be issued beginning school year 2013-2014.

Figure 59 Do states' data systems have the basic elements

needed to assess teacher effectiveness: unique teacher and student identifiers that can be matched to test records over time?



^{1.} Strong Practice: Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oregon, Rhode Island, South Carolina, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin,

2. Colorado, Maine, Oklahoma, Pennsylvania, South Dakota

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TEXAMPLES OF BEST PRACTICE

Hawaii and New York have all three necessary elements of a student- and teacher-level longitudinal data system. Both states have developed definitions of "teacher of record" that reflect instruction. Their data links can connect multiple teachers to a particular student, and there is a process for teacher roster verification. In addition, Hawaii and New York publish teacher production data. Also worthy of mention is Maryland for its "Teacher Staffing Report," which serves as a model for other states. The report's primary purpose is to determine teacher shortage areas, while also identifying areas of surplus.

Goal B – Evaluation of Effectiveness

The state should require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should either require a common evaluation instrument in which evidence of student learning is the most significant criterion or should specifically require that student learning be the preponderant criterion in local evaluation processes. Evaluation instruments, whether state or locally developed, should be structured so as to preclude a teacher from receiving a satisfactory rating if found ineffective in the classroom.
- 2. Evaluation instruments should require classroom observations that focus on and document the effectiveness of instruction.
- 3. The state should encourage the use of student surveys, which have been shown to correlate strongly with teacher effectiveness.
- 4. The state should require that evaluation instruments differentiate among various levels of teacher performance. A binary system that merely categorizes teachers as satisfactory or unsatisfactory is inadequate.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



3-B Analysis: New York



State Nearly Meets Goal (Progress Since 2011



ANALYSIS

Although the state requires student performance data to be a factor, New York stops short of requiring that objective evidence of student learning be the preponderant criterion of its teacher evaluations.

The state requires that 40 percent of the evaluation score be based on student academic achievement. More specifically, 20 percent is student growth on state assessments or a comparable measure of student achievement growth (this increases to 25 percent on implementation of a value-added growth model), and 20 percent is locally selected measures of student achievement that are determined to be rigorous and comparable across classrooms (this decreases to 15 percent on implementation of a value-added growth model).

The remaining 60 percent is made up of other measures of teacher effectiveness. A majority of this 60 percent must be based on multiple classroom observations. The remaining portion must be based on one or more of the following: observations by third party evaluators, peer observations, parent/student feedback and/or student portfolios. Further, teachers must earn better than "ineffective" ratings on at least one of the two student growth/achievement subcomponents as well as the other 60 percent measure in order to earn an overall rating higher than "ineffective." In addition, if both student achievement subcomponents are "ineffective," the overall rating will be "ineffective."

Teachers must be rated using the following multiple rating categories: highly effective, effective, developing and ineffective.

Supporting Research

A9554 (2012)

Education Law 3012-C

RECOMMENDATION

Require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

New York falls short by failing to require that evidence of student learning be the most significant criterion. The state should either require a common evaluation instrument in which evidence of student learning is the most significant criterion, or it should specifically require that student learning be the preponderant criterion in local evaluation processes. This can be accomplished by requiring objective evidence to count for at least half of the evaluation score or through other scoring mechanisms, such as a matrix, that ensure that nothing affects the overall score more. Whether state or locally developed, a teacher should not be able to receive a satisfactory rating if found ineffective in the classroom.

■ Ensure that evaluations also include classroom observations that specifically focus on and document the effectiveness of instruction.

Although New York requires classroom observations as part of teacher evaluations, the state should articulate guidelines that focus classroom observations on the quality of instruction, as measured by student time on task, student grasp or mastery of the lesson objective and efficient use of class time.

NEW YORK RESPONSE TO ANALYSIS

New York asserted that its teacher and principal evaluation system incorporates state-approved observation rubrics, all of which were reviewed for consistency with the state's goal of increasing student achievement. Rubrics were specifically reviewed for compliance with Education Law 3012-c, which states, in part, that "such annual professional performance reviews shall result in a single composite teacher or principal effectiveness score, which incorporates multiple measures of effectiveness related to the criteria included in the regulations of the commissioner." As a result, each approved observation instrument relies on student learning as the primary objective.

New York also pointed out that it has developed and provided multiple sessions of professional development, specifically focused on the appropriate use of the approved rubrics, to ensure that the rubrics are utilized in a manner that successfully measures student growth. The state has also provided districts with resources and tools that clearly articulate and demonstrate the teaching and learning standards for their use in ensuring that rubrics are adequately aligned with the approved standards, as specified by the Commissioner's Regulations.

Supporting Research

http://www.engageny.org/resource/state-approved-teacher-and-principal-practice-rubrics/ http://engageny.org/search/site/observation

Figure 63	REQUIRES THAT STUDENT PREPONDERNY CROWLENT	Acquies that andon otherment andon otherment growth is a	Socialist the state of the stat	Requires some object.	'idence
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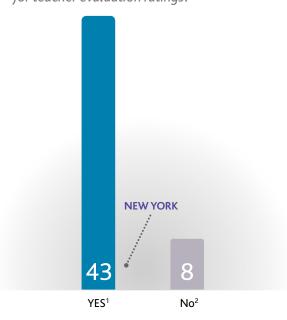
The state has an ESEA waiver requiring an evaluation system that includes student achievement as a significant factor. However, no specific guidelines or policies have been articulated.

^{2.} Explicitly defined for the 2013-2014 school year.

Figure 64 Type of surey not specified Is survey data used as part of teacher evaluations? Alabama Alaska¹ Arizona П П Arkansas California Colorado 2 Connecticut³ П П Delaware П П District of Columbia П Florida Georgia Hawaii П П Idaho П П Illinois \Box П П Indiana Iowa1 Kansas Kentucky П Louisiana П Maine 2 Maryland П П П П Massachusetts Michigan П Minnesota Mississippi П П П П Missouri 2 Montana П Nebraska Nevada П П New Hampshire П П П New Jersey П New Mexico П П П **NEW YORK** North Carolina North Dakota Ohio П П П Oklahoma Oregon Pennsylvania Rhode Island South Carolina П П South Dakota Tennessee Texas Utah П Vermont Virginia П Washington West Virginia Wisconsin Wyoming 2 14 11 6 33

Figure 65

Do states require more than two categories for teacher evaluation ratings?



- 1. Strong Practice: Alaska, Arizona, Arkansas, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nevada, New Jersey, New Mexico, New York, North Carolina, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- Alabama, California, Idaho, Montana, Nebraska, New Hampshire, North Dakota, Vermont

Input from students, teachers and peers is required, but there is no explicit indication that this must come from surveys.

^{2.} Explicitly allowed but not required.

^{3.} Requires parent or peer surveys; whole-school student learning or student surveys.



EXAMPLES OF BEST PRACTICE

NCTQ has not singled out any one state for "best practice" honors. Many states continue to make significant strides in the area of teacher evaluation by requiring that objective evidence of student learning be the preponderant criterion. Because there are many different approaches that result in student learning being the preponderant criterion, all 19 states that meet this goal are commended for their efforts.

Figure 66 Do states direct how teachers should be evaluated? Alabama Alaska Arizona П Arkansas California П П Colorado Connecticut П Delaware П District of Columbia П П Florida Georgia Hawaii П П Idaho П П Illinois Indiana Iowa Kansas Kentucky Louisiana Maine Maryland П П Massachusetts Michigan П П Minnesota Mississippi Missouri Montana П Nebraska Nevada П П New Hampshire П New Jersey П New Mexico П П **NEW YORK** North Carolina North Dakota Ohio П П Oklahoma Oregon Pennsylvania Rhode Island South Carolina South Dakota Tennessee Texas Utah П П Vermont Virginia Washington West Virginia Wisconsin Wyoming П П 9 12 30

^{1.} New Hampshire is in the process of developing a state model/criteria for teacher evaluations.

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^{1.} Maryland requires multiple observers for ineffective teachers.

^{2.} Multiple evaluators are explicitly allowed but not required.

➤ Goal C – Frequency of Evaluations

The state should require annual evaluations of all teachers.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that all teachers receive a formal evaluation rating each year.
- While all teachers should have multiple observations that contribute to their formal evaluation rating, the state should ensure that new teachers are observed and receive feedback early in the school year.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



3-C Analysis: New York



State Nearly Meets Goal 🏻 (🖨 Progress Since 2011



ANALYSIS

Commendably, all teachers in New York must be evaluated annually.

Multiple observations are required, but the state's policy does not offer guidance as to when these should occur for new teachers.

Supporting Research

New York DOE Commissioner's Regulations Part 100.2(o)

RECOMMENDATION

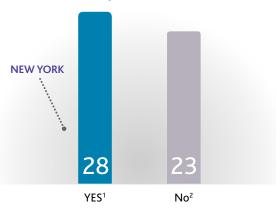
Ensure that new teachers are observed and receive feedback early in the school year.

It is critical that schools and districts closely monitor the performance of new teachers. New York should ensure that its new teachers get the support they need, and that supervisors know early on which new teachers may be struggling or at risk for unacceptable levels of performance.

NEW YORK RESPONSE TO ANALYSIS

New York recognized the factual accuracy of this analysis. The state added that while providing rigorous standards for teacher evaluation, it also recognizes the unique characteristics and capacity of the nearly 700 school districts that range in size from just a few students to more than one million students, and that each new teacher enters the classroom with different knowledge, skills and abilities (new to the field, new to the state, career changers, etc.). New York also noted that it requires mentoring for new teachers. In general, effective instructional leaders provide regular feedback to all teachers and are able to identify teachers, regardless of years of experience, who may require additional support and observation. The state added that it has invested significantly in professional development for teachers and leaders, and it will continue to foster a deep understanding of instruction so that these types of decisions regarding timing of observations and support are made intentionally.

Figure 69
Do states require districts to evaluate all teachers each year?

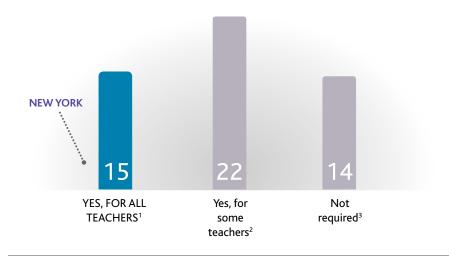


- Strong Practice: Alabama, Arizona, Colorado, Connecticut, Delaware, Florida, Georgia, Hawaii, Idaho, Indiana, Louisiana, Maryland³, Mississippi, Nevada, New Jersey, New Mexico, New York, North Carolina, North Dakota, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Utah, Washington, West Virginia, Wisconsin, Wyoming
- 2. Alaska, Arkansas, California, District of Columbia, Illinois, Iowa, Kansas, Kentucky, Maine, Massachusetts, Michigan, Minnesota, Missouri, Montana, Nebraska, New Hampshire, Ohio, Oregon, South Carolina, South Dakota, Texas, Vermont, Virginia
- ${\it 3. Regulations sunset on September 30, 2014.}$

Figure 70 Do states require districts to evaluate all teachers each year? Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisiana Maine Maryland Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire New Jersey New Mexico NEW YORK North Carolina North Dakota Ohio Oklahoma
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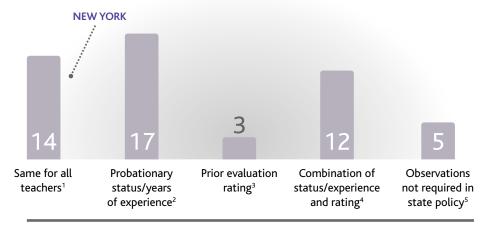
Figure 71

Do states require multiple classroom observations?



- 1. Strong Practice: Alabama, Arkansas, Connecticut, Georgia, Hawaii, Idaho, Indiana, Mississippi, New Jersey, New Mexico, New York, North Carolina, Rhode Island, Tennessee, Washington
- 2. Alaska, Arizona, Colorado, Delaware, Florida, Illinois, Kansas, Kentucky, Louisiana, Maryland, Michigan, Minnesota, Nebraska, Nevada, Ohio, Oklahoma, Oregon, Pennsylvania, South Carolina, Virginia, West Virginia, Wisconsin
- 3. California, District of Columbia, Iowa, Maine, Massachusetts, Missouri, Montana, New Hampshire, North Dakota, South Dakota, Texas, Utah, Vermont, Wyoming

Figure 72
What is the determining factor for frequency of observations?



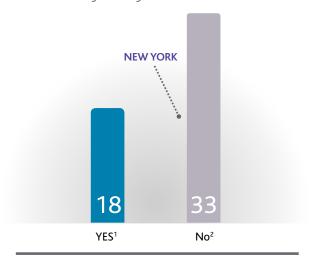
- Alabama, District of Columbia⁶, Georgia, Hawaii, Idaho, Indiana, Iowa, Maine, Mississippi, Missouri, New Jersey, New Mexico, New York, Rhode Island
- 2. Alaska, Arkansas⁷, California⁷, Colorado, Florida, Kansas⁷, Minnesota⁷, Nebraska, North Carolina, Oklahoma⁷, Oregon, Pennsylvania⁷, South Carolina, South Dakota⁷, Utah⁷, Washington, West Virginia⁸
- 3. Louisiana, Michigan, Ohio
- 4. Arizona⁹, Connecticut, Delaware, Illinois, Kentucky, Maryland, Massachusetts⁷, Nevada, Tennessee, Texas⁷, Virginia⁷, Wisconsin⁷
- 5. Montana, New Hampshire, North Dakota, Vermont, Wyoming
- 6. Depends on LEA requirements.
- 7. Frequency is based on evaluation cycle, not year.
- 8. No observations required after year 5.
- 9. Second observation may be waived for tenured teachers with high performance on first observation.



** EXAMPLES OF BEST PRACTICE

NCTQ is not awarding "best practice" honors for frequency of evaluations but commends Alabama, Hawaii, Idaho, Mississippi, New Jersey, Tennessee and Washington. These states not only require annual evaluations and multiple observations for all teachers, but they also ensure that new teachers are observed and receive feedback during the first half of the school year.

Figure 73 Do states require that new teachers are observed early in the year?



- Strong Practice: Alabama, Delaware, Hawaii, Idaho, Kansas, Kentucky, Minnesota, Mississippi, Nebraska, Nevada, New Jersey, North Dakota³, Oklahoma, Rhode Island, South Carolina, Tennessee, Washington, West Virginia
- 2. Alaska, Arizona, Arkansas, California, Colorado, Connecticut, District of Columbia, Florida, Georgia, Illinois, Indiana, Iowa, Louisiana, Maine, Maryland, Massachusetts, Michigan, Missouri, Montana, New Hampshire, New Mexico, New York, North Carolina, Ohio, Oregon, Pennsylvania, South Dakota, Texas, Utah, Vermont, Virginia⁴, Wisconsin,
- 3. New teachers must be evaluated early in the year; observations not explicit.
- 4. Teachers in their first year are informally evaluated early in the year.

Goal D - Tenure

The state should require that tenure decisions are based on evidence of teacher effectiveness.

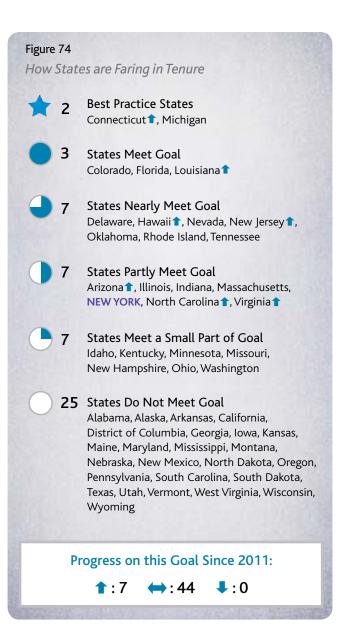
Goal Components

(The factors considered in determining the states' rating for the goal.)

- A teacher should be eligible for tenure after a certain number of years of service, but tenure should not be granted automatically at that juncture.
- 2. Evidence of effectiveness should be the preponderant criterion in tenure decisions.
- The minimum years of service needed to achieve tenure should allow sufficient data to be accumulated on which to base tenure decisions; four to five years is the ideal minimum.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



3-D Analysis: New York



State Partly Meets Goal



ANALYSIS

New York could do more to connect tenure decisions to evidence of teacher effectiveness.

New York has a three-year probationary period for new teachers. At the conclusion of this period, the state's policy regarding tenure decisions requires evaluation of the "candidate's effectiveness over the applicable probationary period in contributing to the successful academic performance of his or her students."

Supporting Research

New York Education Law, 3012-b

RECOMMENDATION

- Ensure that evidence of effectiveness is the preponderant criterion in tenure decisions.

 New York should make evidence of effectiveness, rather than the number of years in the classroom, the most significant factor when determining this leap in professional standing.
- Require a longer probationary period.
 New York should extend its probationary period, ideally to five years. This would allow sufficient time to collect data that adequately reflect teacher performance.

NEW YORK RESPONSE TO ANALYSIS

New York asserted that it specifically requires that the annual professional performance review of classroom teachers "shall be a significant factor for employment decisions including but not limited to, promotion, retention, tenure determination, termination and supplemental compensation."

Supporting Research

Education Law section 3012-c

How long before a teacher earns tenure?							V4RDs
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- 1. Idaho limits teacher contract terms to one year.
- A teacher can receive up to a 4-year contract if deemed proficient on evaluation.
- Teachers must hold an educator license for at least seven years and have taught in the district at least three of the last five years.
- 4. Teachers may also earn career status with an average rating of at least effective for a four-year period and a rating of at least effective for the last two years.
- While technically not on annual contracts, Rhode Island teachers who receive two years of ineffective ratings are dismissed.
- 6. Local school board may extend up to five years.
- 7. At a district's discretion, a teacher may be granted tenure after the second year if he/she receives one of the top two evaluation ratings.



TEXAMPLES OF BEST PRACTICE

Connecticut and Michigan appropriately base tenure decisions on evidence of teacher effectiveness. In Connecticut, tenure is awarded after four years and must be earned on the basis of effective practice as demonstrated in evaluation ratings. Michigan requires a probationary period of five years, with teachers having to earn a rating of effective or highly effective on their three most recent performance evaluations. Both states require that student growth be the preponderant criterion of teacher evaluations.

- 1. Florida only awards annual contracts.
- 2. North Carolina has recently eliminated tenure. The state requires some evidence of effectiveness in awarding multipleyear contracts.
- 3. Oklahoma has created a loophole by essentially waiving student learning requirements and allowing the principal of a school to petition for career-teacher status.

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Goal E – Licensure Advancement

The state should base licensure advancement on evidence of teacher effectiveness.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should base advancement from a probationary to a nonprobationary license on evidence of effectiveness.
- 2. The state should not require teachers to fulfill generic, unspecified coursework requirements to advance from a probationary to a nonprobationary license.
- 3. The state should not require teachers to have an advanced degree as a condition of professional licensure.
- 4. Evidence of effectiveness should be a factor in the renewal of a professional licenses.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



3-E Analysis: New York



State Does Not Meet Goal



Progress Since 2011

ANALYSIS

New York's requirements for licensure advancement and renewal are not based on evidence of teacher effectiveness.

The state's Initial Certificate is issued in specific subject and grade titles, is valid for five years and leads to the Professional Certificate. It appears that each subject and grade level presents multiple requirements for the professional certification, including various mentoring and teaching experiences. The state also requires a master's degree for advancement.

New York does not include evidence of effectiveness as a factor in the renewal of a professional license. Teachers can continuously renew their license on a five-year cycle with the completion of 175 professional development hours.

Supporting Research

http://www.highered.nysed.gov/tcert/certificate/typesofcerts.html http://eservices.nysed.gov/teach/certhelp/CertRequirementHelp.do

http://www.highered.nysed.gov/tcert/resteachers/175.html

RECOMMENDATION

Require evidence of effectiveness as a part of teacher licensing policy.

New York should require evidence of teacher effectiveness to be a factor in determining whether teachers can renew their licenses or advance to a higher-level license.

Discontinue licensure requirements with no direct connection to classroom effectiveness.

While targeted requirements may potentially expand teacher knowledge and improve teacher practice, New York's general, nonspecific coursework requirements for license advancement and renewal merely call for teachers to complete a certain amount of seat time. These requirements do not correlate with teacher effectiveness.

■ End requirement tying teacher advancement to master's degrees.

New York should remove its mandate that teachers obtain a master's degree for license advancement. Research is conclusive and emphatic that master's degrees do not have any significant correlation to classroom performance. Rather, advancement should be based on evidence of teacher effectiveness.

NEW YORK RESPONSE TO ANALYSIS

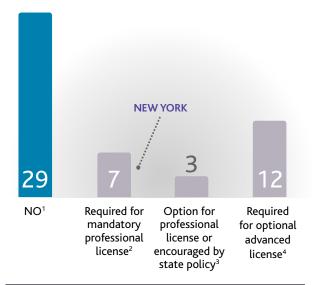
New York recognized the factual accuracy of this analysis.

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- 1. Evidence of effectiveness is required for license renewal but not for conferring of professional license.
- 2. Illinois allows revocation of licenses based on ineffectiveness.
- Maryland uses some objective evidence through their evaluation systems for renewal, but advancement to professional license is still based on earning an advanced degree.

Figure 79

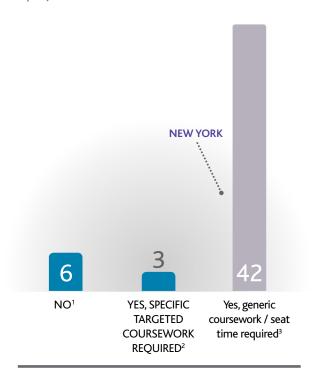
Do states require teachers to earn advanced degrees before conferring professional licensure?



- Strong Practice: Alaska, Arizona, Arkansas, California, Colorado, Delaware, District of Columbia, Florida, Georgia, Idaho, Kansas, Maine, Michigan, Minnesota, Nevada, New Hampshire, New Jersey, North Carolina, North Dakota, Oklahoma, Pennsylvania, Rhode Island, South Dakota, Tennessee, Texas, Vermont, Washington, Wisconsin, Wyoming
- Connecticut, Kentucky, Maryland, Mississippi, Montana, New York and Oregon all require a master's degree or coursework equivalent to a master's degree.
- 3. Illinois, Massachusetts, Missouri
- 4. Alabama, Hawaii, Indiana, Iowa, Louisiana, Nebraska, New Mexico, Ohio, South Carolina, Utah, Virginia, West Virginia

Figure 80

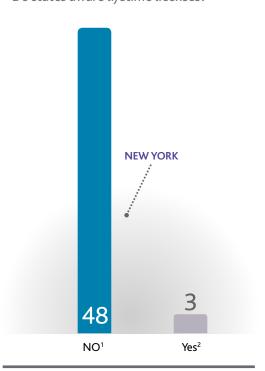
Do states require teachers to take additional coursework before conferring or renewing professional licenses?



- 1. Strong Practice: Hawaii, Louisiana, New Jersey, New Mexico, Rhode Island, Tennessee
- 2. Strong Practice: California, Georgia, Minnesota
- 3. Alabama, Alaska, Arizona, Arkansas, Colorado, Connecticut, Delaware, District of Columbia, Florida, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississipipi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New York, North Carolina⁴, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 4. Some required coursework is targeted.

Figure 81

Do states award lifetime licenses?



- Strong Practice: Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut³, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, Wisconsin, Wyoming
- 2. New Jersey, Pennsylvania, West Virginia
- 3. Although teachers in Connecticut must renew their licenses every five years, there are no requirements for renewal.



TEXAMPLE OF BEST PRACTICE

Rhode Island is integrating certification, certification renewal and educator evaluations. Teachers who receive poor evaluations for five consecutive years are not eligible to renew their licenses. In addition, teachers who consistently receive "highly effective" ratings will be eligible for a special license designation.

→ Goal F — Equitable Distribution

The state should publicly report districts' distribution of teacher talent among schools to identify inequities in schools serving disadvantaged children.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should make aggregate school-level data about teacher performance —from an evaluation system based on instructional effectiveness as described in Goal 3-B publicly available.
- 2. In the absence of such an evaluation system, the state should make the following data publicly available:
 - a. An "Academic Quality" index for each school that includes factors research has found to be associated with teacher effectiveness such as:
 - · percentage of new teachers;
 - percentage of teachers failing basic skills licensure tests at least once;
 - percentage of teachers on emergency credentials:
 - average selectivity of teachers' undergraduate institutions and
 - teachers' average ACT or SAT scores
 - b. The percentage of highly qualified teachers disaggregated by both individual school and by teaching area.
 - c. The annual teacher absenteeism rate reported for the previous three years, disaggregated by individual school.
 - d. The average teacher turnover rate for the previous three years, disaggregated by individual school, by district and by reasons that teachers leave.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



3-F Analysis: New York



State Meets Goal



Progress Since 2011

ANALYSIS

Providing comprehensive reporting may be the state's most important role for ensuring the equitable distribution of teachers among schools. New York reports data that can help support the equitable distribution of teacher talent.

New York requires districts to publicly report aggregate school-level data about teacher performance.

New York reports on the ratio of new to veteran teachers and the percentage of teachers without appropriate certification for each school. The state also reports on the percentage of highly qualified teachers and teacher turnover at the school level.

Supporting Research

2011-12 New York Accountability and Overview Report https://reportcards.nysed.gov/files/2011-12/RC-2012-020601040001.pdf Education Law Section 3012-c(10) Rules of the Board of Regents Section 30-2.3(b)(2)

NEW YORK RESPONSE TO ANALYSIS

New York was helpful in providing NCTQ with the facts necessary for this analysis.

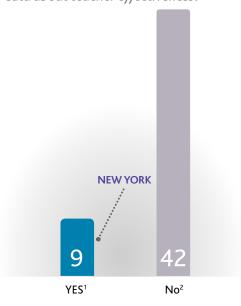
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** EXAMPLES OF BEST PRACTICE

Although not awarding "best practice" honors for this goal, NCTQ commends the nine states that meet the goal for giving the public access to teacher performance data aggregated to the school level. This transparency can help shine a light on on how equitably teachers are distributed across and within school districts and help to ensure that all students have access to effective teachers.

Figure 84 Do states publicly report school-level data about teacher effectiveness?

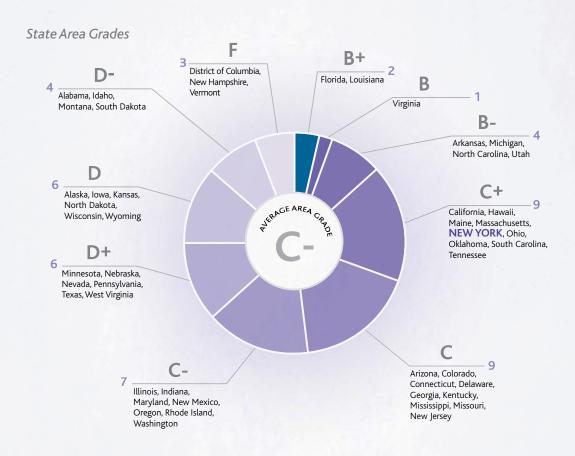


- 1. Strong Practice: Arkansas³, Illinois, Indiana, Louisiana, Massachusetts⁴, Missouri, New York, North Carolina, Pennsylvania
- 2. Alabama, Alaska, Arizona, California, Colorado, Connecticut, Delaware, District of Columbia, Florida⁵, Georgia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Maine, Maryland, Michigan, Minnesota, Mississippi, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, North Dakota, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah⁵, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 3. Reporting of teacher effectiveness data will begin in 2017.
- 4. Massachusetts' evaluation system is not based primarily on evidence of teacher effectiveness.
- 5. Reports data about teacher effectiveness at the district level.

Area 4 Summary



How States are Faring in Retaining Effective Teachers



Topics Included In This Area 4-A: Induction 4-D: Compensation for Prior Work Experience 4-B: Professional Development 4-E: Differential Pay 4-C: Pay Scales 4-F: Performance Pay

Area 4: Retaining Effective Teachers

➤ Goal A – Induction

The state should require effective induction for all new teachers, with special emphasis on teachers in high-need schools.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should ensure that new teachers receive mentoring of sufficient frequency and duration, especially in the first critical weeks of school.
- Mentors should be carefully selected based on evidence of their own classroom effectiveness and subject-matter expertise. Mentors should be trained, and their performance as mentors should be evaluated.
- 3. Induction programs should include only strategies that can be successfully implemented, even in a poorly managed school. Such strategies include intensive mentoring, seminars appropriate to grade level or subject area, a reduced teaching load and frequent release time to observe effective teachers.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy



4-A Analysis: New York



State Partly Meets Goal



Progress Since 2011

ANALYSIS

In New York, the mentoring of all teachers is not required; instead the decision to provide an induction and mentoring program to new teachers is left up to each local board of education. However, once a district decides to provide an induction program, the state has mandatory guidelines for the design of a mentoring and induction program. The state guidelines require that new teachers participate in a mentoring program for the first year of their employment. Local district personnel will compile a list of eligible mentor candidates based on criteria such as mastery of subject-matter skills and interpersonal relationship qualities. The district superintendent then pairs the mentor to the new teacher. A decreased workload is required for both mentors and new teachers, and districts are eligible for funding to support release time. Mentors receive compensation.

Supporting Research

New York Code, EDN, Title 4, Article 61, Section 3033 http://codes.lp.findlaw.com/nycode/EDN/IV/61/3033 Part 85 Mentor Teacher Internship Program http://www.highered.nysed.gov/tcert/part85.html

RECOMMENDATION

Ensure that a high-quality mentoring experience is available to all new teachers, especially those in low-performing schools.

New York should ensure that all new teachers—and especially any teacher in a low-performing school—receive mentoring support, especially in the first critical weeks of school.

Expand guidelines to include other key areas.

While still leaving districts flexibility, New York should articulate minimum guidelines for a high-quality induction experience. The state should require a timeline in which mentors are assigned to all new teachers, ideally soon after the commencing of teaching, to offer support during those first critical weeks of school. It should also mandate a method for performance evaluation.

NEW YORK RESPONSE TO ANALYSIS

New York asserted that the state provides minimum guidelines for a high-quality induction program and tools to support the effective implementation of mentoring by local districts and Boards of Cooperative Education Services (BOCES).

New York noted that the state also has a competitive grant process called the Mentor Teacher Internship Program, which supports "defined efforts to mentor new teachers in public school districts and Boards of Cooperative Educational Services (BOCES) during the 2013-2014 school year. Subject to annual funding by the Legislature, this program supports eligible public school districts and BOCES in developing and providing structured guidance and assistance by experienced, highly qualified teachers (mentors) to beginning teachers (interns) in their first or second year of teaching. The Mentor Teacher internship Program (MTIP) provides the opportunity for beginning teachers to broaden and enhance their classroom teaching and related skills while participating in a productive, supportive and collegial mentoring experience."

Supporting Research

New York State Mentoring Standards http://www.highered.nysed.gov/tcert/pdf/mentoringstds10032011.pdf Resources and Tools http://www.highered.nysed.gov/tcert/resteachers/teacherinduction.html Mentor Teacher Internship Program http://www.highered.nysed.gov/tcert/resteachers/mentorinternship.html

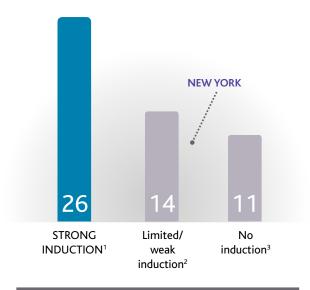
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T EXAMPLE OF BEST PRACTICE

South Carolina requires that all new teachers, prior to the start of the school year, be assigned mentors for at least one year. Districts carefully select mentors based on experience and similar certifications and grade levels, and mentors undergo additional training. Adequate release time is mandated by the state so that mentors and new teachers may observe each other in the classroom, collaborate on effective teaching techniques and develop professional growth plans. Mentor evaluations are mandatory and stipends are recommended.

Figure 87 Do states have policies that articulate the elements of effective induction?



- 1. Strong Practice: Alabama, Arkansas, California, Colorado, Connecticut, Delaware, Hawaii, Illinois, Iowa, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississippi, Missouri, Nebraska, New Jersey, North Carolina, North Dakota, Ohio, Oklahoma, Rhode Island, South Carolina, Utah, Virginia
- 2. Alaska, Arizona, Florida, Kansas, Montana, New Mexico, New York, Oregon, Pennsylvania, Tennessee, Texas, Washington, West Virginia, Wisconsin
- 3. District of Columbia, Georgia, Idaho, Indiana, Louisiana, Minnesota, Nevada, New Hampshire, South Dakota, Vermont, Wyoming

Area 4: Retaining Effective Teachers

➤ Goal B – Professional Development

The state should ensure that teachers receive feedback about their performance and require professional development to be based on needs identified through teacher evaluations.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that evaluation systems provide teachers with feedback about their performance.
- 2. The state should require that all teachers who receive a rating of ineffective/ unsatisfactory or needs improvement on their evaluations be placed on an improvement plan.
- 3. The state should direct districts to align professional development activities with findings from teachers' evaluations.

Background



4-B Analysis: New York



State Partly Meets Goal



Progress Since 2011

ANALYSIS

New York requires that local districts develop performance evaluation review plans that provide teachers with "timely and constructive feedback on all criteria evaluated." This feedback should include data on student growth and feedback as well as training on how the teacher can use this data to improve his or her instruction. New York also requires teachers rated ineffective to have teacher improvement plans. The plans are developed by the district in cooperation with the teacher. However, there is no requirement that professional development be tied to performance evaluations.

Supporting Research

New York Department of Education, Commissioner's Regulations, Part 100.2(o) http://www.p12.nysed.gov/part100/pages/1002.html#o

RECOMMENDATION

■ Ensure that professional development is aligned with findings from teachers' evaluations.

Professional development that is not informed by evaluation results may be of little value to teachers' professional growth and aim of increasing their effectiveness in the classroom. New York should ensure that districts utilize teacher evaluation results in determining professional development needs and activities for all teachers.

NEW YORK RESPONSE TO ANALYSIS

New York asserted that while the language in the regulations does not specifically use the terminology "performance evaluation," the state's teacher evaluation system provides essential data that is being used to design professional development that is of value to teachers. The state indicated that several times in the Commissioner's regulations it is stated that the plan must account for "teacher capacities" and/or improve "teacher practices." Evaluation data and knowledge of the skills and abilities of teachers in relation to the standards are the most effective way to develop these plans.

New York added that under the state's annual professional performance review (APPR), all teachers and principals who are rated developing or ineffective are required to have an improvement plan that supports their professional improvement.

Supporting Research

100.2(dd) General School Requirements http://www.p12.nysed.gov/part100/pages/1002.html#dd

http://www.engageny.org/sites/default/files/resource/attachments/growthscorefieldmemo.pdf



TEXAMPLES OF BEST PRACTICE

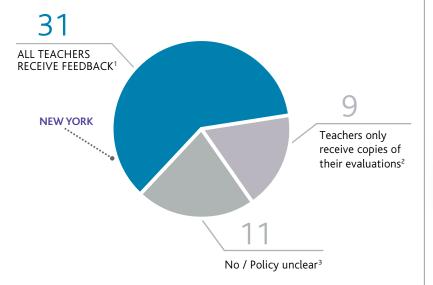
Louisiana and North Carolina require that teachers receive feedback about their performance from their evaluations and direct districts to connect professional development to teachers' identified needs. Both states also require that teachers with unsatisfactory evaluations are placed on structured improvement plans. These improvement plans include specific performance goals, a description of resources and assistance provided, as well as timelines for improvement.

- 1. Improvement plans are required for tenured teachers only.
- 2. Improvement plans are required only for teachers teaching for four years or more.
- 3. Wisconsin's educator effectiveness system includes many of these $\,$ elements, but is still in the pilot stage. Full implementation will not begin until 2014-2015.

Figure 89		EVALUATION MYORK	LA / 65
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Virginia Washington			
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Figure 90

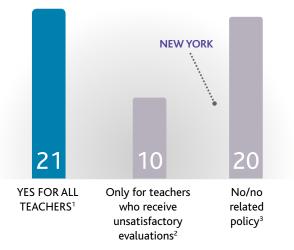
Do teachers receive feedback on their evaluations?



- Strong Practice: Arizona, Arkansas, Colorado, Connecticut, Delaware, Florida, Georgia, Hawaii, Illinois, Indiana, Kansas, Kentucky, Louisiana, Maine, Massachusetts, Michigan, Mississippi, Missouri, New Jersey, New York, North Carolina, Oregon, Rhode Island, South Carolina, Tennessee, Texas, Utah, Virginia, Washington, West Virginia, Wyoming
- 2. Alaska, California, Maryland, Montana, Nevada, New Mexico, Ohio, Oklahoma, Pennsylvania
- 3. Alabama, District of Columbia, Idaho, Iowa, Minnesota, Nebraska, New Hampshire, North Dakota, South Dakota, Vermont, Wisconsin⁴
- 4. Wisconsin's educator effectiveness system requires that teachers receive feedback, but it is still in the pilot stages. Full implementation will not begin until 2014-15.

Figure 91

Do states require that teacher evaluations inform professional development?



- Strong Practice: Arizona, Arkansas, Colorado, Connecticut, Delaware, Florida, Georgia, Louisiana, Maine, Michigan, Minnesota, Mississippi, New Jersey, New Mexico, North Carolina, Rhode Island, South Carolina, Tennessee, Virginia, West Virginia, Wyoming
- 2. Alaska, Hawaii, Illinois, Indiana, Maryland, Massachusetts, Missouri, Ohio, Pennsylvania, Texas
- Alabama, California, District of Columbia, Idaho, Iowa, Kansas, Kentucky, Montana, Nebraska, Nevada, New Hampshire, New York, North Dakota, Oklahoma, Oregon, South Dakota, Utah, Vermont, Washington, Wisconsin⁴
- Wisconsin's educator effectiveness system requires that evaluations inform professional development, but it is still in the pilot stages. Full implementation will not begin until 2014-15.

Area 4: Retaining Effective Teachers

> Goal C − Pay Scales

The state should give local districts authority over pay scales.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- While the state may find it appropriate to articulate teachers' starting salaries, it should not require districts to adhere to a statedictated salary schedule that defines steps and lanes and sets minimum pay at each level.
- 2. The state should discourage districts from tying additional compensation to advanced degrees. The state should eliminate salary schedules that establish higher minimum salaries or other requirements to pay more to teachers with advanced degrees.
- 3. The state should discourage salary schedules that imply that teachers with the most experience are the most effective. The state should eliminate salary schedules that require that the highest steps on the pay scale be determined solely be seniority.

Background



4-C Analysis: New York



State Partly Meets Goal



Progress Since 2011

ANALYSIS

New York gives local districts the authority for pay scales, without barriers, such as state salary schedules and other regulations that control how districts pay teachers.

RECOMMENDATION

- Discourage districts from tying compensation to advanced degrees.
 - While still leaving districts the flexibility to establish their own pay scale, New York should articulate policies that definitively discourage districts from tying compensation to advanced degrees, in light of the extensive research showing that such degrees do not have an impact on teacher effectiveness.
- Discourage salary schedules that imply that teachers with the most experience are the most effective.
 - Similarly, New York should articulate policies that discourage districts from determining the highest steps on the pay scale solely by seniority.

NEW YORK RESPONSE TO ANALYSIS

New York noted that the state does not set minimum salaries. Salaries are locally negotiated by the school board. However, there are some education law requirements (e.g., extra pay if you work longer than 10 months or additional days).

Supporting Research Ed Law 3101 & 3010

Taylor Law Section 200



** EXAMPLES OF BEST PRACTICE

Figure 93

pay rates?

What role does the state play in deciding teacher

Florida and Indiana allow local districts to develop their own salary schedules while preventing districts from prioritizing elements not associated with teacher effectiveness. In Florida, local salary schedules must ensure that the most effective teachers receive salary increases greater than the highest salary adjustment available. Indiana requires local salary scales to be based on a combination of factors and limits the years of teacher experience and content-area degrees to account for no more than one-third of this calculation.

on years of service, experience and training.

2. Rhode Island requires that local district salary schedules are based

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	27	9	15

^{1.} Colorado gives districts the option of a salary schedule, a performance pay policy or a combination of both.

Figure 94	ب	PROHIBITS ADDITION	Leaves to die.	,5 ₀
Do states prevent districts	REQUIRES PERFORMANCE	HAN	WAL F	Requires compensation for
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Virginia				
Washington Wash Virginia				
West Virginia Wisconsin				
Wyoming				
Wyoming	3	1	32	15

- 1. For advanced degrees earned after April 2014.
- $\begin{tabular}{ll} 2. Rhode Island requires local district salary schedules to include teacher "training". \end{tabular}$
- 3. Texas has a minimum salary schedule based on years of experience. Compensation for advanced degrees is left to district discretion.
- 4. Beginning in 2015-2016.

Area 4: Retaining Effective Teachers

→ Goal D – Compensation for Prior Work Experience

The state should encourage districts to provide compensation for related prior subject-area work experience.

Goal Component

(The factor considered in determining the states' rating for the goal.)

 The state should encourage districts to compensate new teachers with relevant prior work experience through mechanisms such as starting these teachers at an advanced step on the pay scale. Further, the state should not have regulatory language that blocks such strategies.

Background



4-D Analysis: New York



State Does Not Meet Goal



Progress Since 2011

ANALYSIS

New York does not encourage local districts to provide compensation for related prior subject-area work experience. However, the state does not seem to have regulatory language blocking such strategies.

RECOMMENDATION

Encourage local districts to compensate new teachers with relevant prior work experience.

While still leaving districts with the flexibility to determine their own pay scales, New York should encourage districts to incorporate mechanisms such as starting these teachers at a higher salary than other new teachers. Such policies would be attractive to career changers with related work experience, such as in the STEM subjects.

NEW YORK RESPONSE TO ANALYSIS

New York noted that in Goal 4-C, because the state does not dictate compensation policies at the district level, the state "meets in part" the requirements of the goal. The state suggests that this is a similar situation, yet it received a "does not meet" rating here.

NEW YORK RESPONSE TO ANALYSIS

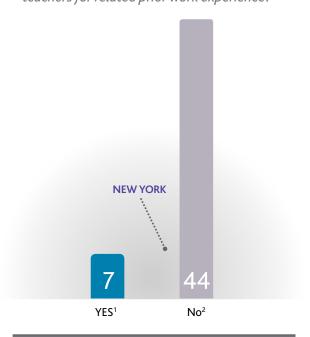
While it is important that the state does not have regulatory language blocking districts from compensating for prior work experience, NCTQ believes that this is an area where states need to be more proactive in encouraging this practice.

EXAMPLE OF BEST PRACTICE

North Carolina compensates new teachers with relevant prior-work experience by awarding them one year of experience credit for every year of full-time work after earning a bachelor's degree that is related to their area of licensure and work assignment. One year of credit is awarded for every two years of work experience completed prior to earning a bachelor's degree.

Figure 96

Do states direct districts to compensate teachers for related prior work experience?



- 1. Strong Practice: California, Delaware, Georgia, Louisiana, North Carolina, Texas, Washington
- 2. Alabama, Alaska, Arizona, Arkansas, Colorado, Connecticut, District of Columbia, Florida, Hawaii³, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Utah, Vermont, Virginia, West Virginia, Wisconsin, Wyoming
- 3. Hawaii's compensation is limited to prior military experience.

Area 4: Retaining Effective Teachers

Goal E − Differential Pay

The state should support differential pay for effective teaching in shortage and high-need areas.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should support differential pay for effective teaching in shortage subject areas.
- 2. The state should support differential pay for effective teaching in high-need schools.
- 3. The state should not have regulatory language that would block differential pay.

Background



4-E Analysis: New York



State Meets Goal



Progress Since 2011

ANALYSIS

New York supports differential pay by which a teacher can earn additional compensation by teaching certain subjects or working in a high-need school. According to the state's Teachers of Tomorrow Teacher Recruitment and Retention Program, those serving in a "teacher-shortage area" are eligible for an annual award of \$3,400, renewable each year for three additional years. The state defines teacher-shortage areas as a public school or subject that had a shortage of certified teachers in the previous school year.

Supporting Research

New York Education Law 3612

NEW YORK RESPONSE TO ANALYSIS

New York recognized the factual accuracy of this analysis. The state added that it has several other initiatives that support differential pay for effective teaching in shortage and high-need areas. For example, the Teacher Incentive Fund (TIF) program, a \$32 million grant, supports efforts to develop and implement performance-based teaching and principal compensation systems in high-need schools. The goals include improving student achievement by increasing teacher and principal effectiveness, reforming teacher and principal compensation systems so that they are rewarded for increases in student achievement, increasing the number of effective teachers teaching poor, minority, and disadvantaged students in hard-to-staff subjects, and creating sustainable performance-based compensation systems.

Similarly, the Strengthening Teacher and Leader Effectiveness (STLE) program supports public school districts and public charter schools with at least 25 percent of students who are from low-income families. The New York State Education Department anticipates approximately \$58,612,275 being awarded over a period of two years to support public school districts and charter schools in strengthening the effectiveness of teachers and leaders.

Supporting Research

Strengthening Teacher and Leader Effectiveness Grant http://www.oms.nysed.gov/press/stle-grants-announced.html
Teacher Incentive Fund Grant http://www.oms.nysed.gov/press/TeacherIncentiveFundGrant.40.5M.html

Figure 98		HIGH NEED SCHOOLS	/	SHORTAGE SUBJECT	
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Delaware					
District of Columbia					
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Maryland offers tuition reimbursement for teacher retraining in specified shortage subject areas and offers a stipend for alternate route candidates teaching in subject shortage areas.

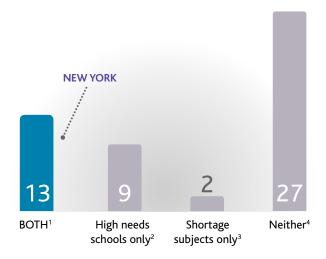
^{2.} South Dakota offers scholarships to teachers in high-need schools.



TEXAMPLE OF BEST PRACTICE

Georgia supports differential pay by which teachers can earn additional compensation by teaching certain subjects. The state is especially commended for its compensation strategy for math and science teachers, which moves teachers along the salary schedule rather just providing a bonus or stipend. The state also supports differential pay initiatives to link compensation more closely with district needs and to achieve a more equitable distribution of teachers.

Figure 99 Do states support differential pay for teaching in high need schools and shortage subjects?



- 1. Strong Practice: Arkansas, California, Florida, Georgia, Kentucky, Louisiana, Nevada, New Mexico, New York, Ohio, Oklahoma, Tennessee, Virginia
- 2. Colorado, Delaware, Hawaii, Maryland, North Carolina, Texas, Washington, Wisconsin, Wyoming
- 3. Pennsylvania, Utah
- 4. Alabama, Alaska, Arizona, Connecticut, District of Columbia, Idaho, Illinois, Indiana, Iowa, Kansas, Maine, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, New Hampshire, New Jersey, North Dakota, Oregon, Rhode Island, South Carolina, South Dakota, Vermont, West Virginia

Area 4: Retaining Effective Teachers

Goal F − Performance Pay

The state should support performance pay, but in a manner that recognizes its appropriate uses and limitations.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. The state should support performance pay efforts, rewarding teachers for their effectiveness in the classroom.
- The state should allow districts flexibility to define the criteria for performance pay provided that such criteria connect to evidence of student achievement.
- 3. Any performance pay plan should allow for the participation of all teachers, not just those in tested subjects and grades.

Background



4-F Analysis: New York



State Meets Goal



Progress Since 2011

ANALYSIS

New York does not currently support performance pay statewide. However, the state received a five-year Teacher Incentive Fund (TIF) grant in 2010 for 68 high-need schools to support efforts to develop and implement performance-based teaching and compensation. The goals include improving student achievement by increasing teacher effectiveness and reforming teacher compensation to reward teachers for increased student achievement.

Supporting Research

Teacher Incentive Fund Grant http://www.oms.nysed.gov/press/TeacherIncentiveFundGrant.40.5M.html

NEW YORK RESPONSE TO ANALYSIS

New York was helpful in providing NCTQ with the facts necessary for this analysis. In addition, the state noted that its Strengthening Teacher and Leader Effectiveness (STLE) program supports public school districts and public charter schools with at least 25 percent of students who are from low-income families. The New York State Education Department anticipates approximately \$58,612,275 being awarded over a period of two years to support public school districts and charter schools in strengthening the effectiveness of teachers and leaders.

Supporting Research

Strengthening Teacher and Leader Effectiveness Grant http://www.oms.nysed.gov/press/stle-grants-announced.html

Figure 101 Do states support Derformance pay? Alabama	Figure 101	GP.	/ ,	S& /	þ /	- Juge
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****** EXAMPLES OF BEST PRACTICE

An increasing number of states are supporting performance pay initiatives. Florida and **Indiana** are particularly noteworthy for their efforts to build performance into the salary schedule. Rather than award bonuses, teachers' salaries will be based in part on their performance in the classroom.

^{1.} Nebraska's initiative does not go into effect until 2016.

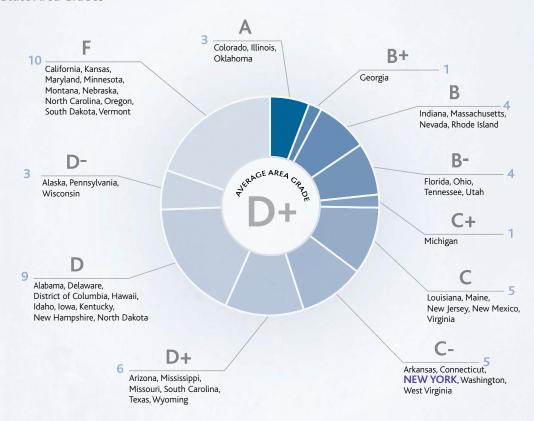
^{2.} Nevada's initiative does not go into effect until 2015-2016.

Area 5 Summary



How States are Faring in Exiting Ineffective Teachers

State Area Grades



Topics Included In This Area

- **5-A: Extended Emergency Licenses**
- 5-B: Dismissal for Poor Performance
- 5-C: Reductions in Force

Area 5: Exiting Ineffective Teachers

Goal A – Extended Emergency Licenses

The state should close loopholes that allow teachers who have not met licensure requirements to continue teaching.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- 1. Under no circumstances should a state award a standard license to a teacher who has not passed all required subject-matter licensing tests.
- 2. If a state finds it necessary to confer conditional or provisional licenses under limited and exceptional circumstances to teachers who have not passed the required tests, the state should ensure that requirements are met within one year.

Background



5-A Analysis: New York



State Partly Meets Goal



Progress Since 2011

ANALYSIS

New York allows out-of-state teachers who have not met the state's licensure requirements to teach under the Conditional Initial License. This certificate may be granted to individuals who hold a certificate in an equivalent title from another state but who have not met New York's testing requirements. The license is valid for two years.

Supporting Research

Types of Certificates

http://www.highered.nysed.gov/tcert/certificate/typesofcerts.html#ci

RECOMMENDATION

Ensure that all teachers pass required subject-matter licensing tests before they enter the classroom.

All students are entitled to teachers who know the subject matter they are teaching. Permitting individuals who have not yet passed state licensing tests to teach neglects the needs of students, instead extending personal consideration to adults who may not be able to meet minimal state standards. New York should ensure that all teachers pass licensing tests— an important minimum benchmark for entering the profession—before entering the classroom.

Limit exceptions to one year.

There might be limited and exceptional circumstances under which conditional or emergency licenses need to be granted. In these instances, it is reasonable for a state to give teachers up to one year to pass required licensing tests. New York's current policy puts students at risk by allowing teachers to teach on an intern license for two years without passing required licensing tests. While the teachers may be licensed in another state, New York does not verify that the out-of-state requirements are rigorous.

NEW YORK RESPONSE TO ANALYSIS

New York was helpful in providing NCTQ with the facts necessary for this analysis.

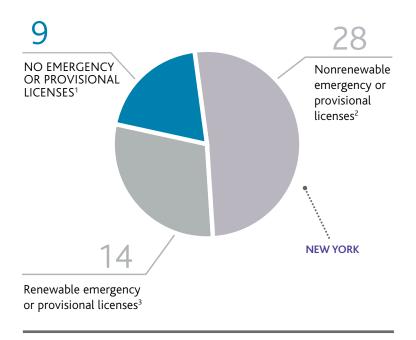
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Colorado, **Illinois**, **Mississippi**, and **New Jersey** require all new teachers to pass all required subject-matter tests as a condition of initial licensure.

Figure 104

Do states still award emergency licenses?



- 1. Strong Practice: Alaska⁴, Colorado, Illinois, Mississippi, Montana⁵, Nevada, New Jersey, New Mexico, South Carolina
- Alabama, Arkansas, California, Connecticut, Delaware, District of Columbia, Florida, Georgia, Idaho, Iowa, Kansas, Kentucky, Maryland, Massachusetts, New Hampshire, New York, North Carolina, North Dakota⁶, Ohio⁶, Oklahoma, Oregon, Rhode Island⁶, Utah, Vermont, Virginia, Washington, West Virginia, Wyoming
- 3. Arizona, Hawaii, Indiana, Louisiana, Maine, Michigan, Minnesota, Missouri, Nebraska, Pennsylvania, South Dakota, Tennessee, Texas, Wisconsin
- 4. Alaska does not require subject-matter testing for initial certification.
- 5. Montana does not require subject-matter testing for certification.
- 6. License is renewable, but only if licensure tests are passed.

Area 5: Exiting Ineffective Teachers

➤ Goal B — Dismissal for Poor Performance

The state should articulate that ineffective classroom performance is grounds for dismissal and ensure that the process for terminating ineffective teachers is expedient and fair to all parties.

Goal Components

(The factors considered in determining the states' rating for the goal.)

- The state should articulate that teachers may be dismissed for ineffective classroom performance. Any teacher that receives two consecutive ineffective evaluations or two such ratings within five years should be formally eligible for dismissal, regardless of tenure status.
- A teacher who is terminated for poor performance should have an opportunity to appeal. In the interest of both the teacher and the school district, the state should ensure that this appeal occurs within a reasonable time frame.
- 3. There should be a clear distinction between the process and accompanying due process rights for teachers dismissed for classroom ineffectiveness and the process and accompanying due process rights for teachers dismissed or facing license revocation for felony or morality violations or dereliction of duties.

Background

A detailed rationale and supporting research for this goal can be found at: nctq.org/statepolicy

How States are Faring in Dismissal for Poor Performance **Best Practice States** Florida, Oklahoma State Meets Goal Indiana States Nearly Meet Goal Colorado, Hawaii, Illinois, NEW YORK, Rhode Island, Tennessee 20 States Partly Meet Goal Alaska ↑, Arizona ↑, Arkansas ↑, Connecticut ↑, Delaware, Georgia 1, Louisiana 1, Maine 1, Massachusetts, Michigan, Nevada, New Jersey 1, New Mexico ♠, Ohio, Pennsylvania ♠, Virginia ♠, Washington ↑, West Virginia ↑, Wisconsin, Wyoming States Meet a Small Part of Goal Idaho 1, Minnesota 1, New Hampshire, North Carolina 1, Utah 17 States Do Not Meet Goal Alabama, California, District of Columbia, Iowa, Kansas, Kentucky, Maryland, Mississippi, Missouri, Montana, Nebraska, North Dakota, Oregon, South Carolina, South Dakota, Texas, Vermont Progress on this Goal Since 2011: **1**: 16 **←**: 35 **↓**:0

5-B Analysis: New York



State Nearly Meets Goal



Progress Since 2011

ANALYSIS

In New York, tenured teachers can be dismissed for incompetency, which is defined as a "pattern of ineffective teaching."

The state also distinguishes the due process rights of teachers dismissed for ineffective performance as determined by annual performance evaluations from those facing other charges commonly associated with license revocation, such as felony and/or morality violations. Teachers with a "pattern of ineffective teaching or performance," defined as two consecutive annual ineffective ratings, have an expedited hearing. Upon receiving written notice of the dismissal, a teacher has 10 days to file a request for a hearing. Once the hearing officer is selected, a prehearing conference is held within 15 days. An expedited hearing will take place within seven days of the prehearing conference and must be completed within 60 days. A decision is issued within 10 days of the hearing's conclusion.

All tenured teachers who are terminated have multiple opportunities to appeal. The teacher may appeal the hearing officer's decision to the state supreme court within 10 days of the decision. There is no time frame specified for this appeal, and the court can request a rehearing.

Supporting Research

Laws of New York 3012-c; 3020; 3020-a

RECOMMENDATION

Ensure that teachers terminated for poor performance have the opportunity to appeal within a reasonable time frame.

Nonprobationary teachers who are dismissed for any grounds, including ineffectiveness, are entitled to due process. New York should articulate policy that provides nonprobationary teachers an opportunity to appeal district decisions to terminate their contracts. However, cases that drag on for years drain resources from school districts and create a disincentive for districts to attempt to terminate poor performers. Therefore, the state must ensure that the opportunity to appeal occurs only once and only at the district level. It is in the best interest of both the teacher and the district that a conclusion is reached within a reasonable time frame.

NEW YORK RESPONSE TO ANALYSIS

New York referenced state law governing the hearing process and the appeals procedure for school districts.

Supporting Research

Education Law 3012-c(5)(a) and (6)



TEXAMPLES OF BEST PRACTICE

Figure 106

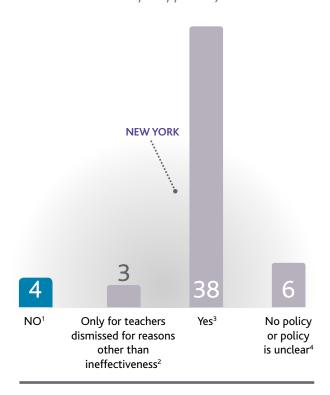
Florida and Oklahoma clearly articulate that teacher ineffectiveness in the classroom is grounds for dismissal. In both states, teachers are eligible for dismissal after two annual ratings of unsatisfactory performance. Each state has taken steps to ensure that the dismissal process for teachers deemed to be ineffective is expedited. Teachers facing dismissal have only one opportunity to appeal.

Do states articulate that ineffectiveness is grounds for dismissal? Alabama Alaska Arizona П Arkansas California Colorado Connecticut П Delaware П District of Columbia Florida Georgia Hawaii П Idaho П Illinois П Indiana Iowa Kansas Kentucky П Louisiana Maine Maryland Massachusetts Michigan Minnesota Mississippi П Missouri Montana П Nebraska Nevada П New Hampshire П New Jersey New Mexico П **NEW YORK** North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island П South Carolina South Dakota Tennessee Texas Utah П Vermont Virginia Washington West Virginia Wisconsin Wyoming 29 22

^{1.} A teacher reverts to probationary status after two consecutive years of unsatisfactory evaluations, but it is not articulated that ineffectiveness is grounds for dismissal.

Figure 107

Do states allow multiple appeals of teacher dismissals?



- 1. Strong Practice: Florida, Louisiana, Oklahoma, Wisconsin
- 2. Teachers in these states revert to probationary status following ineffective evaluation ratings, meaning that they no longer have the due process right to multiple appeals: Colorado, Indiana, Tennessee
- 3. Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Delaware, Georgia, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Texas, Virginia, Washington, West Virginia, Wyoming
- 4. District of Columbia, Maine, Nebraska, Nevada⁵, Utah, Vermont
- 5. Though a teacher returns to probationary status after two consecutive unsatisfactory evaluations, Nevada does not articulate clear policy about its appeals process.

Area 5: Exiting Ineffective Teachers

Goal C − Reductions in Force

The state should require that its school districts consider classroom performance as a factor in determining which teachers are laid off when a reduction in force is necessary.

Goal Component

(The factor considered in determining the states' rating for the goal.)

1. The state should require that districts consider classroom performance and ensure that seniority is not the only factor used to determine which teachers are laid off.

Background



5-C Analysis: New York



State Does Not Meet Goal



Progress Since 2011

ANALYSIS

In New York, the factors used to determine which teachers are laid off during a reduction in force consider teacher seniority. Teachers "having the least seniority in the system within the tenure of the position abolished shall be discontinued."

Supporting Research

Laws of New York 3013 (2)

RECOMMENDATION

Require that districts consider classroom performance as a factor in determining which teachers are laid off.

New York should give districts the flexibility to determine their own layoff policies, but it should do so within a framework that ensures that classroom performance is considered.

■ Ensure that seniority is not the only factor used to determine which teachers are laid off.

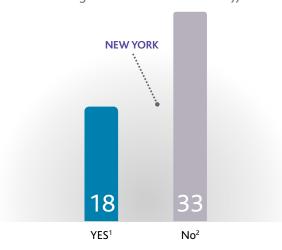
Although it may be useful to consider seniority among other criteria, New York's current policy puts adult interests before student needs.

NEW YORK RESPONSE TO ANALYSIS

New York recognized the factual accuracy of this analysis.

Figure 109

Do districts have to consider performance in determining which teachers are laid off?



- Strong Practice: Colorado, Florida, Georgia, Illinois, Indiana, Louisiana, Maine, Massachusetts³, Michigan, Missouri, Ohio³, Oklahoma, Rhode Island, Tennessee, Texas, Utah, Virginia, Washington
- Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Delaware, District of Columbia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Maryland, Minnesota, Mississippi, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Oregon, Pennsylvania, South Carolina, South Dakota, Vermont, West Virginia, Wisconsin, Wyoming
- 3. Tenure is considered first.

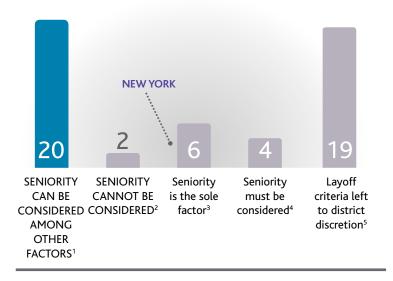




Colorado, **Florida**, and **Indiana** all specify that in determining which teachers to lay off during a reduction in force, classroom performance is the top criterion. These states also articulate that seniority can only be considered after a teacher's performance is taken into account.

Figure 111

Do states prevent districts from overemphasizing seniority in layoff decisions?



- Strong Practice: Arizona, Colorado, Florida, Georgia, Idaho, Illinois, Indiana, Maine, Massachusetts⁶, Michigan, Missouri⁶, Nevada, New Hampshire, Ohio⁶, Oklahoma, Rhode Island, Tennessee, Texas, Virginia, Washington
- 2. Strong Practice: Louisiana, Utah
- 3. Hawaii, Minnesota, New York, Pennsylvania, West Virginia, Wisconsin⁷
- 4. California, Kentucky, New Jersey, Oregon
- 5. Alabama, Alaska⁶, Arkansas, Connecticut, Delaware, District of Columbia, Iowa, Kansas, Maryland, Mississippi, Montana, Nebraska⁶, New Mexico, North Carolina, North Dakota, South Carolina, South Dakota, Vermont, Wyoming
- 6. Nontenured teachers are laid off first.
- 7. Only for counties with populations of 500,000 or more and for teachers hired before 1995.

Goals and Keywords

GOAL	STATEMENT	KEY WORDS
	AREA 1: Delivering Well Prepared Tea	achers
1-A: Admission into Feacher Preparation	The state should require teacher preparation programs to admit only candidates with strong academic records.	admission requirements, academic proficiency measures, basic skills tests, GP
1-B: Elementary Feacher Preparation	The state should ensure that its teacher preparation programs provide elementary teachers with a broad liberal arts education, providing the necessary foundation for teaching to the Common Core or similar state standards.	license/certification, elementary teacher early childhood teachers, content tests, elementary coursework/standards, content specialization requirements
1-C: Elementary Teacher Preparation n Reading Instruction	The state should ensure that new elementary teachers know the science of reading instruction.	license/certification, elementary teacher early childhood teachers, science of reading tests, science of reading coursework/standards
1-D: Elementary Teacher Preparation n Mathematics	The state should ensure that new elementary teachers have sufficient knowledge of the mathematics content taught in elementary grades.	license/certification, elementary teache early childhood teachers, math content tests, math coursework/standards
1-E: Middle School Feacher Preparation	The state should ensure that middle school teachers are sufficiently prepared to teach appropriate grade-level content.	license/certification, middle school teachers, content tests, K-8 licenses, content specialization requirements
1-F: Secondary Teacher Preparation	The state should ensure that secondary teachers are sufficiently prepared to teach appropriate gradelevel content.	license/certification, secondary teachers secondary social studies, content tests, endorsements
1-G: Secondary Teacher Preparation in Science	The state should ensure that secondary science teachers know all the subject matter they are licensed to teach.	license/certification, secondary general science, content tests, combination sciences
1-H: Special Education Teacher Preparation	The state should ensure that special education teachers know the subject matter they are licensed to teach.	license/certification, special education teachers, content tests, K-12 special education license, elementary special education, secondary special education
1-I: Assessing Professional Knowledge	The state should use a licensing test to verify that all new teachers meet its professional standards.	license/certification, pedagogy, professional standards/knowledge, performance assessments, edTPA
1-J: Student Teaching	The state should ensure that teacher preparation programs provide teacher candidates with a high quality clinical experience.	student teaching, cooperating teachers, clinical preparation, placements
1-K: Teacher Preparation Program Accountability	The state's approval process for teacher preparation programs should hold programs accountable for the quality of the teachers they produce.	teacher preparation programs, program accountability, student achievement, standard of performance, public reportin national accreditation

Goals and Keywords

GOAL	STATEMENT	KEY WORDS
	AREA 2: Expanding the Teaching I	Pool
2-A: Alternate Route Eligibility	The state should require alternate route programs to exceed the admission requirements of traditional preparation programs while also being flexible to the needs of nontraditional candidates.	alternate route programs, admission requirements, GPA, academic proficiency measures, subject-matter test, flexibility/test-out
2-B: Alternate Route Preparation	The state should ensure that its alternate routes provide efficient preparation that is relevant to the immediate needs of new teachers, as well as adequate mentoring and support.	alternate route programs, coursework requirements, length of program, student/ practice teaching, induction, mentoring
2-C: Alternate Route Usage and Providers	The state should provide an alternate route that is free from limitations on its usage and allows a diversity of providers.	alternate routes; subject, grade or geographic restrictions; college or university providers; district-run programs; non-profit providers
2-D: Part-Time Teaching Licenses	The state should offer a license with minimal requirements that allows content experts to teach part time.	part-time license/certificate, adjunct license
2-E: Licensure Reciprocity	The state should help to make licenses fully portable among states, with appropriate safeguards.	license reciprocity, license portability, out-of-state teachers, testing requirements, online teachers
	AREA 3: Identifying Effective Teac	thers
3-A: State Data Systems	The state should have a data system that contributes some of the evidence needed to assess teacher effectiveness.	longitudinal data systems, definition of teacher of record, teacher production
3-B: Evaluation of Effectiveness	The state should require instructional effectiveness to be the preponderant criterion of any teacher evaluation.	teacher evaluation, teacher effectiveness, student learning, classroom observations, surveys, rating categories
3-C: Frequency of Evaluations	The state should require annual evaluations of all teachers.	teacher evaluation, evaluation frequency, classroom observations, feedback
3-D: Tenure	The state should require that tenure decisions are based on evidence of teacher effectiveness.	tenure, probationary period, continuing contracts, teacher effectiveness
3-E : Licensure Advancement	The state should base licensure advancement on evidence of teacher effectiveness.	probationary license, professional license, license renewal, evidence of teacher effectiveness, coursework requirements
3-F: Equitable Distribution	The state should publicly report districts' distribution of teacher talent among schools to identify inequities in schools serving disadvantaged children.	public reporting, aggregate school-level data, evaluation ratings, school report cards, teacher absenteeism rate, turnover rate

Goals and Keywords

GOAL	STATEMENT	KEY WORDS
	AREA 4: Retaining Effective Teacl	hers
4-A: Induction	The state should require effective induction for all new teachers, with special emphasis on teachers in high-need schools.	mentoring, induction, mentor selection, reduced teaching load, release time
4-B: Professional Development	The state should ensure that teachers receive feedback about their performance and should require professional development to be based on needs identified through teacher evaluations.	feedback from observations/evaluations, professional development linked to evaluations results, improvement plans
1-C : Pay Scales	The state should give local districts authority over pay scales.	teacher compensation, salary schedules, pay scales, steps and lanes, advanced degrees, years of experience, teacher performance
4-D: Compensation for Prior Work Experience	The state should encourage districts to provide compensation for related prior subject-area work experience.	teacher compensation, relevant work experience
4-E : Differential Pay	The state should support differential pay for effective teaching in shortage and high-need areas.	teacher compensation, differential pay, shortage subject areas, high-need schoo
4-F: Performance Pay	The state should support performance pay, but in a manner that recognizes its appropriate uses and limitations.	teacher compensation, performance pay, teacher performance, student achievement
	AREA 5: Exiting Ineffective Teach	ners
5-A: Extended Emergency Licenses	The state should close loopholes that allow teachers who have not met licensure requirements to continue teaching.	emergency licenses, provisional certificates, loopholes, subject-matter tests
5-B: Dismissal for Poor Performance	The state should articulate that ineffective classroom performance is grounds for dismissal and ensure that the process for terminating ineffective teachers is expedient and fair to all parties.	dismissal, ineffectiveness, poor performance, appeals, due process
5-C: Reductions in Force	The state should require that its school districts consider classroom performance as a factor in determining which teachers are laid off when a reduction in force is necessary.	reduction in force, layoffs, teacher performance, seniority

Teacher Policy Priorities for New York

	AREA 1: Delivering Well Prepared Teachers	
•	Require that teacher preparation programs screen candidates prior to admission by using a common test normed to the general college-bound population, and limit acceptance to those candidates demonstrating academic ability in the top 50th percentile.	Goal 1-A
•	Specifically require secondary social studies teachers to pass a content test for each discipline they are licensed to teach.	Goal 1-F
	Ensure that cooperating teachers for student teaching placements have demonstrated evidence of effectiveness as measured by student learning, and require teacher candidates to spend at least 10 weeks student teaching.	Goal 1-J
	Hold teacher preparation programs accountable by collecting data that connect student achievement gains to programs, as well as other meaningful data that reflect program performance, and by establishing the minimum standard of performance for each category of data.	Goal 1-K
	AREA 2: Expanding the Teaching Pool	
•	Increase admission requirements to alternate route programs, including a high bar for academic proficiency for all routes.	Goal 2-A
•	Establish guidelines for alternate route programs that require preparation that meets the immediate needs of new teachers. Ensure programs provide intensive induction support to alternate route teachers.	Goal 2-B
	Eliminate licensure obstacles for out-of-state teachers.	Goal 2-E
	AREA 3: Identifying Effective Teachers	
	Ensure that evidence of effectiveness is the preponderant criterion in tenure decisions.	Goal 3-D
•	Base licensure advancement from a probationary to a nonprobationary license and licensure renewal on evidence of effectiveness.	Goal 3-E
	AREA 4: Retaining Effective Teachers	
	Require effective induction for all new teachers, including mentoring of sufficient frequency and duration.	Goal 4-A
	Link professional development activities to findings in individual teacher evaluations.	Goal 4-B
	Discourage districts from basing teacher pay scales primarily on advanced degrees and seniority.	Goal 4-C
	AREA 5: Exiting Ineffective Teachers	
		Carl F. A
	Ensure that all teachers pass required subject-matter licensing tests before they enter the classroom.	Goal 5-A
-	Require that seniority is not the only factor used to determine which teachers are laid off during a reduction in force.	Goal 5-C

